

The rehabilitation of face recognition impairments: A critical review and future directions

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Abstract

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53 While much research has investigated the neural and cognitive characteristics of face
54 recognition impairments (prosopagnosia), much less work has examined their rehabilitation.
55 In this paper, we present a critical analysis of the studies that have attempted to improve face-
56 processing skills in acquired and developmental prosopagnosia, and place them in the context
57 of the wider neurorehabilitation literature. First, we examine whether neuroplasticity within
58 the typical face-processing system varies across the lifespan, in order to examine whether
59 timing of intervention may be crucial. Second, we examine reports of interventions in
60 acquired prosopagnosia, where training in compensatory strategies has had some success.
61 Third, we examine reports of interventions in developmental prosopagnosia, where
62 compensatory training in children and remedial training in adults have both been successful.
63 However, the gains are somewhat limited – compensatory strategies have resulted in laboured
64 recognition techniques and limited generalisation to untrained faces, and remedial techniques
65 require longer periods of training and result in limited maintenance of gains. Critically,
66 intervention suitability and outcome in both forms of the condition likely depends on a
67 complex interaction of factors, including prosopagnosia severity, the precise functional locus
68 of the impairment, and individual differences such as age. Finally, we discuss future
69 directions in the rehabilitation of prosopagnosia, and the possibility of boosting the effects of
70 cognitive training programmes by simultaneous administration of oxytocin or non-invasive
71 brain stimulation. We conclude that future work using more systematic methods and larger
72 participant groups is clearly required, and in the case of developmental prosopagnosia, there
73 is an urgent need to develop early detection and remediation tools for children, in order to
74 optimise intervention outcome.

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77 **Keywords:** Face recognition; prosopagnosia; neurorehabilitation; cognitive training; face
78 processing.

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**The rehabilitation of face recognition impairments:
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1. Introduction

Prosopagnosia is a cognitive condition characterised by a relatively selective deficit in face recognition. Traditionally the disorder has been described in a small number of individuals who acquire face recognition difficulties following neurological injury or illness, typically affecting occipitotemporal regions (de Renzi et al., 1994; Gainotti and Marra, 2011). Although acquired prosopagnosia (AP) in its purest form is a rare condition (Gloning et al., 1967; Zihl and von Cramon, 1986), many more individuals with brain damage are believed to experience moderate-to-severe face-processing deficits alongside other cognitive impairments (Hécaen and Angelergues, 1962; Valentine et al., 2006). Further, as many as 2.9% (Bowles et al., 2009) of the population may experience developmental prosopagnosia (DP) – an apparently parallel form of the disorder that occurs in the absence of neurological injury or lower-level visual deficits (e.g. Bate and Cook, 2012; Duchaine and Nakayama, 2005). While some people cope relatively well with prosopagnosia, it can have a devastating effect on an individual’s everyday social and occupational functioning (Yardley et al., 2008). Hence, exploration of the remediation of prosopagnosia is an urgent clinical issue that, unfortunately, has received little attention to date. It is important to note that rehabilitation is not necessary in all cases of prosopagnosia –some people with DP cope relatively well, and many devise their own strategies to recognise the people around them (e.g., Fine, 2012). However, Yardley et al. (2008) note that the majority of their participants reported negative psychosocial experiences related to DP, particularly at a younger age. As such, investigations into the effectiveness of remediation techniques – especially those used in children – are important both on a theoretical and a practical level.

The few studies that have attempted to remedy face-processing deficits in individuals with AP or DP are summarised in Table 1. In the current paper, we present a critical review of substantive published attempts to rehabilitate AP and DP, examining both the design of each training programme and the research participants themselves, in an attempt to place the findings in the context of the wider neurorehabilitation literature. It has been argued that the main aim of neuropsychological rehabilitation is to reduce the impact of impairments on everyday living, whether through restoration of function or the adoption of coping strategies (Wilson, 2003). In the context of face recognition, rehabilitation may therefore encourage an individual to develop compensatory strategies that aid person recognition, or attempt to restore – or, in the case of DP, to develop – normal face-processing mechanisms via more extensive visuo-cognitive training (referred to as “remedial training” in this paper). Although the neurorehabilitation literature is vast, it has seldom been applied to disorders of face-processing. As such, current research offers little guidance as to which approach (compensatory or remedial) may be more effective in prosopagnosia, or the factors that may influence the effectiveness of each method. Therefore, the main aim of this review is to provide guidance on this issue.

< Insert Table 1 >

First, we address the question of whether the typical face-processing system retains neuroplasticity throughout the lifespan – in other words, is there evidence that the face-processing system might be able to learn or improve face-specific processing mechanisms at any point in time, or should prosopagnosia interventions focus primarily on critical periods of development or the development of compensatory strategies? Second, we examine

150 intervention studies in AP and DP, with a specific focus on factors that may affect success,
 151 including the nature of the disorder, the type of intervention, and individual differences
 152 between participants. Finally, we discuss future directions in the rehabilitation of
 153 prosopagnosia.

154

155 **2. Does the typical face-processing system remain plastic throughout the lifespan?**

156 The term “neuroplasticity” typically refers to a neural system’s capacity to learn new skills or
 157 improve existing capabilities, either during normal development or after neurological damage
 158 (e.g. Huttenlocher, 2002). Traditionally, there have been two main theories on neuroplasticity
 159 (Thomas, 2003). The first proposes that an innate blueprint specialises cognitive systems for
 160 a particular function, which emerges during critical periods within development. This
 161 perspective suggests that once the relevant neural structures have been specialized for their
 162 purpose, any damage can only be overcome by the adoption of compensatory behavioural
 163 strategies. In face-processing, this might take the form of recognising people based on
 164 individual facial features, or using additional semantic cues during face encoding. In contrast,
 165 the other viewpoint proposes that the brain retains plasticity throughout the lifespan, and
 166 hidden reserves may aid the acquisition of new skills or compensate for damage – providing
 167 that appropriate intervention techniques are used. Drawing on the available
 168 neurorehabilitation literature, Thomas (2003) concludes that the brain’s structures are not
 169 irreversibly determined by an innate plan, but plasticity is nevertheless limited. Further, these
 170 limits may fluctuate throughout development, and are not necessarily consistent across
 171 different neural systems. Therefore, before examining neuroplasticity in the context of
 172 prosopagnosia, it follows that neuroplasticity within the typical face-processing system
 173 should be examined. That is, is it theoretically possible that face recognition skills can be
 174 improved at any point in the lifespan, or does research using neurotypical participants
 175 indicate that any plasticity in the neural face-processing system is short-lived following birth?

176

177 A dominant theory of the development of face-processing posits that crude brain circuits
 178 become specialized for face recognition in response to early visual experience with faces (the
 179 ‘perceptual narrowing’ hypothesis: Nelson, 2001). Evidence supporting this theory comes
 180 from findings that very young infants can discriminate between monkey and other-race faces,
 181 whereas older infants and adults no longer have this ability (e.g. Kelly et al., 2007; Pascalis et
 182 al., 2002). Although these findings suggest some plasticity in the face-processing system in
 183 the first few months of life, Nelson suggests that early specialization of neural tissue for face-
 184 processing may lead to a lack of plasticity in later years.

185

186 Behavioural studies tracking the development of face recognition skills also suggest that
 187 specialised face processing systems emerge early in life. In a review of developmental studies
 188 conducted to date, Crookes and McKone (2009) conclude that adult-like face-processing
 189 strategies are obtained by early childhood in qualitative if not quantitative terms, suggesting a
 190 window for plasticity only within the first years of life. For example, one key marker of
 191 mature face-processing skills is the ability to process faces on a holistic basis, taking into
 192 account the overall configuration of facial features and the spacing between them (Maurer et
 193 al., 2002). As Crookes and McKone note, evidence of holistic processing has been observed
 194 in children as young as 3 or 4 years using classical paradigms such as the face inversion
 195 effect (Sangrigoli and De Schonen, 2004), the composite effect (De Heering et al., 2007;
 196 Macchi Cassia et al., 2009a), the part-whole effect for upright but not inverted faces
 197 (Pellicano and Rhodes, 2003), and tests that assess sensitivity to spacing between facial
 198 features (McKone and Boyer, 2006; Pellicano et al., 2006). A second marker of adult-like
 199 face-processing skills is the “inner-feature advantage” whereby adults are more proficient at

200 recognizing familiar faces from the inner compared to the outer features (Ellis et al., 1979;
201 Young et al., 1985) - a preference that has also been observed in children as young as 5 years
202 of age (Wilson et al., 2007). Further, Pozzulo and Lindsay (1998) reported a meta-analysis
203 that summarized findings from eye-witness studies that used children as participants. In
204 agreement with the above studies, the authors noted that children as young as five years of
205 age display adult-like performance in their ability to identify perpetrators from target-present
206 (but not target-absent) line-ups. These studies therefore indicate that, despite evidence
207 indicating a large increase in face recognition ability throughout childhood (presumably due
208 to the need for more generalized mechanisms to develop), there is no qualitative change in
209 face perception beyond 4-5 years of age. In fact, given increasing evidence that even infants
210 are capable of holistic processing (Bhatt et al., 2005; Cohen and Cashon, 2001; Hayden et al.,
211 2007) it is possible that face-processing skills are fully-developed at a very early age,
212 implying a limit on plasticity beyond early childhood. This idea is supported by studies of
213 adolescents and adults who were born with dense cataracts – despite the fact that the cataracts
214 were removed before 7 months of age, participants show abnormal face-processing skills (Le
215 Grand et al., 2001, 2004) but normal object discrimination (Robbins et al., 2010), indicating
216 that early visual input is particularly important for the development of face-processing
217 mechanisms.

218
219 While early visual input may be necessary for the initial development of face-processing
220 mechanisms, it remains possible that these mechanisms can be refined or altered later in life.
221 Despite evidence of early commitment to face-specific regions, neuroimaging studies suggest
222 that the cortical face-processing system (Haxby et al., 2000; Gobbini and Haxby, 2007)
223 continues to develop well into adolescence. For instance, Passarotti et al. (2003) found more
224 diverse activation in the fusiform region for children as opposed to adults. Similarly, Gathers
225 et al. (2004) reported that activation in the fusiform gyrus is not greater for faces compared
226 with objects until 10 years of age, although they did note such activation more posteriorly in
227 the inferior occipital region. Other studies suggest that both activation of the core face-
228 processing system and connectivity between the different neural areas changes between the
229 ages of 7 and 11 years (Cohen Kadosh et al., 2011, 2013). Event-related potential (ERP)
230 components also continue to mature through late childhood into early adolescence: Taylor et
231 al. (2004) reported that face inversion did not influence the face-specific N170 response until
232 8-11 years of age. While these findings raise the possibility that plasticity may remain in the
233 face-processing system at least until adolescence, de Schonen et al. (2005) warn that
234 plasticity during typical brain development is most likely due to modification of synaptic
235 organization, rather than redistribution of face-processing mechanisms to other cortical
236 regions. Hence, these findings do not imply that other neural areas can simply take over face-
237 processing following brain damage.

238
239 There are also several lines of evidence that support the idea that the face-processing system
240 may retain some plasticity even in adulthood. For instance, Germine et al. (2010) tested over
241 60 000 participants aged from pre-adolescence to middle-age on their ability to learn new
242 faces. In three experiments, Germine and colleagues found that face learning ability improves
243 up until the age of 30, although the recognition of inverted faces and name recognition peak
244 at a much earlier age. Other evidence supporting plasticity in the adult face-processing
245 system comes from studies of the other-race effect, or the finding that we are better at
246 recognizing faces from our own race than those from other races (e.g. Malpass and Kravitz,
247 1969). Critically, one of the explanations for this effect is based on the presumption that the
248 phenomenon reflects the lack of experience the viewer has had with faces from the other race
249 (Hancock and Rhodes, 2008; Meissner and Brigham, 2001). Although the effect has been

250 observed in infants as young as three months of age (e.g. Kelly et al., 2005, 2007; Sangrigoli
 251 and de Schonen, 2004), evidence suggests it remains plastic and reversible even in adulthood.
 252 Specifically, Hancock and Rhodes (2008) found a reduced other-race effect, accompanied by
 253 increased holistic processing, for participants who reported higher levels of contact with
 254 another race (see also Meissner & Brigham, 2001; Rhodes et al., 2009; Sangrigoli et al.,
 255 2005; and de Heering & Rossion, 2008; Kuefner et al., 2008; Macchi Cassia et al., 2009b, for
 256 similar studies of the “own-age bias”). More interestingly, though, training can improve
 257 recognition of other-race faces. Tanaka and Pierce (2009) trained Caucasian students to
 258 discriminate between African-American and Hispanic faces, and reported an improvement in
 259 the recognition of novel stimuli of the same race, along with changes to the N250 ERP
 260 component to the other-race faces (see also Elliott et al., 1973; McKone et al., 2007).
 261 Notably, McKone et al. (2007) showed normal levels of holistic processing for trained cross-
 262 race faces, indicating that training can have an effect on the manner in which faces are
 263 processed, not just the accuracy with which they are identified.

264

265 In sum, behavioural and neural investigations using typical participants suggest that the face-
 266 processing system may retain some plasticity throughout childhood and into adulthood. This
 267 raises the possibility that it may be possible to rehabilitate face recognition deficits, at least in
 268 some circumstances.

269

270 **3. Neurorehabilitation of acquired prosopagnosia**

271 Anderson et al. (2001) outline two potential means of recovery following brain injury: the
 272 spontaneous healing of damaged tissue may lead to reactivation of pre-existing neural
 273 pathways, or anatomical reorganization may allow different neural areas to take over the
 274 behavioural function of the damaged area. Given evidence that the face-processing system
 275 retains some plasticity in adulthood, remediation of face-processing skills following
 276 neurological injury may be possible. However, as with any other acquired deficit, it is likely
 277 that a number of general constraints will influence the success of intervention. These might
 278 include the age at which the lesion was acquired, the severity of the lesion, and the precise
 279 functional implications of the lesion. These factors may dictate the type of intervention that is
 280 suitable for the individual, and whether it should focus on compensatory rather than remedial
 281 training.

282

283 **3.1. *Timing of injury***

284 There is a general view that the developing brain has greater plasticity than the adult brain:
 285 Huttenlocher (2002) concludes that, across the neurorehabilitation literature, neuroplasticity
 286 in adults has generally been found to be lower than in children. Further, in early development
 287 there are higher levels of some genes and proteins that are required for neuronal growth,
 288 synaptogenesis and the proliferation of dendritic spines, and these levels significantly reduce
 289 with aging (Huttenlocher and Dabholkar, 1997). It therefore follows that compensatory
 290 reorganization and transfer of function is more likely after early brain injury (e.g. Elbert et
 291 al., 2001).

292

293 If plasticity in the developing face-processing system is greater in childhood than in
 294 adulthood, one would predict that spontaneous recovery might occur in children to a greater
 295 extent than in adults. There have been some instances of recovery of prosopagnosia in adults
 296 in the absence of any formal attempts at rehabilitation (e.g. Lang et al., 2006; Malone et al.,
 297 1982), but this is by no means consistent: many other cases have found no evidence of
 298 improvement or recovery over time (e.g. Sparr et al., 1991; Ogden, 1993; Spillman et al.,
 299 2000). However, work examining the effects of peri- or prenatal injuries on the development

300 of face recognition skills suggests that the infant system may be more plastic following
301 damage than the adult system. For instance, Mancini et al. (1994) found that perinatal
302 unilateral lesions only had mild effects on later face-processing abilities in children ranging
303 in age from 5 to 14 years. In fact, less than half of the children were impaired at face- or
304 object-processing, and face-processing deficits were no more common than object-processing
305 deficits following a right hemisphere lesion.

306

307 Although these studies suggest some level of neural reorganisation is possible following early
308 damage (see also Ballantyne and Trauner, 1999), it is important to note that age of injury
309 does not have a straightforward relationship with plasticity in the face-processing system. de
310 Schonen and colleagues (2005) reported a similar study with a group of 5- to 17-year-olds
311 who acquired unilateral posterior lesions involving the temporal cortex during the pre-, peri-
312 or postnatal period. In general, deficits in low-level configural processing were related to
313 face-processing deficits in patients with a lesion acquired before or at birth, when visual
314 experience starts. These findings converge with other work in the neurorehabilitation
315 literature indicating that there may be a U-shaped effect of damage, with prenatal injury
316 leading to the poorest outcome (i.e., with no evidence of transfer of function from the
317 damaged site to intact tissue: Anderson et al., 2001); greater plasticity in early childhood
318 leading to cortical reorganization and greater sparing of function; and more limited plasticity
319 in late adolescence and adulthood. In a similar vein, advanced age at the time of injury may
320 result in less complete recovery compared to younger persons with comparable injuries (Katz
321 and Alexander, 1994). However, the mechanisms of this phenomenon are not known, and it
322 may simply be that increasing age leads to a reduced capacity for compensation or reduced
323 cognitive reserve (Lye and Shores, 2000) – in other words, a more general cognitive decline
324 due to ageing may make it more difficult to relearn old skills or acquire new compensatory
325 strategies.

326

327 Another factor that should be taken into account when considering age of injury is the extent
328 of the lesion. Paediatric research has indicated that children with generalized cerebral insult
329 can exhibit both slower recovery and poorer outcome than do adults who suffer similar
330 insults, possibly because attention, memory and learning skills have not been fully developed
331 (Hessen et al., 2007). Without these capacities, the child does not have the tools to efficiently
332 acquire new abilities and cannot progress along the normal pathway of cognitive
333 development.

334

335 In sum, evidence from lesion studies suggests that early neurological damage may be more
336 amenable to rehabilitation, but this is modulated by complex interactions with the exact
337 timing and extent of the damage. Currently it is difficult to relate this directly to the
338 prosopagnosia rehabilitation literature, as there is only one study that has attempted to
339 remedy AP in childhood. Ellis and Young (1988) studied an 8-year-old child (KD) who
340 acquired prosopagnosia after anaesthetic complications damaged the lateral third and fourth
341 ventricles at three years of age (see Table 1). The authors suggest that a persistent left-sided
342 motor weakness implied a right hemisphere lesion, whereas initial loss of vision following
343 the incident suggested bilateral occipital damage. She also had object agnosia, and the
344 underlying deficit seemed to be an inability to construct adequate representations of visual
345 stimuli. The researchers designed a remedial training programme that required KD to
346 complete four tasks over a period of 18 months, including (1) simultaneous matching of
347 photographs of familiar and unfamiliar faces, (2) paired discriminations of computer-
348 generated schematic faces, (3) paired discriminations of digitized images of real faces and (4)
349 the learning of face-name associations. Unfortunately, none of the programmes brought about

350 an improvement in KD's face-processing skills. It is unclear why this programme failed to
 351 work, although it is likely that the extensive bilateral damage may have prevented any gains
 352 (see section 3.2). Notably, this is the only study to date that has attempted to remedy AP
 353 acquired as a child, *and* the only study to attempt rehabilitation of a child with AP. As such, it
 354 is difficult to assess whether the lack of improvements following this intervention relate to
 355 the timing of the injury (3 years of age) or the timing of the intervention (8 years of age), or
 356 to comment on the cognitive characteristics/skills that may impact the success of the
 357 intervention (e.g., co-occurring object agnosia).

358

359 While age of injury may be an important determinant of the success of rehabilitation in AP,
 360 the timing of the intervention relative to the injury could also be an important consideration
 361 when planning interventions. For example, evidence from the stroke literature suggests that
 362 the speed of intervention following the cerebral incident may be fundamental for success.
 363 Some studies propose that there are parallels between plasticity mechanisms in the
 364 developing nervous system and those occurring in the adult brain immediately following
 365 stroke, but that this plasticity diminishes quickly (Biernaskie et al., 2004; Brown et al., 2009;
 366 Carmichael et al., 2005). This indicates that the brain may be most receptive to interventions
 367 immediately after a stroke, and suggests that early intervention could be crucial in these
 368 cases. However, it is currently unknown whether this temporarily increased plasticity extends
 369 to (a) the face-processing system, and (b) prosopagnosia acquired from insults other than
 370 stroke; it is also unclear whether it interacts with the age of the patient or other factors such as
 371 lesion location or severity.

372

373 **3.2. Lesion size and location**

374 Many causes of the lesions that bring about AP have been reported, including stroke, carbon
 375 monoxide poisoning, temporal lobectomy, encephalitis, neoplasm and head trauma. Further,
 376 recent reports have described cases of AP alongside degenerative conditions such as
 377 frontotemporal lobar degeneration (Josephs, 2007) and posterior cortical atrophy
 378 (McMonangle et al., 2006; Sugimoto et al., 2012), and after temporal lobe atrophy (Chan et
 379 al., 2009; Joubert et al., 2003). With such a wide range of preceding causes, attempts to
 380 rehabilitate AP must take into account the extent and location of neurological damage, and in
 381 particular how different patterns of damage may be associated with different deficits. For
 382 example, some recent detailed analyses indicate that the primary site of damage in most cases
 383 is to posterior regions of the brain (e.g. Arnott et al., 2008). However, damage to more
 384 anterior regions has been reported to bring about "prosopamnesia", a condition in which
 385 patients retain the ability to recognize faces that they knew before the neurological accident,
 386 but cannot create stable representations of new faces in memory (e.g. Crane and Milner,
 387 2002). As no attempts have been made to rehabilitate prosopamnesia, it is unknown whether
 388 one type of impairment is more amenable to intervention.

389

390 Lateralisation of the lesion is another potentially important consideration. It was traditionally
 391 thought that AP results from unilateral damage to the right hemisphere, particularly the right
 392 occipitotemporal area. In line with this hypothesis, de Renzi et al. (1994) reported unilateral
 393 occipitotemporal lesions in three cases of AP, and cited 27 previously reported cases that
 394 presented with similar damage. However, some reports suggest the disorder can also result
 395 from unilateral left hemisphere lesions (Barton, 2008; Mattson et al., 2000), although de
 396 Renzi et al. (1987) suggested that prosopagnosia resulting from left hemisphere lesions can
 397 result in a more variable pattern of symptoms, and Gainotti and Marra (2011) suggest that AP
 398 cases involving left and right hemisphere lesions present with different patterns of functional

399 impairment. This suggests that right and left hemisphere cases may warrant different methods
400 of intervention (see section 3.3).

401

402 AP has also been reported in the context of bilateral damage (e.g. Barton et al., 2002; Boutsen
403 and Humphreys, 2002; Damasio et al., 1982). Some authors have suggested that unilateral
404 lesions bring about more selective impairments in face-processing, whereas bilateral lesions
405 cause more extensive disruption (Boeri and Salmaggi, 1994; Warrington and James, 1967).
406 This latter suggestion seems logical, given that, when only one hemisphere is affected, it is
407 plausible that neural areas in the undamaged hemisphere might compensate for lost abilities
408 at least to some degree; whereas no such compensation can occur in individuals with damage
409 to both sides of the brain. Indeed, in the more general neurorehabilitation literature,
410 functional plasticity is generally not observed in cases of bilateral damage, and greater
411 damage tends to lead to worse outcomes. Broadly speaking, plasticity is most associated with
412 focal lesions where true recovery with relatively little compensation is possible, presumably
413 because some of the tissue that is crucial for function is unaffected by the lesion (Moon et al.,
414 2009). While large focal lesions may also be associated with good recovery, this tends to only
415 occur when damage is unilateral.

416

417 When looking at instances of spontaneous recovery from AP, there is some indication that
418 this occurred following unilateral (Glowic and Violon, 1981; Lang et al., 2006) rather than
419 bilateral (Sparr et al., 1991; Ogden, 1993) damage. When it comes to formal interventions
420 (summarized in Table 1) two of the three AP studies that have reported some success involve
421 patients with unilateral damage (i.e. Polster and Rapsack, 1996; Francis et al., 2002); the
422 other study reporting improvement involved a patient with bilateral damage that did not
423 consistently affect the same areas of the brain (Powell et al., 2008). The two interventions
424 that failed to show improvement (Ellis and Young, 1988; De Haan et al., 1991b) both
425 involved patients with apparently more extensive bilateral damage.

426

427 **3.3. *Identifying the functional impairment***

428 Initial cognitive assessments are required to inform the design of an intervention programme,
429 although previous attempts at cognitive neuropsychological rehabilitation have often failed to
430 follow this principle (Hillis, 1993; Wilson and Patterson, 1990). Fortunately, we have a
431 relatively sophisticated understanding of the cognitive and neural underpinnings of the face-
432 processing system, and dominant models of face recognition have traditionally been used to
433 interpret cases of prosopagnosia and to guide intervention strategy. Traditionally, the face-
434 processing system has been viewed as a sequential and hierarchical multi-process system,
435 where impairment can occur at a variety of stages (Bruce and Young, 1986: see Figure 1).
436 Specifically, an initial stage of early visual analysis is followed by “structural encoding”,
437 where view-centred representations (used to perceive changeable aspects of the face, such as
438 emotional expression) are transformed into viewpoint-independent representations (used to
439 perceive unchangeable aspects of the face – most notably identity). The face recognition units
440 (FRUs) compare all stored representations of familiar faces to an incoming percept. If a
441 match is achieved, access to semantic information is provided by the relevant person identity
442 node (PIN), culminating in retrieval of the person’s name. Although these processes are
443 widely distributed across many neural systems that work in concert to process faces,
444 specialized anatomical structures have been identified that largely map onto the functional
445 stages proposed in the cognitive model (Haxby et al., 2000: see Figure 1).

446

447

< *Insert Figure 1* >

448

449 The modular model permits disruption either to specific sub-processes, or to the connections
450 between different units. The sequential nature of the model assumes that processing cannot be
451 continued (at least at an overt level) past a damaged stage. Thus, prosopagnosia may result
452 from three loci of damage within the framework: first, an AP may be unable to construct an
453 adequate percept of a face, which would affect all later stages of processing (i.e., they would
454 be unable to recognize a face as familiar or identify it; e.g., patient HJA: Humphreys and
455 Riddoch, 1987; patient BM: Sergent and Villemure, 1989); second, an AP may be able to
456 achieve a normal face percept but cannot access stored face memories (the FRUs) – in this
457 case, they would be unable to ascertain familiarity or identity (e.g., patient LH: Etcoff et al.,
458 1991; patient NR: De Haan et al., 1992); or third, an AP may be able to perceive faces and
459 make familiarity judgments, but fail to access person-specific information or PINs – in this
460 case, they would achieve a normal face percept and a sense of familiarity with a face, but
461 identification (i.e., access to any semantic information about the person) would remain poor
462 (e.g., patient ME: De Haan et al., 1991a).

463
464 In the majority of cases reported in the literature, patients with AP retain the ability to
465 recognise people on the basis of other, non-face cues (e.g., body, voice). In some cases,
466 however, impairments in face recognition are a subset of a more general person recognition
467 problem – this is often associated with damage to the right anterior temporal lobe (Gainotti,
468 2013). In other words, these cases represent a subtly different type of disorder – one of
469 semantic memory. Various interpretations of the exact nature of semantic disorders of this
470 type exist, including impaired overt access to an output from semantics (Hanley et al., 1989),
471 inability to use a ‘common access point’ to gain semantic information (De Haan et al.,
472 1991a), actual loss of person-based semantic knowledge (Evans et al., 1995; Laws et al.,
473 1995), and damage to a specialised semantic store that contains information about singular
474 objects (Ellis et al., 1989).

475
476 It therefore follows that an initial assessment should identify the functional locus of the
477 impairment – be it perceptual, mnemonic, or a more general semantic memory problem – and
478 training should be tailored to that weakness. Several cases in the AP rehabilitation literature
479 demonstrate the importance of tailoring training programmes to the locus of the deficit. Most
480 strikingly, Francis et al. (2002) created a number of therapy tasks tailored to patient NE, who
481 had deficits at both structural and semantic levels, and/or deficits in the access links between
482 structural and semantic knowledge. In three studies, the authors demonstrated that therapy
483 was effective when it emphasized semantic information about people, and linked this
484 knowledge to visual representations (imagery or photographs of faces); whereas therapy
485 directed at processes that were not underpinning the impairment (i.e., name retrieval) was
486 unsuccessful. In another case, Powell et al. (2008) investigated the rehabilitation of face
487 recognition deficits in 20 adults who presented with a broad range of cognitive impairments
488 following brain injury. The participants completed three training programmes targeted at the
489 recognition of unfamiliar faces, comprised of (1) a semantic association technique that
490 provided additional verbal information about faces, (2) caricatured versions of target faces for
491 recognition, and (3) a part-recognition technique that drew participants’ attention towards
492 distinctive facial features. The patient group as a whole showed small improvements in each
493 of the three training conditions compared to a control condition where participants were
494 simply exposed to faces. However, when the techniques were applied to a single case of
495 profound acquired prosopagnosia (patient WJ, described in McNeil and Warrington, 1993;
496 see Table 1), little or no improvement was observed following the semantic association and
497 caricaturing programmes, whereas the part-recognition technique yielded 25% greater
498 accuracy than the control condition. This result may be explained by focussing on the

499 functional locus of impairment: WJ was impaired at the level of structural encoding, and
500 relied on a feature-by-feature processing strategy that could be boosted by compensatory
501 training. In some ways this is a surprising finding given that many prosopagnosics adopt this
502 strategy in everyday life, and one might expect that WJ would naturally be using the
503 technique even in the “simple exposure” condition. Nevertheless, this finding suggests not
504 only that part recognition may be an effective method of circumventing damage to the typical
505 face recognition system, but also that training in use of the technique may further boost a
506 compensatory strategy that many individuals with prosopagnosia naturally adopt.

507

508 Clearly though, regardless of whether training is targeted at the impairment itself, other
509 influences may prevent training success (e.g., KD, Ellis and Young, 1988). For instance,
510 different levels of impairment may be more or less amenable to treatment: a number of
511 authors have argued that prosopagnosia arising from perceptual deficits is most resistant to
512 treatment and also least likely to show treatment generalization effects (Ellis and Young,
513 1988; Francis et al., 2002; Wilson, 1987). Polster and Rapcsak (1996) examined the effects of
514 “deep encoding” – that is, incorporating personality judgements or providing names and other
515 semantic information at the point of encoding – in patient RJ. They found that RJ, who
516 showed face perception impairments, did not benefit from “shallow” encoding instructions to
517 focus on facial features, yet performed relatively well with “deep” encoding instructions
518 where he was required to rate faces in terms of their personality traits or was provided with
519 semantic or name information during the study phase. The authors suggest that semantic
520 information may aid recognition memory by establishing additional visually derived and
521 identity-specific semantic codes. However, the gains did not generalize to novel viewpoints
522 of the learned faces, and the authors conclude that the patient simply could not compensate
523 for his inability to construct abstract structural codes that normally allow faces to be
524 recognized from different orientations. Hence, even training in compensatory behavioural
525 mechanisms could not circumvent the severity of the patient’s face perception impairment.

526

527 While perceptual difficulties may well contribute to intervention success, it is of note that
528 another study failed to rehabilitate an AP adult with higher-order impairments, patient PH.
529 PH had profound face recognition impairments, but was found to display some covert
530 recognition on several behavioural tasks, indicating he had a higher-level impairment
531 affecting the FRUs or PINs, or the connection between them. Based on the knowledge that
532 PH was capable of face recognition on an unconscious level, De Haan, Young and
533 Newcombe (1991b) used a category-presentation method to try to improve the patient’s face-
534 processing skills. Specifically, PH was presented with the occupation performed by a set of
535 famous people, and was asked to subsequently recognize their faces. Unfortunately, PH was
536 only successful in recognizing faces from one of the six occupational categories that was used
537 in the study, and the improvement was not maintained in a follow-up test two months later.
538 This does not suggest that higher-order impairments cannot be remedied, but it does
539 emphasise that, as discussed above, other factors such as age and lesion severity may
540 contribute to the success of rehabilitation – it is pertinent to note that PH was an adult who
541 had experienced bilateral damage to the temporo-occipital junction, and he did present with
542 some perceptual impairments (see Table 1).

543

544 Finally, some cases of AP present with damage to more than one sub-process of the
545 theoretical model. Francis et al. (2002) suggest that, when a patient’s deficit is due to multiple
546 impairments, intervention must target each of these in order for improvement to occur. For
547 example, in their investigation described above, the authors found that therapy targeted at

548 only one of NE's deficits (the semantic problem) without considering the other (the
549 prosopagnosia) was ineffective.

550

551 **3.4. *Implications for intervention: Compensatory or remedial training?***

552 One of the critical debates in neurorehabilitation is concerned with whether training should
553 encourage the formation of behavioural compensatory mechanisms, or attempt to strengthen
554 normal behavioural mechanisms (remedial training). There has been only one attempt to
555 restore normal processing in a case of AP to date, which unfortunately was not successful
556 (KD, Ellis and Young, 1988). Clearly, no conclusions can be drawn on the utility of remedial
557 methods for acquired cases on a single case alone, particularly given the unusual
558 characteristics of the case (i.e. the age of acquisition, treatment option, and lesion size and
559 location: see section 3.2).

560

561 While attempts at remedial training are currently very limited, three of the four published
562 studies examining the use of compensatory strategies in AP report some success (see Table
563 1). It is of note that two of these studies describe individuals with similar perceptual deficits
564 in face-processing, yet found success using different techniques. While Powell et al. (2008)
565 found a benefit of part-based but not semantic encoding for WJ, Polster and Rapsack (1996)
566 found a greater benefit for semantic or "deep" encoding than part-based encoding for patient
567 RJ. It is unclear why featural and not semantic training helped WJ whereas the reverse pattern
568 was observed in RJ, but these reports suggest both techniques may be beneficial, albeit for
569 different individuals.

570

571 Of the studies presented in Table 1, only one of the four compensatory training studies had no
572 effect - the study presented by De Haan et al. (1991b). Pertinently, the patient described in
573 this study differs from those in the other studies, as they had a severe mnemonic rather than
574 perceptual difficulty, and had also suffered bilateral damage. Based on the limited available
575 evidence, compensatory training therefore appears to be more successful in AP than remedial
576 techniques. Yet, further research is clearly required to examine the utility of remedial training
577 in this form of the condition, and to assess which factors may influence the success of various
578 training methods – for example, perhaps remedial training is more effective for patients with
579 unilateral lesions, or for those with mnemonic deficits. Indeed, research into face-name
580 encoding in Alzheimer's disease has had some success with remedial mnemonic techniques
581 such as errorless learning and spaced retrieval (e.g., Haslam, Hodder, and Yates, 2011), but
582 these techniques have not yet been applied in mnemonic cases of AP.

583

584 Understanding the conditions in which remedial techniques are effective is particularly
585 important given that the wider neurorehabilitation literature suggests their benefits are larger
586 than those of behavioural compensation (e.g., Sitzer et al., 2006). Within the AP literature,
587 compensatory techniques show some limitations: NE (Francis et al., 2002) showed significant
588 gains following training, but despite her success in the laboratory, she continued to encounter
589 substantial problems in everyday life. She interpreted this as a case of competing demands –
590 she was using a highly contrived method for remembering and recognizing new people, as
591 well as coping with more general memory deficits. Such instances highlight the limitations of
592 compensatory training, and should remedial training prove effective for at least some cases of
593 AP, this may be a preferable option in terms of outcome.

594

595 **4. Developmental disorders**

596 **4.1. *DP and neuroplasticity***

597 While we do not yet have a complete understanding of the genetic, neurological and
 598 cognitive underpinnings of DP, it is viewed by most as a parallel disorder to AP. Yet, some
 599 caution should be exercised in application of the principles of neurorehabilitation discussed
 600 above to the developmental form of the condition. Thomas (2003) notes that developmental
 601 disorders represent the limits of plasticity, given that spontaneous reorganization and
 602 compensation during the natural developmental process do not overcome whatever
 603 abnormalities are underpinning the condition, as they may do following focal damage in the
 604 peri- or postnatal period (e.g. Mancini et al., 1994). Granted, it would be very difficult to
 605 actually find any cases of spontaneous recovery in DP, and this is further complicated by our
 606 limited understanding of the developmental trajectory of the condition and the existence of
 607 any early biobehavioural indicators. Nevertheless, the persistence of deficits in
 608 developmental disorders suggest atypical limitations on plasticity rather than focal damage,
 609 perhaps because disruption to early brain development alters low-level neurocomputational
 610 constraints, which prevent certain neural regions from acquiring normal specialized functions
 611 (Thomas and Karmiloff-Smith, 2003). It has been suggested that DP can be attributed to a
 612 failure to develop the visuo-cognitive mechanisms required for successful face recognition
 613 (Susilo and Duchaine, 2013), although it is unclear whether this comes about via genetic
 614 influences (Kennerknecht et al., 2006) or unrelated neurological abnormalities (e.g.
 615 Behrmann et al., 2007; Garrido et al., 2009). Importantly, while there is some evidence for a
 616 genetic factor in DP, Pennington (2001) argues that the correspondence between genes and
 617 the complex behavioural phenotypes observed in heterogeneous disorders such as DP is
 618 many-to-many rather than one-to-one. Hence, it is unlikely that a specific gene or set of genes
 619 exists for certain cognitive functions, including face-processing.

620
 621 Understanding the underpinnings of DP is an important issue when it comes to the design of
 622 intervention programmes: Karmiloff-Smith and colleagues warn that apparently normal
 623 behaviour in developmental disorders may be achieved by compensatory strategies that
 624 obscure underlying atypical processes (Karmiloff-Smith et al., 2002). In the context of face-
 625 processing this is evident in Williams Syndrome, a chromosomal disorder where face
 626 recognition skills are apparently normal (e.g. Wang et al., 1995), yet are underpinned by poor
 627 configural processing mechanisms (Karmiloff-Smith et al., 2004). It is also clear that
 628 individuals with DP develop complex and intriguing compensatory strategies that permit
 629 them to disguise their face recognition impairment in many real life scenarios (e.g. Yardley et
 630 al., 2008), and it remains unclear whether these techniques can sometimes obscure impaired
 631 processing strategies on behavioural tests of face and object processing. Thus, an important
 632 implication for the design of intervention programmes is that apparently specific cognitive
 633 deficits in developmental disorders do not necessarily imply a specific and localized site of
 634 neural impairment as has traditionally been observed in cases of adult brain damage.

635
 636 This latter point has important implications for the notion that training should target the locus
 637 of functional impairment (see section 3.3). Several authors have attempted to interpret DP
 638 within the same theoretical framework that has traditionally been used for AP (e.g. Bruce and
 639 Young, 1986), and have used these findings to subsequently inform their rehabilitation
 640 programmes (e.g. Brunsdon et al., 2006; Schmalzl et al., 2008). However, some caution
 641 should be exercised when applying developmental deficits to adult frameworks of normal
 642 functioning. The traditional cognitive neuropsychological approach adopts the logic that
 643 implications about cognitive structure can be derived from the patterns of behavioural
 644 impairment that are observed in adults with acquired brain damage – for instance, the
 645 assumption that particular cognitive systems have modular structures allows for the
 646 possibility that highly selective patterns of impairment implicate relative independence of

647 different sub-processes. Interpretation of apparently similar patterns of deficits in
648 developmental disorders is tempting, particularly as one might infer that specific impairments
649 in acquired and developmental cases correspond to acquired damage to a particular module in
650 the former, and failure to develop that module in the latter (notably, Temple, 1997, offers just
651 such a characterisation for cases of DP). Yet, this inference is controversial, and some
652 researchers have argued that development itself violates the basic assumptions of classic
653 cognitive neuropsychological models, and there is no reason to suppose that abnormalities in
654 development lead to the production of a cognitive system that simply maps onto the fully
655 developed system (Bishop, 1997; Karmiloff-Smith, 1997).

656
657 Alternative explanations for DP may be found in the neurodevelopmental theories described
658 in Section 1. For example, one might assume that the basic apparatus for the face-processing
659 system are present, but an abnormality in development has prevented these brain areas from
660 becoming specialized for faces. One theory that adopts this notion is the amygdala/fusiform
661 modulation model (Schultz, 2005), which proposes that the preference for face-like stimuli
662 seen in newborn infants is underpinned by functions in the amygdala that draw attention to
663 social stimuli. This increased social attention is thought to consequently provide the
664 scaffolding that supports social learning and modulates activity in the critical face-processing
665 area of the brain, the fusiform gyrus (see Figure 2). This model has been used to explain the
666 underpinnings of face-processing and socio-emotional deficits in autism spectrum disorder
667 (ASD), based on the premise that faces have less emotional salience for these individuals.

668
669 < Insert Figure 2 >
670

671 The theory that face-processing deficits in ASD stem from a lack of social interest in faces
672 has informed the development of face training programmes, such as the *Let's Face It* package
673 (Tanaka et al., 2003). *Let's Face It* is a series of computerized games that target the child's
674 ability to attend to faces, in addition to identity and expression recognition skills. Some gains
675 have been noted in ASD participants following participation in the programme (Tanaka et al.,
676 2010), although it is unlikely that similar gains would result in DP given the proposed visuo-
677 cognitive rather than socio-attentional underpinnings of the condition (e.g. Duchaine et al.,
678 2010). Although we do not have a clear understanding of the actual underpinnings and
679 developmental trajectory of DP, the evidence from the ASD literature suggests that
680 intervention can initiate specialization within a crude face-processing system, and that there
681 may be potential for remedial training techniques in developmental conditions.

682 683 **4.2. Compensatory or remedial training?**

684 The more general neurodevelopmental literature casts doubt on the potential for remedial
685 training in developmental disorders. For instance, Thomas (2003) concludes that only
686 compensatory changes can take place in developmental disorders, as underlying
687 abnormalities are built into the relevant neural structures preventing experience-dependent
688 plasticity. De Haan (2001) presents an example of this argument using a group of individuals
689 with ASD, none of whom could categorically perceive facial expressions. Yet, only those
690 participants with lower IQs appeared to be impaired on an expression-recognition task,
691 indicating that the individuals with higher IQs were using compensatory strategies to achieve
692 good recognition by other means. She therefore allows that there is "a degree of plasticity in
693 the developing system that allows for development of alternative strategies/mechanisms in
694 face-processing" (p. 393), but little to no opportunity for remediation.

695

696 In the DP literature, there have been two attempts to improve face recognition via
697 compensatory strategies, and two to remedy normal face-processing strategies (see Table 1).
698 First, Brundson and colleagues (2006) attempted to improve face recognition skills in an
699 eight year-old child (AL), who had problems perceiving and recognizing faces. The
700 researchers gave AL a set of 17 personally known faces (i.e. those of friends and family) to
701 learn on stimuli cards, while his attention was drawn to distinguishing features of the faces.
702 AL continued training until he recognized all the faces in four consecutive sessions, which
703 occurred after 14 sessions within a one-month period. A similar technique was adopted by
704 Schmalzl and colleagues (2008), in their work with K, a four-year-old girl with DP. K
705 achieved 100% accuracy in four consecutive sessions after nine attempts at training, and eye
706 movement recordings indicated that she spent a longer time viewing the inner facial features
707 after training. Both children reported benefits to their everyday recognition of the trained
708 faces, although the benefits of training did not generalize to untrained faces in AL
709 (generalization was not tested in K).

710

711 On the other hand, DeGutis and colleagues (2007) described a remedial training programme
712 that suggests normal networks can be strengthened in DP. They report the case of an adult
713 with DP, MZ, who had severe impairments in face perception. The training task was
714 administered over 14 months in two separate intervals. Training required MZ to perform a
715 perceptual classification task repeatedly over large numbers of trials. Specifically, facial
716 stimuli were adjusted to vary in 2mm increments according to eyebrow height and mouth
717 height. MZ was required to classify each face into one of two categories: those faces with
718 higher eyebrows and lower mouths, and those faces with lower eyebrows and higher mouths.
719 After training, behavioural evidence indicated that MZ's face-processing ability improved on
720 a range of behavioural tasks. However, the most pertinent findings of the study came from
721 changes in neurophysiological measures that were taken before and after training.
722 Specifically, the authors used electroencephalography to investigate whether MZ displayed a
723 selective N170 response for faces compared with watches. Although this face-selective
724 component was not evident before training, its selectivity after training was normal. Further,
725 levels of functional connectivity between key areas of the neurological face-processing
726 system (see Figure 1) were increased after training. The authors suggested the training task
727 was likely successful because it allowed MZ to become sensitive to spacing differences
728 around the eye region and nose/mouth region and encourage her to integrate the spacing of
729 these features into a coherent representation of the face. This gain was specific to training
730 with upright faces: 8000 training trials with inverted faces improved MZ's ability to classify
731 inverted faces but did not improve her performance with upright faces. However, there are
732 some important caveats to these findings. MZ showed limited maintenance of training gains:
733 she reported that the behavioural benefits faded after a few weeks without training, and post-
734 training measures showed that her face-specific N170 had reverted back to its pre-training
735 lack of face sensitivity after 15 weeks without training. Notably though, when the authors
736 attempted to retrain MZ 15 weeks after training stopped, fewer trials were required than in
737 the initial training to restore her improved performance on the assessment tests.

738

739 These findings were given weight by DeGutis et al. (in press) who showed that holistic
740 processing improved in 13 out of 24 DPs who completed the same training programme over a
741 three week period. Interestingly, the DPs who responded better to training only differed from
742 those who achieved little gains according to the CFMT (a test of face memory: Duchaine and
743 Nakayama, 2006) and not tests of face perception. In fact, the DPs who responded most to
744 training were initially poorer at the CFMT (i.e. their prosopagnosia was more severe),
745 although this comparison was not significant when a post-hoc correction was applied.

746

747 In sum, while at least some success was achieved in all four DP studies reported to date, it is
 748 difficult to draw general conclusions on the utility of each technique, particularly given the
 749 differences in age between the participants. The next section evaluates the factors that may
 750 have influenced treatment outcome in the studies described above.

751

752 **4.3. *Other influences on treatment outcome in DP***

753 In the AP literature, a number of authors have argued that level of impairment in
 754 prosopagnosia is an important factor in treatment outcome, and particularly that
 755 prosopagnosia arising from perceptual deficits is more resilient to intervention and
 756 generalization (Ellis and Young, 1988; Francis et al., 2002; Wilson, 1987). Although it is
 757 currently unclear whether DP can also be partitioned into different functional subtypes, some
 758 individuals with DP do appear to present with deficits in face perception, whereas others do
 759 not (e.g. Bate et al., 2009). Interestingly, the two compensatory training studies used children
 760 who did have impairments in face perception, and while there was little evidence of
 761 generalization to other faces (analogous to the findings in the AP literature), the gains did
 762 translate to everyday life. These studies demonstrate that, in DP, the recognition of a set of
 763 familiar face photographs can be improved with relatively little but precisely targeted
 764 training, even in the context of severe face perception impairments. Perhaps more strikingly,
 765 everyday gains were also noted in the individual reported by DeGutis et al. (2007), who also
 766 had a severe face perception impairment. This finding indicates that it is possible to apply
 767 remedial programmes to individuals with perceptual impairments, at least in adults with DP.
 768 Critically, DeGutis et al. (in press) found that larger training gains appear to be associated
 769 with poorer face recognition performance, and were not related to perceptual abilities.

770

771 Given that DeGutis et al.'s (in press) remedial training programme was not successful in all
 772 DPs, it is likely that different subtypes of the condition are better suited to particular training
 773 methods. As only one (unsuccessful) remedial programme has been trialled with an AP
 774 participant, it remains unclear whether (a) DP is simply easier to treat than AP using remedial
 775 training, (b) perceptual deficits are not as severe in DP as in AP, (c) the methods used in the
 776 DP studies are simply more effective than those employed in the AP studies, or (d) the nature
 777 of the lesion in the AP participant precluded any improvement regardless of intervention
 778 strategy.

779

780 One might also question the influence of age in the DP studies (see section 3.1). From the
 781 available evidence it is very difficult to draw any conclusions on the suitability of remedial or
 782 compensatory training for different age groups, given the former were only carried in adults,
 783 and the latter in children. However, the studies reported by DeGutis and colleagues indicate
 784 that plasticity is retained in adult DPs, and provides encouraging evidence for the use of
 785 remedial programmes even in adulthood. Whether the same benefits will be exacerbated in
 786 children is unknown, but Dalrymple et al. (2012) briefly describe a DP child, TM, for whom
 787 remedial training was not successful. She notes several explanations for this, including the
 788 severity of his prosopagnosia, the intensity of training, and motivational factors (the training
 789 was quite tedious). It is clear that, although successful training strategies are beginning to
 790 emerge in adult studies, these strategies will need to be adapted and made age-appropriate for
 791 children, even if they target similar mechanisms.

792

793 If early intervention is critical in DP (before the development of unhelpful compensatory
 794 strategies and the passing of any critical periods), research needs to focus on early detection
 795 of the condition. Bradshaw (2001) argues that the consequences of atypical development may

796 not be observable on a behavioural level for some time after they have occurred, indicating
 797 that urgent work is required to establish the developmental trajectory of DP, and its
 798 biobehavioural markers and risk factors.

799

800 **5. Further considerations of intervention programmes**

801 **5.1. *Specificity of training***

802 It is clear from the above discussion that the most successful training programmes (whether
 803 compensatory or remedial) are those that target the impairment itself. In particular, the
 804 studies reported by DeGutis and colleagues (2007, in press) indicate that training in holistic
 805 processing – a mechanism that is believed to be disrupted in both AP and DP – may be
 806 particularly fruitful. Pertinently though, it is possible to target such mechanisms using both
 807 facial (e.g. Maurer et al., 2002) and non-facial (e.g. Navon, 1977) stimuli. Such findings have
 808 important implications for training, given evidence that intervention using non-facial holistic
 809 processing techniques may not be beneficial for individuals with prosopagnosia. For instance,
 810 as mentioned in section 4.2, training with inverted face stimuli did not improve performance
 811 with upright faces in a participant with DP (DeGutis et al., 2007). A similar finding was
 812 reported in a study that attempted to train neurotypical participants in holistic processing
 813 using inverted faces (Robbins and McKone, 2003). While it is unclear exactly why this effect
 814 occurs, it is possible that training with inverted faces simply does not improve holistic
 815 processing strategies, and instead encourages processing strategies that are optimal for the
 816 recognition of inverted but not upright faces (Kanwisher, 2000; Farah, 1996). Alternatively it
 817 may simply be that there is a limit to the amount of transfer that is possible in perceptual
 818 learning, and upright faces are just too different from inverted faces for any gains to
 819 generalize (Fahle, 2005).

820

821 Perhaps the most striking demonstration of the need for face-specific training comes from a
 822 study reported by Behrmann et al. (2005). These authors describe the case of SM, a 24 year-
 823 old man with visual agnosia and concomitant prosopagnosia following damage to the right
 824 anterior and posterior temporal lesions, corpus callosum, and left basal ganglia. The authors
 825 trained SM to recognize Greebles (novel objects that require the integration of different
 826 ‘features’ composed of complex shapes; Gauthier and Tarr, 1997) over a 31 week period. As
 827 has been observed in previous studies (e.g. Duchaine et al., 2004; Gauthier and Tarr, 1997)
 828 SM showed a significant improvement in recognizing Greebles that also extended to
 829 untrained stimuli and common objects. However, his face recognition skills became even
 830 more impaired following training. When this became evident, the authors stopped the training
 831 programme and concluded that residual neural tissue with limited capacity may compete for
 832 representations. These findings indicate that, at least in the case of holistic processing, any
 833 attempts to remediate prosopagnosia must utilise faces in order to be effective.

834

835 **5.2. *Generalization, maintenance and transfer***

836 Failure to elicit treatment generalization both to untreated items and also to alternative
 837 versions of the treated items has been common in the treatment of visual recognition
 838 difficulties, for both objects and faces (see Riddoch and Humphreys, 1994). In the AP studies
 839 that showed some success, there was only evidence of generalization in the study reported by
 840 Francis et al. (2002). In fact, these authors concur with Ellis and Young (1988) that level of
 841 impairment is an important factor in remediation outcome and particularly findings of
 842 generalization. Francis et al. (2002) propose that person-specific generalization in their study
 843 within the treated group of photos (i.e. generalization of trained images to other images of the
 844 same person) may have been related to the fact that NE did not exhibit perceptual deficits.
 845 They propose that failures to achieve this type of generalization in other cases may relate to

846 difficulties earlier in face-processing and particularly at a perceptual level (Ellis and Young,
847 1988).

848
849 However, a different pattern emerges in the DP literature. The one study that assessed
850 generalization of the compensatory training programme within laboratory-based assessments
851 found no evidence of generalization to untrained faces, although AL did show the benefits for
852 different images of the trained faces (Brunsdon et al., 2006). However, response latencies
853 were unusually long in AL, suggesting implementation of the strategy was laboured. This
854 observation is akin to the report of NE (Francis et al., 2002), who also received benefits from
855 compensatory training, but found the strategies were often inefficient to implement in
856 everyday life. Nevertheless both AL and K (Schmalzl et al., 2008) reported improved
857 recognition of the trained individuals in everyday life, and the gains were maintained at 3-
858 month and 4-week follow-ups, respectively. K was also described in Wilson et al. (2010)
859 when she was 7.5 years old, and continued maintenance of the gains was reported (but note
860 that the authors suggest K may be on the autism spectrum). These observations suggest that
861 in DP compensatory training may be rapid, suitable for adults and young children, suitable
862 for individuals with perceptual impairments, and the gains may translate to everyday life (but
863 only for trained faces) and be maintained.

864
865 On the other hand, the remedial holistic training programme reported by DeGutis and
866 colleagues (2007, in press) also generalized to improvements in everyday face recognition
867 (i.e. the gains were not restricted to the faces used in training), as evidenced by self-report
868 diaries kept by the participants. However, MZ showed limited maintenance of training gains
869 (DeGutis et al., 2007), which raises the possibility that while remedial training may bring
870 about greater and more generalized gains, these benefits may quickly fade without continued
871 rehearsal. Furthermore, training in the larger group study was only successful in 13 of the 24
872 participants, and was not linked to pre-training performance on perceptual tests. This
873 indicates that gains from remedial training can vary significantly between individuals, and a
874 more complex set of factors may influence treatment outcome.

875 876 **5.3. Individual differences**

877 Much evidence indicates that age may be an important variable in predicting success in
878 neurorehabilitation. Although no clear patterns can currently be seen in the prosopagnosia
879 literature, it is likely that participant age may dictate the choice of training technique. For
880 example, although the DP studies indicate that compensatory training can be effective even in
881 children, the case of TM (Dalrymple et al., 2012) raises the possibility that remedial training
882 techniques are simply not age-appropriate. Given that the broader neurorehabilitation
883 literature suggests that remedial training should be more effective in children, future work
884 needs to develop adaptations of remedial programmes for specific age ranges.

885
886 The wider neurorehabilitation literature also suggests that other individual differences can
887 influence intervention outcome, although it is too early to comment on whether these hold
888 true for prosopagnosia. For instance, there is controversial evidence that gender predicts
889 recovery from acquired damage in adulthood (Anderson et al., 2001), as hormones may cause
890 the female brain to develop more rapidly and with a more diffuse organization, perhaps
891 permitting greater plasticity and potential for reorganization of function (Kolb, 1995; Strauss
892 et al., 1992).

893
894 In addition, individuals with higher intelligence and superior education are less affected by
895 brain damage (Wilson, 2003), and Anderson et al. (2001) conclude that family function,

896 socioeconomic status, access to rehabilitation, and response to disability all make a powerful
897 contribution to recovery. In the longer-term, it is environmental rather than organic factors
898 that tend to predict recovery from acquired brain damage (e.g. Kolb, 1995). Hence, these
899 factors may influence the outcome of rehabilitation studies, and should be taken into account
900 when evaluating intervention success.

901

902 **6. Future directions**

903 Clearly future work needs to explore both compensatory and remedial training strategies in
904 more depth, and match their suitability to both AP and DP, their potential subtypes, and
905 properties of the individual participant. Future work should also investigate participants'
906 emotional response to interventions – for example, whether training programmes can lead to
907 negative outcomes (e.g., frustration or feelings of low self-worth if they are ineffective), and
908 how these compare to the relatively modest behavioural gains reported to date. Future studies
909 may also move beyond purely behavioural interventions: given huge gains in everyday face
910 recognition have not been reported following any type of training, alternative methodologies
911 may present with more fruitful means of boosting face recognition skills in prosopagnosia.
912 Two methodologies in particular have the potential to supplement face training programmes:
913 intranasal inhalation of oxytocin and non-invasive brain stimulation.

914

915 Recent evidence suggests that intranasal inhalation of oxytocin can temporarily improve face
916 recognition skills in both typical participants and those with DP. Oxytocin is a neuropeptide
917 that affects social cognition, potentially by increasing the perceptual salience of social cues
918 (Bartz et al., 2011). Several studies of neurotypical populations have found better memory for
919 faces (but not other, non-social stimuli) following inhalation of oxytocin (Guastella et al.,
920 2008; Rimmele et al., 2009; Saksavan et al., 2008). More notably, a recent study found that
921 participants with DP showed better performance on both a face matching and a face memory
922 task following inhalation of oxytocin, compared with a placebo condition (Bate et al., 2014).
923 Currently it is unclear why people with DP benefit from inhalation of oxytocin. On a neural
924 level, findings from participants with typical face recognition suggest that oxytocin
925 modulates activity in several regions implicated in face processing – namely, the FFA and the
926 amygdala (Domes et al., 2010; Gamer et al., 2010). DPs show structural and connectivity
927 abnormalities in the core face-processing system, around the fusiform and temporal gyri
928 (Garrido et al., 2009) and within the ventro-occipital cortex (Thomas et al., 2009). Therefore,
929 it is possible that oxytocin-related modulation of activity in these areas could underpin
930 increased face recognition performance for the DPs in Bate et al.'s (2014) study. However,
931 further work incorporating neuroimaging of DPs under oxytocin conditions is necessary to
932 explore this possibility.

933

934 Inhalation of oxytocin has been found to increase fixations to the eye region of the face in
935 typical participants (Gamer et al., 2010; Guastella et al., 2008). The eye region is considered
936 optimal for face recognition (Peterson and Eckstein, 2012), and several studies have found
937 that DPs spend less time looking at the eye region than typical controls (e.g., Schwarzer et al.,
938 2007). It is possible that oxytocin encouraged DP participants to attend to the eye region
939 more than usual, which may have increased their performance in face-processing tasks. Once
940 again, further work using eye-tracking technology is necessary to explore this possibility.
941 Future work may consider combining inhalation of oxytocin with behavioural training in an
942 attempt to increase or speed up training gains, and/or to extend the benefits of oxytocin
943 inhalation beyond a single session.

944

945 Another class of techniques that has been shown to improve face recognition performance, at
946 least temporarily, is non-invasive brain stimulation. There are many types of non-invasive
947 brain stimulation, but three in particular show promise for interventions in prosopagnosia:
948 transcranial electric stimulation (incorporating transcranial direct current stimulation, or
949 tDCS; and transcranial random noise stimulation, or tRNS) and galvanic vestibular
950 stimulation (GVS). In transcranial electric stimulation, a weak current (usually 1-3 mA) is
951 applied to the scalp via electrodes. tDCS involves the use of a constant current. Areas under
952 the anode exhibit cortical excitability, whereas areas under the cathode show the opposite
953 effect (Paulus, 2011). tDCS has been shown to improve performance in typical participants in
954 a range of cognitive tasks, from low-level vision, executive functioning, memory, and
955 language (Kuo and Nitsche, 2012). Notably, tDCS has also been used in stroke patients
956 (generally those with aphasia), and, in concert with cognitive training, has been shown to
957 improve speech and naming abilities (see Krause and Cohen Kadosh, 2013, for a review).
958 This may occur because tDCS facilitates compensation in non-damaged regions, reduces
959 activation in non-damaged regions that may inhibit activation in or around lesioned areas, or
960 increases residual output of partially damaged areas (Cohen Kadosh, 2013). In other words,
961 tDCS may be useful in conjunction with both remedial and compensatory training strategies,
962 but choice of strategy and stimulation site (lesion area/contralateral lesion area) could vary
963 patient-to-patient, depending on the site and extent of damage. To date, tDCS has not been
964 applied to prosopagnosia, or in face perception tasks in typical participants. However, Ross et
965 al. (2010) found that anodal tDCS over the right anterior temporal lobe significantly
966 improved name recall for famous faces in a group of young adults with typical face
967 recognition, indicating that anterior temporal tDCS may be useful in mnemonic cases of AP
968 or DP.

969
970 tRNS involves the use of a current that changes several hundred times per second, taking its
971 value from a random noise distribution centred around 0 (Paulus, 2011). Because the current
972 oscillates between the two electrodes, there is no anode or cathode, and the areas under both
973 electrodes show enhanced cortical excitability (Cohen Kadosh, 2013). Like tDCS, tRNS has
974 been shown to improve cognitive abilities in a range of domains, including motor and
975 perceptual learning (Fertonani et al., 2011; Terney et al., 2008). tRNS also shows long-term
976 effects: when combined with five days of cognitive training for numerosity or mental
977 calculation, stimulation resulted in increased training gains that remained evident between 16
978 weeks and six months later (Cappelletti et al., 2013; Snowball et al., 2013). Like tDCS, tRNS
979 has not been applied in AP or DP as yet. However, evidence from training studies in other
980 domains suggests that combining cognitive training (such as the techniques used by DeGutis
981 et al., in press) with tRNS may enhance its effects, although work is needed to clarify which
982 combination of training task and stimulation site is effective in various types of
983 prosopagnosia.

984
985 GVS resembles tDCS of the vestibular nerve – electrodes are placed on the mastoid bones,
986 which stimulates the vestibular nerve and, in turn, all vestibular relay stations upstream. fMRI
987 studies have revealed that GVS activates a wide range of cortical areas including several
988 associated with face-processing (e.g., the superior temporal gyrus and temporo-parietal
989 cortex; Bense et al., 2001). Only one study has examined GVS in face recognition: Wilkinson
990 et al. (2005) applied GVS to patient RC, who acquired prosopagnosia following damage to
991 the right temporal lobe (amongst other areas). Short sessions of GVS improved RC's face
992 discrimination performance to above-chance levels. However, the discrimination task was not
993 strictly identity-matching – RC was required to choose a face that did not have its eyes and
994 mouth inverted, rather than to choose between two typical faces. As such, it is difficult to say

995 whether the stimulation simply improved detection of abnormalities in a face, or whether the
996 effects would carry over to other face processing tasks (e.g., face memory). Once again,
997 further work is necessary to confirm whether GVS may also be beneficial for DPs, or in other
998 cases of AP with different lesions or functional profiles.

999

1000 **7. Summary**

1001 In sum, while there have been few attempts to improve face recognition skills in either AP or
1002 DP, some tentative conclusions can be drawn from the available data and the wider
1003 neurorehabilitation literature. First, there is evidence to suggest that both forms of the
1004 condition respond to compensatory training, and that some adults with DP benefit from
1005 remedial training (although currently it is unclear precisely why some participants show
1006 benefits, whereas others do not). It is also unclear whether remedial programmes may be
1007 useful in AP, and in children with DP. While the benefits of compensatory training
1008 programmes appear to be that they are suitable for both adults and children and their gains are
1009 more long-lasting, they also promote more laboured processing strategies that are less likely
1010 to generalize to the recognition of untrained faces. On the other hand, remedial training
1011 techniques may promote more efficient “normal” processing strategies that are more likely to
1012 generalize to untrained faces, yet it takes more training to achieve these gains and they
1013 require continued rehearsal.

1014

1015 Given there have been very few studies in this area, further research into the duration,
1016 maintenance, and long-term benefits of remedial and compensatory training are necessary. It
1017 is likely that the suitability of these programmes for different individuals will have a complex
1018 interaction with age, the type of injury in acquired cases, the severity and nature of the
1019 prosopagnosia, and other environmental influences. In any case, gains are likely to be mild-
1020 to-moderate, and the utility of alternative methodologies (i.e. oxytocin inhalation or brain
1021 stimulation) should be considered. It is important to note that use of these techniques is in its
1022 infancy, and while single applications may bring about short-term gains in face recognition
1023 skills, there are likely to be significant safety considerations associated with everyday
1024 application of the techniques. Alternatively, performance of remedial training under oxytocin
1025 or stimulation conditions may bring about larger and longer-term benefits than the
1026 behavioural programme alone. Future work using more systematic methods and larger
1027 participant groups is clearly required, and in the case of DP, there is an urgent need to
1028 develop early detection and remediation tools for children in order to optimise intervention
1029 outcome.

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Table

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1463 **Table 1:** A summary of published research reports that have examined intervention techniques in acquired or developmental prosopagnosia.

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Reference	Incident age (yrs)	Intervention age (yrs)	Lesion	Functional implication	Intervention technique	Success	Generalised gains (lab)	Gains to everyday life	Gains maintained
<i>Acquired:</i>									
Ellis & Young (1988)	3	8	Implied RH lesion & bilateral occipital damage	Perceptual	Remedial	No	-	-	-
De Haan et al. (1991b)	19	23	Bilateral temporo-occipital junction	Perceptual & mnemonic	Compensatory	No	-	-	-
Polster and Rapsack (1996)	61	68	Right temporo-occipital	Perceptual	Compensatory	Yes	No	Unknown	Unknown
Francis et al. (2002)	19	21	Right temporal	Semantic & Mnemonic	Compensatory	Yes	Yes	No	Unknown
Powell et al. (2008)	< 51	> 51	Bilateral occipital, left temporal & frontal	Perceptual	Compensatory	Yes	Unknown	Unknown	Unknown
<i>Developmental:</i>									
Brunsdon et al. (2006)	-	8	-	Perceptual	Compensatory	Yes	No	Yes	Yes
DeGutis et al. (2007)	-	48	-	Perceptual	Remedial	Yes	Yes	Yes	No
Schmalzl et al. (2008)	-	4	-	Perceptual	Compensatory	Yes	Unknown	Yes	Yes
DeGutis et al. (in press)	-	M=35, N=24	-	Various	Remedial	Yes	Yes	Yes	Unknown

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Figure Captions

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1477 **Figure 1:** (a) The cognitive model of face-processing proposed by Bruce and Young (1986),
1478 and (b) an adaptation of the distributed model of face-processing proposed by Gobbini and
1479 Haxby (2007).

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1481 **Figure 2:** Schultz's (2005) amygdala/fusiform modulation model.

Figure 1.JPEG

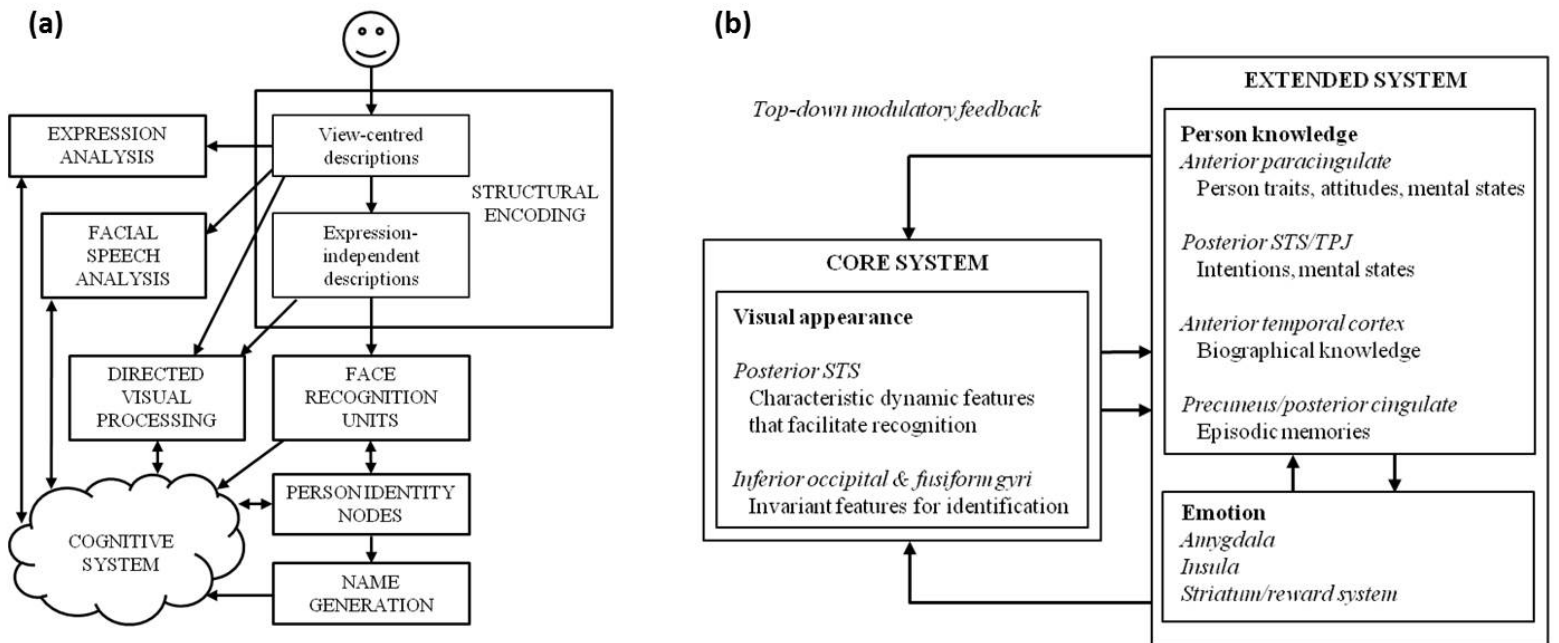


Figure 2.TIF

