

1

T

2 **Theory of Mind and Nonhuman**
 3 **Intelligence**

4 [AU1](#) Brandon Tinklenberg
 5 York University, Toronto, Canada

6 **Synonyms**

7 [Mental state attribution](#); [Mindreading](#); [Social](#)
 8 [cognition](#)

9 **Definition**

10 The cognitive ability to predict or explain an indi-
 11 viduals' behavior through the attribution of men-
 12 tal states.

13 **Introduction**

14 Comparative cognition researchers have long
 15 been interested in the nature of nonhuman animal
 16 social capacities. One capacity has received pro-
 17 longed attention: mindreading, or “theory of
 18 mind” ~~as it also called~~, is often seen to be the
 19 ability to attribute mental states to others in the
 20 service of predicting and explaining behavior.
 21 This attention is garnered in no small measure
 22 from interest into what accounts for the distinctive
 23 features of human social cognition and what are
 24 the evolutionary origins of those features. This

entry surveys: (1) main hypotheses concerning 25
 the adaptive value of mindreading, (2) theoretical 26
 problems complicating our ability to determine 27
 whether nonhuman animals mindread, and finally 28
 (3) proposals that mindreading is a plural rather 29
 than unitary cognitive system. 30

Social Intelligence Hypothesis 31

One intuitive idea is that mindreading evolved in 32
 response to social pressures. The Social Intelli- 33
 gence Hypothesis asserts that the ability to reason 34
 about the intelligent action of group members 35
 affords greater benefits as social settings become 36
 more complex (Humphrey 1976; Jolly 1966). 37
 Certain social groupings seem to require substan- 38
 tial cognitive control on behalf of group members. 39
 Individuals must potentially be able to recognize 40
 and track particular individuals, kin relationships, 41
 and dominance hierarchies, all of which are sub- 42
 ject to rapid changes over time. The Social Intel- 43
 ligence Hypothesis holds that social environments 44
 are necessary conditions on the development of 45
 social cognitive skills like mindreading. To sub- 46
 stantiate this hypothesis, it is important to deter- 47
 mine whether nonhuman animals that lack the 48
 complexity of social groupings seen in similar 49
 species also lack analogous social cognition skills 50
 (Vonk et al. 2015). For instance orangutans, which 51
 are relatively solitary in relation to other great 52
 apes, are sufficiently similar with regards to social 53
 cognitive skills (Herrmann et al. 2007). 54

55 Exactly what social cognition skills were
 56 selected for is a further question. The ability to
 57 reason about others' behavior in social contexts
 58 might be useful in out-competing conspecifics.
 59 Known under the guise of the "Machiavellian
 60 hypothesis," some argue making better predic-
 61 tions of others' future behavior allows for one to
 62 manipulate others through various forms of
 63 deception (Whiten and Byrne 1988). As individ-
 64 uals gain more sophisticated understanding of
 65 social action and greater predictive success, com-
 66 petition becomes tough, thus creating an evolu-
 67 tionary "arms race." This hypothesis interprets the
 68 coalition formation and reconciliation behavior
 69 found in many primates in terms of long-term
 70 strategic responses, though there may be more
 71 mundane reasons, such as tracking food locations
 72 that promote this behavior (see Barrett and Henzi
 73 2005).

74 An alternative view, one commensurate with
 75 Alison Jolly's initial account, suggests that coop-
 76 erative social learning, not competition among
 77 conspecifics, led to the development of sophisti-
 78 cated social cognitive skills such a mindreading
 79 (Andrews 2012a; Heyes and Frith 2014). Social
 80 learning is the transmission of information across
 81 group members within a social context. While
 82 mindreading ability may not be required to engage
 83 in forms of social learning in mimicry and imita-
 84 tion, other social cognition skills may be required.
 85 For example, imitation might require that agents
 86 have (i) a "natural pedagogy" or evolved interpre-
 87 tive biases towards demonstrators and (ii) take a
 88 teleological stance, i.e., attribute purpose, design,
 89 or function, to others (Csibra and Gergely 2005).
 90 Some comparative evidence suggests that over-
 91 imitation, or the tendency to imitate demonstra-
 92 tors' behaviors in spite of their causal irrelevancy,
 93 is a distinctively human strategy facilitating more
 94 rapid social learning of instrumental skills and
 95 social conventions (Horner and Whiten 2004). It
 96 has been hypothesized then that our species-
 97 specific proclivity for high fidelity imitation may
 98 be linked to "cumulative cultural transmission":
 99 instrumental skills and social conventions are not
 100 only inherited across generations – imitated
 101 behaviors may be recombined in novel contexts

and in innovative ways (Legare and Neilsen 102
 2015). 103

The Logical Problem 104

105 It is widely held that reasoning about the inten-
 106 tional actions of others is a form of causal
 107 reasoning – we attribute unobservable causal
 108 determinants of others' behavior in intentional
 109 explanations just as we do when we discover
 110 what makes simple machines function. This
 111 view receives partial support from developmental
 112 research on causal reasoning. Alison Gopnik and
 113 her colleagues introduced young children to boxes
 114 called "blickets" that would light up or make a
 115 sound under various parameters, such as when
 116 some collection of objects and the device were
 117 in direct contact (Gopnik and Sobel 2000). They
 118 concluded that not only did children recruit mem-
 119 ories of prior interactions when being asked to
 120 predict what would make the blicket work, they
 121 were sensitive to the potential causal mechanisms
 122 at work. While in many cases causal reasoning
 123 requires knowledge of the observable states of
 124 objects at different times, it sometimes requires
 125 the positing of intermediate states that are percep-
 126 tually opaque yet causally relevant in the assess-
 127 ment of observed events. The perceptually opaque
 128 causal determinants in the case of mindreading are
 129 internal mental states – the beliefs and desires or
 130 perceptions and goals that cause the resultant
 131 behavior.

132 Comparative researchers disagree about the
 133 causal reasoning abilities of nonhuman animals.
 134 While Penn and Povinelli (2007) claim ~~that their~~
 135 studies suggest that chimpanzees do not share
 136 proficiency at inferring the underlying causal
 137 structure of phenomena with humans, other
 138 researchers have found evidence of causal reason-
 139 ing in great apes on a par with that which we find
 140 in human children. Völter and Call (2014) show
 141 that apes infer causal structures from the
 142 coactivation of blicket detectors similarly to
 143 young children and can recruit this knowledge in
 144 their interventions.

145 Assuming mindreading is analogous to causal
 146 reasoning in the way hinted above, there is further

AU2

AU3

147 disagreement as to whether nonhuman animals'
 148 social cognitive abilities call upon unobservable
 149 intentional states. While evidence suggests that
 150 subordinate chimpanzees know what dominant
 151 chimpanzees observe when competing over a
 152 food source (Hare et al. 2000), whether their
 153 behavioral preferences involve the attribution of
 154 mental states is hotly contested. Povinelli and
 155 Vonk (2003) take issue with these and similar
 156 findings, on the grounds they are subject to "the
 157 logical problem". Assume we are deciding
 158 between two hypotheses regarding a subjects'
 159 behavior in a social context. The mindreading
 160 hypothesis assumes the subject confers mental
 161 states on some conspecific in the service of pre-
 162 dicting their future behavioral states. The compet-
 163 ing behavior reading hypothesis assumes she
 164 confers only behavioral states. If we are limited
 165 to the subjects' behavior when deciding between
 166 these two hypotheses, it seems just as likely that
 167 the subject relies on associations between observ-
 168 able behaviors in predicting the future states of
 169 conspecifics as it does that they would rely on the
 170 unobservable mental states.

171 Exactly what the logical problem means for
 172 comparative research and whether it can be solved
 173 is up for debate. ~~Tomasello &~~ Tomasello and Call
 174 (2006) argue that mindreading hypotheses pro-
 175 vide the best explanation since they unify a
 176 range of very different experiments already dem-
 177 onstrated. Others, while not so sanguine, are
 178 hopeful that a novel experimental design could
 179 solve the problem (e.g., Lurz 2012; Heyes 2015;
 180 Sober 2015, and Bugnyar et al. 2016). Halina
 181 (2015) argues that the logical problem is not a
 182 unique theoretical dilemma for comparative
 183 researchers, so there is no special epistemic bur-
 184 den with regards to disproving competing behav-
 185 ior reading hypotheses. Andrews (2012b)
 186 similarly suggests that the logical problem is an
 187 ancillary of the philosophical problem of other
 188 minds: what justifies my attributions of mental
 189 states to others, given that my access to their
 190 mental life is always mediated through their
 191 behavior? Buckner (2014) evinces that in order
 192 to solve the logical problem, there must be a
 193 unique causal role for the contents of an agent's
 194 mental states to play in determining their action.

195 But which interpretations of the content of inter-
 196 nal mental states are causally efficacious, and how
 197 so? Because any observable behavior is compati-
 198 ble with a potentially infinite set of mental atti-
 199 tudes, accurate attribution that would facilitate
 200 causal reasoning about observable actions of
 201 others appears to be computationally intractable
 202 (Zadwizki 2014).

Multiple Systems Hypothesis

203
 204 Just as one might distinguish between an agent's
 205 explicit, reflective, deliberate knowledge from
 206 their implicit, heuristic-based, automatic knowl-
 207 edge of the causal structure of some physical
 208 system, we might discover multiple processing
 209 systems for mindreading. Children can correctly
 210 verbally identify and track false beliefs at around
 211 4 years of age. Even though some nonhuman
 212 animals consider others' perceptual perspectives,
 213 this ability is not on par with children's ability to
 214 verbally reason about others' beliefs. That said,
 215 testing false belief responsiveness by measuring
 216 preferential looking times has some now thinking
 217 that preverbal infants have mindreading skills as
 218 well (Onishi and Baillargeon 2005). Infants' sur-
 219 prising performance in social contexts means
 220 could help make sense of the ontogeny and phy-
 221 logeny of social cognition. Some conjecture that
 222 mindreading is not a unitary process, but rather
 223 can be decomposed into unique social cognition
 224 skills that have divergent evolutionary and devel-
 225 opmental trajectories.

226 Partial evidence for these views comes from
 227 variations in reaction times in belief attribution.

228 By comparing performance across species and
 229 developmental stages, researchers aim to identify
 230 "signature limits" which reveal the contours of
 231 mindreading abilities (Butterfill and Apperly
 232 2013). Signature limits indicate restrictions on
 233 the performance of some relevant tasks and can
 234 help to illuminate the mechanisms involved in
 235 performing the task. For example, while we
 236 require additional processing to report on some-
 237 one's belief; it takes longer to answer questions
 238 about a person's belief than it does to answer
 239 factual questions about the situation (Back and

240 Apperly 2010). Perspectival information is differ-
 241 ent; perceptual information about others' point of
 242 view are automatically processed by subjects
 243 (Samson et al. 2010). These studies suggest that
 244 adults' judgments about the number of objects
 245 they could see in a visual scene were slower and
 246 more error-prone when the scene contained an
 247 irrelevant agent whose visual perspective was dif-
 248 ferent, suggesting that another perspective can
 249 caused an indicative interference effect.

250 If mindreading was not a unitary cognitive
 251 system in humans, then nonhuman animal social
 252 skills may mirror some aspects of human capaci-
 253 ties and not others. Still there are other ways of
 254 interpreting limitations on subjects' performance
 255 that do not necessitate the ascription of multiple
 256 processes. Subjects' performance limitations
 257 could be the result of limitations on domain gen-
 258 eral capacities such as working memory
 259 (Carruthers 2016) or infants' preferential looking
 260 times may be the result of implicit memory of the
 261 visual contact between the agent and the objects
 262 and experience with this sort of behavior (Perner
 263 forthcoming).

264 **Conclusion**

265 Investigations into the social cognition skills of
 266 nonhuman animals has profited from the pro-
 267 longed interaction between researchers in devel-
 268 opmental psychology, neuroscience, ecology,
 269 anthropology, and philosophy. Above we focus
 270 on the development of research with regards to
 271 mindreading or the ability to reason about others'
 272 mental states in the service of predicting and
 273 explaining their behavior. Importantly we see the
 274 question of what is the nature of mindreading and
 275 what is its adaptive value occur in a parallel,
 276 piecemeal fashion.

277 **Cross-References**

- 278 ▶ Ability to Recognize Individuals
- 279 ▶ Brian Hare
- 280 ▶ Causal Reasoning
- 281 ▶ Cooperation and Social Cognition

- ▶ Emergence of Social Reasoning about 282
Hierarchies 283
- ▶ Michael Tomasello 284
- ▶ Nonhuman Primates 285
- ▶ Predicting Events and Behavior 286
- ▶ Social Cognition 287
- ▶ The False Belief Test 288
- ▶ The Social Intelligence Hypothesis 289
- ▶ Theory of Mind 290

References

291 [AU5]

Andrews, K. (2012a). *Do apes read minds? Toward a new* 292
folk psychology. Cambridge, MA: MIT Press. 293

Andrews, K. (2012b). Review of mindreading animals: 294
The debate over what animals know about other 295
minds by R. Lurz. Notre Dame philosophical review. 296
Retrieved from [http://ndpr.nd.edu/news/29824-](http://ndpr.nd.edu/news/29824-mindreading-animals-the-debate-over-what-animals-know-about-other-minds/) 297
[mindreading-animals-the-debate-over-what-animals-](http://ndpr.nd.edu/news/29824-mindreading-animals-the-debate-over-what-animals-know-about-other-minds/) 298
[know-about-other-minds/](http://ndpr.nd.edu/news/29824-mindreading-animals-the-debate-over-what-animals-know-about-other-minds/) 299

Back, E., & Apperly, I. A. (2010). Two sources of evidence 300
on the non-automaticity of true and false belief ascrip- 301
tion. *Cognition*, 115(1), 54–70. 302

Barrett, L., & Henzi, P. (2005). The social nature of primate 303
cognition. *Proceedings of the Royal Society B*, 272, 304
1865–1875. 305

Buckner, C. (2014). The semantic problem(s) with research 306
on animal mindreading. *Mind and Language*, 29(5), 307
566–589. 308

Bugnyar, T., Reber, S., & Buckner, C. (2016). Ravens 309
attribute visual access to unseen competitors. *Nature* 310
Communications, 7, 10506. 311

Butterfill, S. A., & Apperly, I. A. (2013). How to construct 312
a minimal theory of mind. *Mind & Language*, 28(5), 313
606–637. 314

Carruthers, P. (2016). Two systems for mindreading? *The* 315
Review of Philosophy & Psychology, 7, 141–162. 316

Csibra, G., & Gergely, G. (2005). Social learning and 317
social cognition: The case for pedagogy. In 318
M. H. Johnson & Y. Munakata (Eds.), *Progress of* 319
change in brain and cognitive development. Attention 320
and performance, XXI. Oxford: Oxford University 321
Press. 322

Gopnik, A., & Sobel, D. M. (2000). Detectingblickets: 323
How young children use information about novel 324
causal powers in categorization and induction. *Child* 325
Development, 71(5), 1205–1222. 326

Halina, M. (2015). There is no special problem of 327
mindreading in nonhuman animals. *Philosophy of Sci-* 328
ence, 82, 473–490. 329

Hare, B., Call, J., Agnetta, B., & Tomasello, M. (2000). 330
Chimpanzees know what conspecifics do and do not 331
see. *Animal Behaviour*, 59, 771–785. 332

Herrmann, E., Call, J., Hernández-Lloreda, M. V., Hare, B., 333
& Tomasello, M. (2007). Humans have evolved 334

- 335 specialized skills of social cognition: The cultural intel- 367
 336 ligence hypothesis. *Science*, 317, 1360–1366. 368
- 337 Heyes, C. (2015). Animal mindreading: What's the prob- 369
 338 lem? *Psychonomic Bulletin Review*, 22(2), 313–327. 370
- 339 Heyes, C. M., & Frith, C. D. (2014). The cultural evolution 371
 340 of mind reading. *Science*, 344(6190), 1243091. 372
- 341 Horner, V., & Whiten, A. (2004). Causal knowledge and 373
 342 imitation/emulation switching in Chimpanzees (Pan 374
 343 Troglodytes) and children (Homo Sapiens). *Animal 375*
 344 *Cognition*, 8(3), 164–181. 376
- 345 Humphrey, N. K. (1976). The social function of intellect. 377
 346 In P. P. G. Bateson & R. A. Hinde (Eds.), *Growing 378*
 347 *points in ethology* (pp. 303–317). Cambridge, UK: 379
 348 Cambridge University Press. 380
- 349 Jolly, A. (1966). Lemur social behavior and primate intel- 381
 350 ligence. *Science*, 153, 501–506. 382
- 351 Legare, C., & Neilsen, M. (2015). Imitation and innova- 383
 352 tion: The dual engines of social learning. *Trends in 384*
 353 *Cognitive Science*, 19(11), 688–698. 385
- 354 Lurz, R. (2012). *Mindreading animals: The debate over 386*
 355 *what animals know about other minds*. Cambridge, 387
 356 MA: MIT Press. 388
- 357 Onishi, K. H., & Baillargeon, R. (2005). Do 15-month-old 389
 358 infants understand false beliefs? *Science*, 308, 390
 359 255–258. 391
- 360 Penn, D., & Povinelli, D. J. (2007). Causal cognition in 392
 361 humans and nonhuman animals: A comparative, critical 393
 362 review. *Annual Review of Psychology*, 58, 97–118. 394
- 363 Perner, J. (forthcoming). Theory of mind—an unintelligent 395
 364 design: From behaviour to teleology and perspective. 396
 365 In A. M. Leslie and T. C. German (Eds.), *Handbook of 397*
 366 *theory of mind*. Erlbaum. 398
- Povinelli, D. J., & Vonk, J. (2003). Chimpanzee minds: 367
 Suspiciously human? *Trends in Cognitive Science*, 7, 368
 157–160. 369
- Samson, D., Apperly, I. A., Braithwaite, J. J., Andrews, 370
 B. J., & Bodley Scott, S. E. (2010). Seeing it their way: 371
 Evidence for rapid and involuntary computation of 372
 what other people see. *Journal of Experimental Psy- 373*
chology. Human Perception and Performance, 36(5), 374
 1255–1266. 375
- Sober, E. (2015). *Ockham's Razors: A users manual*. Cam- 376
 bridge: Cambridge University Press. 377
- Thompson, J. (2014). Signature limits in mindreading sys- 378
 tems. *Cognitive Science*, 38(7), 1432–1455. 379
- Tomasello, M., & Call, J. (2006). Do Chimpanzees know 380
 what others see, or only what they are looking at? In 381
 S. Hurley & M. Nudds (Eds.), *Rational animals?* 382
 (pp. 371–384). Oxford, UK: Oxford University Press. 383
- Völter, C., & Call, J. (2014). The cognitive underpinnings 384
 of flexible tool use in great apes. *Journal of Experimen- 385*
tal Psychology: Animal Learning and Cognition, 40(3), 386
 287–302. 387
- Vonk, J., McGuire, M., & Johnson-Ulrich, Z. (2015). Evo- 388
 lution of social cognition. In V. Zeigler-Hill, 389
 L. L. M. Welling, & T. K. Shackelford (Eds.), *Evolu- 390*
tionary perspectives on social psychology. Cham: 391
 Springer. 392
- Whiten, A., & Byrne, R. W. (1988). *Machiavellian intelli- 393*
gence: Social expertise and the evolution of intellect in 394
monkeys, apes, and humans. Oxford: Oxford Univer- 395
 sity Press. 396
- Zadwizki, T. (2014). *Mindshaping*. Cambridge, MA: MIT 397
 Press. 398