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# **ARTICLE IN PRESS**

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#### Highlights

▶ I first show that the Turing test is not an expression of behaviourism. ▶ To demonstrate this, I outline Turing's necessary condition for intelligence. ▶ Then I show that Alan Turing was likely aware of Descartes's 'language test'. ▶ Last I argue that Descartes's and Turing's tests have similar epistemic purposes.

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# <sup>2</sup> Descartes' influence on Turing

### Darren Abramson

Department of Philosophy, Dalhousie University, Halifax, Nova Scotia, Canada

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#### 10 1. Introduction

Alan Turing, in his 1950 *Mind* paper 'Computing Machinery and Intelligence,' introduces what is now called 'The Turing test' (Turing, 1950). Turing's paper has inspired countless papers in support of, and critical of, the claim that computers can think. The received view of Turing's philosophy of mind is that he was a behaviorist. This view has persisted despite numerous critical evaluations of his work that argue to the contrary.

In this paper I begin by briefly comparing reasons that have been offered for the claim that Turing was not a behaviorist (despite his apparent commitment to the claim that thinking requires nothing more than displaying verbal behavior indistinguishable from a person). The strongest reason for understanding Turing this way, I argue, is his commitment to a non-behavioral necessary condition for intelligence. Then I show that

- Turing was aware of Descartes' 'language test', and likely had it
   in mind when writing his 1950 *Mind* paper that introduces the
   Turing test; and,
- Turing intended the imitation game to play an epistemological
   role that is similar to the role that Descartes intended the
   language test to play.

32 If Turing wasn't offering a behaviorist view, unlike many of his contemporaries, what non-behaviorist influences (if any) planted 33 the seed in Turing's mind of what may seem, at first glance, a 34 35 behaviorist understanding of thinking? I answer this question by 36 a close reading of some of Turing's personal papers from the years immediately preceding the publication of the paper introducing 37 the Turing test. With historical influences in place, I argue that, 38 39 far from being coincidentally similar, Descartes' language test 40 and Turing's imitation game are both intended as nearly certain tests for thinking, and as tests for internal, particular causes of 41 42 thinking (although Turing and Descartes disagree on what the 43 necessary internal causes of thinking are).

#### 2. Turing and behaviorism

#### 2.1. Definitions

In his 1950 article, Turing explains a party game he calls the 'imitation game.' In it, an interrogator (C) judge must determine, solely through written queries and responses, which of two other participants is a man (A) and which is a woman (B). The judge is aware that one of the participants is a man and one is a woman. Turing proposes to replace the question 'can machines think' with the following:

What will happen when a machine takes the part of A in this game? Will the interrogator decide wrongly as often when the game is played like this as he does when the game is played between a man and a woman? These questions replace our original, 'Can machines think?' (Turing, 1950, p. 434)

Let us fix our attention on one particular digital computer *C*. Is it true that by modifying this computer to have adequate storage, suitably increasing its speed of action, and providing it with an appropriate programme, *C* can be made to play satisfactorily the part of A [the man contestant] in the imitation game, the part of B [the woman contestant in the imitation game] being taken by a man? (Turing, 1950, p. 442)

Later commentators have, almost universally, interpreted the 'modified imitation game,' now called the Turing test, as follows: can a judge, communicating entirely through typed text, distinguish a human from a computer? This interpretation irons out two ambiguities of Turing's presentation. Some readers have argued that Turing intended the judge in the computer version of the imitation game to be answering a question about the gender of the players.<sup>1</sup> However, there is ample evidence that Turing did not intend the computer version of his test to involve gender issues.<sup>2</sup> Also, there is the question of what adequate performance amounts to. I will use the formulation that the judge distinguishes the computer from the person at a rate no better than chance.

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E-mail address: da@dal.ca

<sup>&</sup>lt;sup>1</sup> For example, Sterrett (2000).

<sup>&</sup>lt;sup>2</sup> A compelling case, with an overwhelming (but not exhaustive) amount of textual support for the standard interpretation can be found in Piccinini (2000).

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77 Given Turing's position, and the influential logical and method-78 ological behaviorists he was contemporary with (Gilbert Ryle's The 79 Concept of Mind had been published the previous year; B.F. Skinner's 80 Science and Human Behavior would be published in 1953), a 81 behaviorist interpretation of Turing's views is almost irresistible. On both sides of the Atlantic Ocean, there were pushes to under-82 83 stand the mind in terms of behavior. The Turing test, at first blush, 84 is a paradigm of behaviorism: Turing says outright that the question 85 of whether machines can think is strictly *meaningless*, and must be 86 'replaced' with the question of whether a machine can pass the 87 imitation game (Turing, 1950, p. 442).

88 By the mid-1960s, the behaviorist interpretation of Turing's 89 article was presented without critical assessment in popular philosophical texts. For example, consider the anthology Minds and 90 91 Machines, edited by Alan Ross Anderson (1964), which contains as 92 its first article Turing's 'Computing Machinery and Intelligence'. 93 The second article reprints Michael Scriven's 1953 article, also pub-94 lished in Mind, but with a short addendum. The first part of the addendum reads: "This article can be taken as a statement of the dif-95 ficulties in (and was written partly as a reaction to) Turing's exten-96 97 tion [sic] of behaviorism into the computer field." (Scriven, 1964, 98 p. 42). Minds and Machines collected a number of the most significant articles of the era on the computational theory of mind by Turing, 99 100 Scriven, Keith Gunderson, J.R. Lucas, and Hilary Putnam. I am not 101 claiming that this anthology engendered the behaviorist interpreta-102 tion of Turing, but it is an early sign of its widespread adoption.

103 2.2. A first response: Turing's consideration of 'contrary views'

104 As mentioned above, Turing uses language that unequivocally 105 asks for the replacement of questions of machine mentality with 106 questions of behavior, and does so by appealing to the meaning-107 lessness of the former questions. The replacement of mentality 108 questions with behavioral questions appears to betray a commit-109 ment to something like a verificationist criterion of meaning. A ver-110 ificationist interpretation of the Turing test, though, is inconsistent 111 with much of what Turing says in his article.

112 As some have pointed out.<sup>3</sup> the sixth section of Turing's 1950 pa-113 per deals with objections that he can't seriously consider if he takes 114 the passing of his test to be equivalent to the possession of mind. I 115 will mention just two examples. In his consideration of the mathe-116 matical objection, Turing takes seriously the idea that there might be in-principle limitations that distinguish computers from humans, 117 118 and that one might not be able to ascertain whether the candidates in the imitation game were subject to those limitations. Turing's re-119 120 sponse does not at all address the *detectability* of those limitations in 121 the test, but in fact *denies* that computers are subject to them while humans are not.<sup>4</sup> In his consideration of the argument from con-122 123 sciousness, Turing considers at length an objector who claims that 124 despite excellent performance in the test, a machine wouldn't have 125 consciousness. Turing does not respond by denying the reality of inner conscious states to mental entities. Instead, Turing offers the 126 skeptic a parity argument, according to which consciousness can 127 128 no more be denied of machines that pass the test than it can be de-129 nied of other people.<sup>5</sup>

Still, someone might argue, this only shows that Turing lacks consistency in his presentation; although he veers away from his behaviorist line in defending from criticism the claim that machines can
think, his central positive project retains a criterion for thought that

is behaviorist. This claim can be answered: there are stronger reasons for denying Turing a behaviorist interpretation, which I will
now present.

#### 2.3. The second response: necessary vs. sufficient conditions

Many commentators have pointed out that 'Computing Machinery and Intelligence' *cannot* be read as a bare statement of logical behaviorism.<sup>6</sup> Here is the passage that obviates such an interpretation of the article:

May not machines carry out something which ought to be described as thinking but which is very different from what a man does? This objection is a very strong one, but at least we can that if, nevertheless, a machine can be constructed to play the imitation game satisfactorily, we need not be troubled by this objection (Turing, 1950, p. 435).

In this passage, Turing reveal that he is only committed to the sufficiency of passing the test for thinking, and not its necessity. Therefore, he cannot be offering a *definition* or an *analysis* of thinking. Put more simply, the passage quoted is consistent with the existence of thinking things that don't display the particular behaviors under consideration. Logical behaviorism purports to provide the *referents* for mental terms—so, Turing cannot be a logical behaviorist.<sup>7</sup>

Many have noticed this property of Turing's position (its 'sufficiency behaviorism') and object to this, calling it behaviorism all the same. For example, Ned Block targets this view of Turing's directly, and views prior attacks on behaviorism as deficient *because* they don't rule out sufficiency behaviorism (Block, 1981, pp. 15–16). John Searle also identifies sufficiency behaviorism and makes it his target: "The Turing test, as you will have noticed, expresses a kind of behaviorism. It says that the behavioral test is conclusive for the presence of mental states" (Searle, 2004, p. 70).

Once Turing's position has been thus clarified, many have been happy to simply call the view that behavior is sufficient for intelligence a form of behaviorism, thus reuniting Turing's views in a general way with his famous contemporaries. There are many well known criticisms of Turing's claim that passing the Turing test is sufficient for thinking<sup>8</sup>, but I will not wade into these debates. Instead, I will now show that Turing is not, in fact, a strict sufficiency behaviorist.

#### 2.4. The third response: the strength of the Turing test

A third, subtle response one could make to the charge of behav-174 iorism is that Turing is committed to the view that his test only 175 provides a sufficient condition for intelligence because it measures 176 some non-behaviorally defined property. Now, if Turing understands 177 his own test this way (as I will argue he does), then whether or not 178 one agrees that the test is a measurement tool for this non-behav-179 ioral property, Turing is not even a strict 'sufficiency behaviorist'. 180 That is, Turing cannot be understood as believing that possessing 181 certain behaviors is always sufficient for intelligence. Instead, the 182 interpretation goes, possessing certain behaviors is evidence for 183 some other property, and the possession of the other property is 184 required for intelligence. 185

This interpretation of Turing is not new. It is offered first by James Moor, who describes success in the Turing test as 'inductive 187

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<sup>&</sup>lt;sup>3</sup> For example, Leiber (1995, p. 63).

<sup>&</sup>lt;sup>4</sup> See, for example, Turing (1950, pp. 444–445).

<sup>&</sup>lt;sup>5</sup> I am not, of course, endorsing Turing's response to a skeptic of computer consciousness, but merely pointing out the inconsistency of Turing's response with behaviorism.

<sup>&</sup>lt;sup>6</sup> For example, see Block (1981, pp. 15–16).
<sup>7</sup> Daniel Dennett makes this point. See Dennett (1985, p. 4).

<sup>&</sup>lt;sup>8</sup> The most famous criticisms are by Ned Block and John Searle, namely the Chinese gym and Chinese room arguments, respectively.

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188 evidence' of thinking, where the conclusion that something thinks 189 is subject to scientific scrutiny (Moor, 1976, pp. 252-253). Jack 190 Copeland summarizes some of the behaviorist accounts of Turing. 191 and claims that "twenty-five years [after Moor's 1976 article], the lesson has still not been learned that there is no definition to be 192 found in Turing's paper of 1950" (Copeland, 2001, p. 522). Daniel 193 Dennett argues for this view in a bit more detail (Dennett, 1985, 194 p. 6). Dennett does not provide textual evidence that Turing has 195 this understand of his own test, but instead philosophical argu-196 ment to convince the reader that this is the most reasonable under-197 standing of the test. In particular, Dennett considers conditions 198 under which the 'quick-probe assumption' (that success on the 199 Turing test implies success on an indefinite number of other tasks) 200 is false, and concludes that these conditions involve illicit con-201 202 straints on the Turing test.

Later I will argue that Dennett's understanding of Turing's test can be given additional historical support. For now, I will turn to yet another set of reasons, closely related to the third set, to think that Turing ought not to have been considered a behaviorist, in any sense.

# 208 2.5. The third response reconsidered: the epistemic-limitation 209 condition'

Elsewhere I have argued that Turing reveals, in his response to 'Lady Lovelace's objection,' (defined below) a commitment to a *necessary* condition for thought (Abramson, 2008). I call this the epistemic-limitation condition, and find evidence for it both in his 1950 paper, and in writings of Turing's unpublished during his lifetime.

216 In short, the epistemic-limitation condition states that for a computer to think, its behavior must be unpredictable, even by someone 217 who has access to its programming. Methods of constructing ma-218 chines to pass the test, by preprogramming in responses to specific 219 220 questions, would cause failure of this necessary condition. This con-221 dition is mentioned by Turing in a number of places, most often in 222 response to some form of Lady Lovelace's objection. Lady Lovelace's 223 objection says that machines cannot think, since any behavior they 224 display is the result of their programmer's intention for them to dis-225 play that behavior. First I will provide a few of the texts in which Tur-226 ing expresses this condition, and then make a few comments on this significance of the condition for Turing. 227

228 ... Let us return for a moment to Lady Lovelace's objection, which stated that the machine can only do what we tell it to 229 230 do...An important feature of a learning machine is that its tea-231 cher will often be very largely ignorant of quite what is going on 232 inside, although he may still be able to some extent to predict 233 his pupil's behavior. This should apply most strongly to the later 234 education of a machine arising from a child-machine of well-235 tried design (or programme). This is in clear contrast with a normal procedure when using a machine to do computations: one's 236 object is then to have a clear mental picture of the state of the 237 machine at each moment in the computation. This object can 238 only be achieved with a struggle. The view that 'the machine 239 can only do what we know how to order it to do', appears 240 strange in the face of this (Turing, 1950, pp. 454, 458-459).<sup>9</sup> 241 It would be quite easy to arrange the experiences in such a way 242

twould be quite easy to arrange the experiences in such a way
 that they automatically caused the structure of the machine to
 build up into a previously intended form, and this would

obviously be a gross form of cheating, almost on a par with having a man inside the machine (Turing, 1951b, p. 473).

If we give the machine a programme which results in its doing something interesting which we had not anticipated, I should be inclined to say that the machine *had* originated something, rather than to claim that its behaviour was implicit in the programme, and therefore that the originality lies entirely with us (Turing, 1951a, p. 485).

[As] soon as one can see the cause and effect working themselves out in the brain, one regards it as not being thinking, but a sort of unimaginative donkey-work. From this point of view one might be tempted to define thinking as consisting of 'those mental processes that we don't understand'. If this is right, then to make a thinking machine is to make one which does interesting things without our really understanding quite how it is done (Turing, Braithwaite, Jefferson, & Newman, 1952, p. 500).

The first and second of these quotations suggest at least two different ways that computers can be unpredictable.<sup>10</sup> Perhaps Turing intends merely that unpredictability of machines be faced by someone who has no knowledge of the program the machine is running. Another possibility, which I claim is supported by the other quotations, is that the computer runs a program that is unpredictable *even if one has access to the program itself.* 

Notice that the first interpretation is quite weak. Suppose a programmer devises a clever algorithm for producing symphonies, each of which she wrote in a previous career as a composer. Then, so long as she doesn't tell anyone what the algorithm is (suppose that the programmer/composer takes their compositions and algorithm to the grave the moment the computer is switched on), the first interpretation suggests that Turing would be satisfied that this computer meets Lady Lovelace's objection. This is absurd; no one would, in this case, agree that the computer had originated the symphonies.

The first quotation does not hold up well independently under this interpretation. Turing points out that the knowledge in question of the computer, in the normal case, 'can only be achieved with a struggle.' If the 'mental picture' refers to a computational state, the programmer is in an excellent position to know this, either by producing a 'system dump' (a description of the total internal state of the computer) or working through the program and its input by hand. On the other hand, as programmers know very well, if 'mental picture' refers to a more general description of the gross functional properties of the program, a system dump will often be insufficient for such clarity. This is why debugging is guch a 'struggle.'

The third quotation has as its goal, as do the previous two, to account for how machines can originate their own behavior, as opposed to merely acting as stand-ins for the ingenuity of the programmer. In this case, though, Turing explicitly supposes that *we give the machine a program*. One might wonder how someone can have a computer program in their hands that, when run, results in unanticipated behavior. The short answer is that, as Turing proved in his 1936 paper, under a reasonable assumption (the Church-Turing thesis), there will always be computers that are unpredictable even for someone who knows how they work.

The fourth quotation brings this point home. Rather than imagining that there is some lack of knowledge that makes brains and computers unpredictable, Turing imagines cases in which we peer

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<sup>&</sup>lt;sup>9</sup> Despite Turing's beginning his section on learning machines with an expressed interest in revisiting Lady Lovelace's objection, some seize upon isolated comments to conclude that for Turing, learning machines are merely an expedient path to building thinking machines. See, for example Davidson, 1990, p. 86). <sup>10</sup> I am grateful to an anonymous referee for pointing out possible interpretations of these quotations and provoking clarification of their significance.

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304 inside each and lack understanding of what we see. Again, Turing is 305 an expert on the existence of such cases. It would be very strange 306 to hold the first interpretation of these quotations in attempting to 307 explain the use of the word 'understanding' in the fourth quote. 308 After all, those who observe the computer described above pre-309 senting its symphonies don't merely lack understanding of how 310 the computer composes-they lack knowledge of how the com-311 puter operates altogether.

312 The epistemic-limitation condition names the lack of understanding that one has even after seeing how a machine works, that 313 is, by observing its program. Learning computers provide a possible 314 315 route to constructing such machines. In some cases, construction of a learning computer will fail to result in a machine that satisfies 316 the epistemic-limitation condition. However, only building in pre-317 318 viously understood programs in machines is guaranteed to result in 319 machines that fail the epistemic-limitation condition. Thus the first 320 two quotations emphasize the importance of not constructing ma-321 chines that contain previously understood forms.

So, there is ample textual evidence that, in addition to providing a sufficient condition on intelligence, namely, passing the Turing test, Turing also holds a necessary condition on intelligence: the epistemic-limitation condition, as I have called it. An obvious question is, how can Turing consistently hold both of these? Doesn't calling the Turing test a sufficient condition *mean* that no other necessary conditions must hold for something that passes it?

329 In short, Turing is committed to the empirical claim that satis-330 faction of his sufficient condition (passing the Turing test) implies 331 satisfaction of his necessary condition (the epistemic-limitation 332 one) for having intelligence. To use a term from a widely cited 333 and anthologized paper on the Turing test, Turing has what Ned 334 Block calls a 'psychologistic' condition on thinking, but thinks that this condition will be satisfied by anything that passes the suffi-335 cient condition.<sup>11</sup> 336

337 The last response to Turing's claimed behaviorism is intimately 338 connected to Dennett's response. In fact, Dennett's response can be 339 thought of as the claim that the implication from satisfaction of the 340 sufficient condition to satisfaction of the necessary condition can be justified on *a priori* grounds.<sup>12</sup> I won't offer an argument in sup-341 342 port of that here. In the absence of such an argument on Turing's 343 part, the parsimonious reading is that he simply believed a connec-344 tion between his necessary and sufficient conditions for intelligence 345 is likely, and worth testing.

#### 346 2.6. Summary

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Of the four responses to the claim that Turing offers a behavioral analysis of the possession of mental states, the last is the strongest. It does attribute to Turing the claim that passing the Turing test is a sufficient condition for intelligence, an apparently behavioral criterion. However, on the strength of considerable textual evidence, Turing believes that satisfaction of this behavioral criterion implies satisfaction of a non-behavioral criterion. Furthermore, Turing believes that this non-behavioral condition *must* be satisfied—is necessary for—having a mind.

So far I have been merely setting up the problem. Now that I have shown that Turing wasn't a behaviorist in any sense, one can ask: what influences was Turing acting under, if not his zeitgeist? I will show in the next section of the paper a significant influence for Turing in the formulation of his sufficient condition for intelligence.

#### 3. A Possible source for the Turing test

3.1. Introduction

In arguing for Turing's commitment to his necessary condition I 363 have suggested that the usual understanding of the Turing test is 364 mistaken: it is not an expression of behaviorism. It bears explaining, then, what historical influences (if any) contributed to Turing's 366 formulation of his test, and whether these provide additional insights into how to understand Turing's conception of his test. 368

Now I will show that his *sufficient* condition was not original to 369 Turing, but taken, with light modification, from a significant figure 370 in the history of philosophy. So, in the remainder of this paper, I 371 will discuss the origin of the Turing test. First I review some 372 hypotheses concerning what, if any, influences contributed to Tur-373 ing's development of his test. Then I offer and justify a particular 374 hypothesis. Finally, I try to show that the test, and its source, share 375 deep commonalities. In short, I claim that Turing's test, and Des-376 cartes' so-called language test, are epistemologically analogous-377 they play similar roles for each in collecting information about 378 whether some object thinks. I both appeal to existing interpreta-379 tions of Descartes and Turing, and offer new historical evidence 380 in support of this interpretation. 381

3.2. Descartes and Turing

Some commentators have tried to deduce the origin of the Turing test from an analysis of Turing's work. Here is part of an attempt by Hodges, in his biography of Turing: 383

The discrete state machine, communicating by teleprinter 386 alone, was like an ideal for [Turing's] own life, in which he 387 would be left alone in a room of his own, to deal with the out-388 side world solely by rational argument. It was the embodiment 389 of a perfect J.S. Mill liberal, concentrating upon the free will and 390 free speech of the individual. From this point of view, his model 391 was a natural development of the argument for his definition of 392 'computable' that he had framed in 1936, the one in which the 393 Turing machine was to emulate anything done by the individual 394 mind, working on pieces of paper. (Hodges, 1983, p. 425) 395

So, the Turing test, according to Hodges, is the confluence of Turing's views on the equivalence of effectively computable functions and Turing computable functions, and his own personal political and social temperament. In a similar vein, A.K. Dewdney writes '[Turing's] proposal [for the Test] was the essence of British fair play: A human judge would interact with either a computer or a human and then guess which was which' (Dewdney, 1992, p. 30).

Daniel Dennett is, to my knowledge, the only person to have 403 even considered the possibility that Turing may have been inspired 404 by previous philosophical thinking on the difference between 405 minds and machines. In the same article in which he denies that 406 Turing is a behaviorist, Dennett writes, 'Perhaps [Turing] was in-407 spired by Descartes, who in his Discourse on Method, plausibly ar-408 gued that there was no more demanding test of human 409 mentality than the capacity to hold an intelligent conversation' 410 (Dennett, 1985, pp. 5–6). In the relevant passage, Descartes argues 411 that there are sure ways to distinguish beings that think from mere 412 machines. I will quote a slightly longer passage than Dennett does 413 from the Discourse. 414

<sup>&</sup>lt;sup>11</sup> See Block (1981). Clearly, Ned Block does not find this view in Turing's own work. However, Block's paper can be understood as a defense of the epistemic-limitation condition, together with an argument that its relationship to Turing's sufficient condition must be contingent, not necessary.

<sup>&</sup>lt;sup>12</sup> Recently, Stuart Shieber has offered a sustained argument that the connection between satisfaction of plausible versions of Block's psychologistic requirement and passing the Turing test can be justified on mildly *empirical* grounds. See Shieber (2007, p. 709).

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415 ... if any such machines had the organs and outward shape of a 416 monkey or of some other animal that lacks reason, we should 417 have no means of knowing that they did not possess entirely 418 the same nature as these animals; whereas if any such 419 machines bore a resemblance to our bodies and imitated our 420 actions as closely as possible for all practical purposes, we 421 should still have two very certain means of recognizing that they were not real men. The first is that they could never use 422 words, or put together other signs, as we do in order to declare 423 our thoughts to others. For we can certainly conceive of a 424 machine so constructed that it utters words, and even utters 425 426 words which correspond to bodily actions causing a change in its organs (e.g. if you touch it in one spot it asks what you want 427 of it, if you touch it in another it cries out that you are hurting it, 428 429 and so on). But it is not conceivable that such a machine should 430 produce different arrangements of words so as to give an appro-431 priately meaningful answer to whatever is said in its presence. 432 as the dullest of men can do. Secondly, even though such machines might do some things as well as we do them, or per-433 haps even better, they would inevitably fail in others, which 434 435 would reveal that they were acting not through understanding 436 but only from the disposition of their organs. For whereas reason is a universal instrument which can be used in all kinds 437 of situations, these organs need some particular disposition 438 for each particular action; hence it is for all practical purposes 439 440 impossible for a machine to have enough different organs to 441 make it act in all the contingencies of life in the way in which

our reason makes us act (Descartes, 1637, pp. 139-140). 443 First, Descartes seems to think that, for machines lacking rationality, identical stimuli must give rise to identical responses ('if 444 you touch it one spot, it asks what you want...But it is not con-445 ceivable that such a machine should produce different arrange-446 447 ments....'). Second, Descartes seems to think that once a 448 machine has been assembled, there is a fixed, finite number of cir-449 cumstances it can behave appropriately in ('these organs need 450 some particular disposition for each particular action ....'). The sec-451 ond test is like the first, but involves observing an open ended vari-452 ety of abilities to accomplish physical, as opposed to verbal tasks.

453 The two related limitations of machines just mentioned pre-454 clude, on Descartes' account, the ability of a machine to acquire new dispositions, either for improving responses to circumstances 455 456 it is ill-suited to in its beginning, or for circumstances it is initially 457 unable to respond to at all. Descartes' reasoning thus leads naturally to Lady Lovelace's objection. 458

459 3.3. Descartes, Turing, and irony

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Many of us, in trying to motivate the idea of the Turing test to 460 461 students or colleagues, present these comments from the Discourse 462 as a tonic for the complaint that Turing was an unreflective behaviorist. In fact, Jack Copeland, who also identifies precursors of the 463 Turing test in the writings of Descartes and the Cartesian de Corde-464 moy, writes "The idea that the ability to use language is the hall-465 mark of a thinking being has a long history. Ironically, the 466 seventeenth century French philosopher Rene Descartes proposed 467 468 conversation as a sure way of distinguishing any machine, no mat-469 ter how subtle, from a genuine thinking being" (Copeland, 1993, 470 pp. 38–39). The irony, I take it, is that Turing, an apparent materi-471 alist about mind, and Descartes, a dualist, agree on how we can 472 determine that machines do or don't have minds.

473 However, other commentators have suggested, alternatively, that Descartes and Turing have distinct motivations for offering 474 their criteria for the presence of mind, and that their tests are 475 476 not even comparable (for example, Chomsky (2004)). First I will 477 establish a likely influence, for Turing, in formulating his test. Then I will examine each of these claims concerning the similarities between Descartes and Turing. 479

#### 3.4. The Turing test: an adapted language test

In this section I will argue that Turing's primary source of inspiration for the Turing test was not his British upbringing, social idiosyncrasies, nor even his views in computability theory. Rather, Turing's test finds its likely origin in, yes, Descartes' comments in the Discourse.

It is widely known that Turing, in writing his 1950 paper, read and responded to a paper called 'The Mind of Mechanical Man' by the neurosurgeon Geoffrey Jefferson. This paper was delivered as the Lister Oration at the Royal College of Surgeons of England on June 9, 1949. In responding to what he calls 'The Argument from Consciousness' against the possibility of machine thought, Turing quotes Jefferson at length. The online Digital Turing Archive contains an image of the page from the preprint of Jefferson's paper, in Turing's possession as he was writing his 1950 Mind paper, that is the source of this quote. In the margin, next to the passage from Jefferson that Turing quotes, there is a heavy line made in colored pencil. (http://www.turingarchive.org/viewer/?id=504&title=a)

The King's College Archive, at Cambridge University, in notes recorded when this preprint was donated to it, indicates that annotations to the preprint were in Turing's hand. The Archive's catalog entry describes the preprint in a batch of documents, left to the Archive by Robin Gandy, as having "annotations by AMT (Alan Turing)." (http://www.turingarchive.org/browse.php/B/33-57)

However, in examining Turing's preprint of the lefferson paper in the physical archive, I found a second heavy line-so heavy, that the indentation from the pencil carries through 5 pages. Here is the other passage that Turing annotated:

Descartes made the point, and a basic one it is, that a parrot repeated only what it had been taught and only a fragment of that; it never uses words to express its own thoughts. If, he goes on to say, on the one hand one had a machine that had the shape and appearance of a monkey or other animal without a reasoning soul (i.e., without a human mind) there would be no means of knowing which was the counterfeit. On the other hand, if there was a machine that appeared to be a man, and imitated his actions so far as it would be possible to do so, we should always have two very certain means of recognizing the deceit. First, the machine could not use words as we do to declare our thoughts to others. Secondly, although like some animals they might show more industry than we do, and do some things better than we, yet they would act without knowledge of what they were about simply by the arrangement of their organs, their mechanisms, each particularly designed for each particular action (cp. Karel Čapek's Robots). Descartes concluded: 'From which it comes that it is morally impossible that there be enough diversity in a machine for it to be able to act in all the occurrences of life in the same way that our reason would cause us to act. By these means we can recognize the difference between man and beasts.' He could even conceive a machine that might speak and, if touched in one spot, might ask what one wanted-if touched in another that it would cry out that it hurt, and similar things. But he could not conceive of an automaton of sufficient diversity to respond to the sense of all that could be said in its presence. It would fail because it had no mind (Jefferson, 1949, p. 1106).

It is therefore extremely likely that Turing was aware of Descartes' views on the claimed in-principle difference between minds and machines. Descartes' views at least helped crystallize Turing's own conception of the Turing test, and at most presented him with

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the idea *in toto*. The King's College Archive contains one preprint of the paper that Turing read and quoted from. That preprint contains two annotations that, according to the Archive, are in Turing's own hand. One annotation is of a passage explicitly quoted by Turing; the other is an annotation of an expression of the central idea of the 1950 paper: that thinking things can be distinguished from non-thinking things by a flexible ability to use natural language.

Jefferson's paper not only paraphrases Descartes' views, but endorses them. Jefferson asserts a materialist view, and presents his paper as an attempt to reject Descartes' dualism concerning brain and mental function. He states that the notion that minds are physical objects seems to offend both our sense of the richness of mental states, and our ethical and political self image. However, Jefferson goes on to try to show that although minds are physical things, no computer could ever pass Descartes' language test. Jefferson's reason for thinking this is that he is "quite sure that the extreme variety, flexibility, and complexity of nervous mechanisms are greatly underestimated by the physicists, who naturally omit everything unfavourable to a point of view" (Jefferson, 1949, p. 1110).

559 In consideration of 'the argument from consciousness,' Turing 560 quotes Jefferson to the effect that machines cannot think because, 561 no matter what they do, they will lack accompanying emotions and feeling. In the quoted passage, Jefferson lapses into a position 562 563 inconsistent with the one that appears elsewhere in his paper. That 564 is, Jefferson claims that even if a computer could perform language 565 tasks, one could still question whether or not consciousness or rea-566 son were behind the expressions. This comment is made despite 567 Jefferson's approving presentation of Descartes elsewhere in the 568 paper. Turing's selective presentation of Jefferson's views may have 569 prevented later readers of Turing from investigating Descartes' 570 influence on Turing, via Jefferson, further.

Jefferson, to use contemporary terms from cognitive science, rejects multiple realizability and adopts something like the dynamical hypothesis, claiming that machines can only be imperfect mimics of the brain: "however [the human brain's] functions may be mimicked my machines, it remains itself and is unique in Nature" (Jefferson, 1949, p. 1106).

In the next section I will argue that Descartes and Turing both understand their own tests in the same way: as empirical hypotheses concerning a theoretical commitment to the nature of mind. That is, I will show that each of them thinks that satisfaction of their test implies the presence of some inner, necessary condition for a mind. But, we will see, their commitment to this implication is subject to empirical investigation.

#### 584 3.5. Moral Impossibility

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First I will present a widely held understanding of Descartes' language test, argue briefly in favor of it against an alternative, and then show that this yields a deep epistemic commonality between the two tests. To begin, let us pose the difficult historical question: if Descartes was made aware both of the Turing test, and a machine that passed it, would he be compelled to abandon his view that mental substances and physical substances are distinct? Or, at least, we can pose the slightly less difficult question: what view would be consistent with Descartes' remarks on the language test?

Descartes' comments in the Discourse use qualifications to de-595 596 scribe the possibility of machines that pass the language test, but 597 do not possess reason (and therefore a soul). Descartes describes 598 the most complicated machines we can conceive of, and then says 599 that they 'could never' perform as even the stupidest humans do 600 with natural language (Descartes, 1637, p. 140). Finally, Descartes 601 says that for any machine that is as close to a person 'as possible for 602 practical purposes', we would have 'very certain' ways of telling it 603 apart from real people.

I want to suppose, then, that the qualification of 'moral impos-604 sibility' applies to the case of a machine that passes the language 605 test. Now, it is unlikely that Turing would have been aware of what 606 this term meant for Descartes. So we cannot argue from Turing's 607 exposure to Descartes' view that he understood the Turing test to 608 similarly provide the same level of certainty. On the other hand, 609 our question is served is by examining the definition of this tech-610 nical phrase. 611

In Principles of Philosophy, Part Four, Descartes writes

It would be disingenuous, however, not to point out that some things are considered as morally certain, that is, as having sufficient certainty for application to ordinary life, even though they may be uncertain in relation to the absolute power of God. <Thus those who have never been in Rome have no doubt that it is a town in Italy, even though it could be the case that everyone who has told them this has been deceiving them> (Descartes, 1644, pp. 289–90).

'Morally certain' in this passage means 'not absolutely certain'. It is therefore reasonable to interpret 'morally impossible' in the passage from the *Discourse* as 'not absolutely impossible'. Then there are at least two different interpretations of Descartes' remarks in the *Discourse*, one of which allows him to maintain his position even after being presented with the Turing test and a machine that passes it, and another that does not.

I call the first interpretation the 'conditional probability' one. According to it, the probability that a given object has a soul, given the evidence that it passes the Turing test, is extremely high. However, this evidence can be defeated on the discovery that the object is a mere machine. Let us interpret the modal operator  $\Box$  epistemically. That is, for any sentence *P*,  $\Box P$  will mean 'I believe it to be nearly impossible (but not absolutely impossible) that *P* is false.' In formal terms, the first reading of Descartes' commitment to the language test/Turing test can be expressed as

#### $\forall x \Box (PassesTheTuringTest(x) \rightarrow NotAMachine(x))$

I will call the second interpretation the 'Turing' interpretation. According to the Turing interpretation, Descartes holds a universal claim with near certainty. The claim is

#### $\Box \forall x (PassesTheTuringTest(x) \rightarrow NotAMachine(x))$

Notice that on this latter interpretation, Descartes is committed to the material conditional that passing the Turing test implies the presence of reason, as opposed to mere mechanism. However, although his level of commitment is high, it is possible that Descartes could be mistaken, in which case there is *no* implication from the ability to use natural language to the presence of some non-mechanical process.

The Turing interpretation is supported by some readers of Descartes. For example, in his analysis of Cartesian dualism, John Cottingham claims that Descartes provides divergent arguments that are in tension with one another. In particular, Cottingham claims that the language test displays a 'scientific' motivation for dualism which is defeasible on possible evidence, whereas Descartes' metaphysical argument (involving an argument for the separability of body and mind) is not subject to empirical evidence (Cottingham, 1992).

Cottingham neatly ties together Lady Lovelace's objection and Descartes' views, by suggesting that Descartes merely assumes that no machine can be built that is unpredictable by its creator (Cottingham, 1992, p. 250). Presumably, the creator of a mechanical device can see first hand the assemblage of organs with determined dispositions that will produce the device's behaviors. If a machine passes the language test, then it will have to perform in ways that its creator cannot anticipate, since otherwise the programmer will have to imagine all of the indefinite things the machine can do.

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On Cottingham's view, Descartes believes that the language test is
sufficient for distinguishing thinking beings from machines precisely because Descartes cannot imagine that a machine will ever satisfy the epistemic-limitation condition on intelligence.

Note that the Turing interpretation of Descartes' views of his 675 language test leaves open what Descartes would actually do if he 676 677 were confronted by a talking machine. Cottingham's interpretation implies that Descartes would give up his test in the face of an 678 apparent counterexample. On the other hand, Keith Gunderson 679 writes "Even if 'another Prometheus' made a highly convincing 680 talking mechanical man, I believe it is more likely that Descartes 681 682 would rather have claimed that a generous God had granted the clever fellow an extra soul to go with his invention, than submit 683 to the conclusion that we had no soul at all" (Gunderson, 1971, 684 685 p. 34). Whether or not Gunderson is right about what Descartes 686 would do. Gunderson in this passage attributes the Turing inter-687 pretation to Descartes. That is, Gunderson thinks that for Des-688 cartes, the alternative hypothesis, that the language test is insufficient, is less probable than some alternative involving a soul. 689 Gunderson does not think that Descartes has open to him the pos-690 691 sibility that he has been confronted by the rare machine that can 692 converse without having a soul.

Cottingham most clearly endorses the Turing interpretation of
Descartes' language test over the conditional probability interpretation with his claim that Descartes believes strongly that the limof physics would prevent any object, operating according to
purely mechanical principles, from having the ability to converse
in natural language (Cottingham, 1992, p. 252).

I will now provide some brief additional philosophical consider ations in support of the Turing interpretation of the language test
 over the conditional probability interpretation.

702 Suppose that a machine can be built that passes the Turing test, 703 and that Descartes is presented with it. Given a manufacturing tech-704 nique that can produce a single machine that passes a Turing test, 705 many more such machines can be created by just copying the first 706 one. So, if the very small likelihood obtains that a machine exists that 707 passes the Turing test, one can, conceivably, revise the probability of 708 some object's being a non-thinking possessor of natural language 709 ability to approach any measure of likelihood. Such scenarios in-710 clude ones in which the machines being manufactured take control 711 of the manufacturing process. Descartes clearly does not intend his commitment to the moral impossibility of language-using machines 712 to rely on individual empirical observations; rather, admitting the 713 714 existence of a language-using machine, even in a single instance, 715 requires rejecting whole networks of beliefs and commitments. 716 Therefore, even given the qualifications that Descartes offers, he 717 would be compelled to at least revisit his dualism if Turing's 718 assertion, that a single machine can be built that passes the Turing 719 test, is correct.

Here is an analogous commitment for Turing that both makes clear that he is not a behaviorist, and highlights the similarity between his understanding of his test and Descartes' (*SatisfiesE*pLim(x) means x satisfies the epistemic-limitation condition):

#### 726 $\Box \forall x (PassesTheTuringTest(x) \rightarrow SatisfiesEpLim(x))$

This is the view that I interpret Turing as holding. He holds, with high degree of certainty, that satisfaction of his sufficient condition for intelligence implies satisfaction of his necessary condition.

Consider now a conditional probability position on the relation ship between the necessary and sufficient conditions for intelli gence that Turing offers:

735  $\forall x \Box (PassesTheTuringTest(x) \rightarrow SatisfiesEpLim(x))$ 

Turing cannot hold the weaker, conditional probability view,
 and maintain a necessary condition on intelligence, while holding
 that passing the Turing test is a sufficient condition for having a

mind. Given the evidence for Turing's commitment to his necessary condition on intelligence, I claim that the first interpretation of Turing's position, analogous to what I have called the 'Turing' interpretation of Descartes, is more plausible. In both cases, there is a strong commitment to a relationship between possession of properties that may be falsified through further empirical and theoretical investigation. Both Turing and Descartes hold their test in the same status, *mutatis mutandis* for each's necessary condition for having a mind.

There is a limit, of course, to how similar Descartes and Turing can be in their understanding of their tests. Descartes subscribes to a necessary, internal and sufficient condition for the possession of intelligence: the having of an immaterial soul. Descartes believes, though, that we can't observe souls directly in others, and must rely on the test to detect their presence. Turing, on the other hand, has his test (constituting a scientific commitment rather than a statement of a behaviorist condition for intelligence), but no independent sufficient condition for mind. Perhaps we can make sense, then, of Turing's distaste for discussions of the meaning of terms like 'thinking,' and his (merely apparent) suggestions that the imitation game operationalizes intelligence. By rejecting dualism, Turing has no alternative, internal, sufficient condition for intelligence. But, Turing encourages us, this gap in our understanding need not preclude scientific inquiry.

#### 4. Conclusion

So, I believe there is ample evidence that Turing at least conceived of his own test as fulfilling just the purpose that Descartes' fulfilled for him. I have argued for this by presenting extant interpretations of Descartes, analysis of Turing's texts, and philosophical analysis of the views of each.

Turing is in a dialogue spanning centuries in which he is presented with the view that, due to some hidden property, humans are able to engage in natural language conversations, but computers aren't. Faith that a machine can be built that passes the Turing test constitutes a denial of this claim, together with the belief that such a machine can be built lacking any special physical or metaphysical property. Viewed this way, the Turing test is not a merely rhetorical tool designed to influence scientific or social commitments, but instead a concrete method for settling philosophical disputes over what can be taken to indicate the presence of a mind. I claim that the Turing test and Descartes' language test fulfill exactly the same purpose—testing for the presence of some property that is necessary for mind, and claimed by some to be unimplementable in mere machines.

Turing was aware of Descartes' language test, and likely was inspired by this to come up with the Turing test. Finally, on a defensible reading of both Descartes and Turing, performance in natural language contexts indicates to both a hidden, necessary property for intelligence.

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