Household and community socioeconomic influences on early childhood

Malnutrition in Africa

By Jean-Christophe Fotso & Barthelemy Kuate-Defo

1. Introduction

Nutritional deficiencies and poor health of children are major public health concerns in developing countries, where they represent both a cause and a manifestation of poverty (ACC/SCN, 1997; World Bank, 2003). The evidence of short and long term consequences of childhood malnutrition is well documented and include increased susceptibility to infection and risk of mortality, poor functional outcomes such as impaired cognitive or delayed mental development and subsequently poor school performance and reduced intellectual achievement, poor productivity and work efficiency in adulthood (De Onis et al., 2000; Wagstaff & Watanabe, 2000). Ultimately malnutrition hinders human capital which is one of the most fundamental assets of households, communities and nations. As a result, impoverished disempowered women who were malnourished as infants are more likely to grow up within similar environments throughout their lifecycle and subsequently give birth to malnourished infants, thereby perpetuating the inter-generational effects of malnourishment and the cyclical nature of poverty (ACC/SCN, 1997; World Bank, 2002; 2003; Haddad et al., 2002).

Poverty also affects child malnutrition which is often the result of a long sequence of interlinked events ascribed to a wide range of biological, social, cultural and economic factors (Scrimshaw and SanGiovanni, 1997; Gopalan, 2000). In developing countries, such events are usually part of the so-called poverty syndrome with its synergistic attributes of low family income, large family size, poor education, poor environment and housing, poor access to or inequitable distribution within the country of safe water and health care services, and inadequate access to (and availability of) food or inequitable distribution of food available within the country (FAO, 1997; Peña & Bacallao, 2002). Poverty is however more than the lack of income or assets, since factors some of which are captured by the concept of "capability" also influence child's nutritional status. This dimension of what has been defined as human poverty encompasses the household's opportunities within society (Haddad et al., 2003; ACC/SCN, 1997). Overall, prominent among factors influencing child nutritional status are the socioeconomic ones (Oakes and Rossi, 2003). Indeed a large body of health research in developing countries has incorporated a measure of socioeconomic status (SES) (Liberatos et al., 1998), and documented an inverse relationship between SES and a variety of health

outcomes over time and space, regardless of the measure of the SES. Existing evidence lends support to the view that people privileged by more education, income, the dominant ethnicity, higher status jobs, and housing standards, have better health than their counterparts (Rajaram, et al., 2003; Kuate-Defo, 2001; Ruel et al., 1999; Adair & Guilkey, 1997; Ricci & Becker, 1996; Ruel et al., 1992; Cebu Study Team, 1991).

Yet little is known about inequalities in childhood malnutrition between socioeconomic groups in developing countries and especially in Africa (Alvarez-Dardet, 2000; Kuate-Defo, 2001). It is therefore important to investigate the extent to which such inequalities have varied over time and to address the issue of urban-rural differentials in those inequalities. Besides maternal education, the type of place of residence (rural versus urban) is one of the socioeconomic covariates most frequently used in studies of child nutrition and survival in the developing world (Ruel et al., 1992; Ricci & Becker, 1996; Madise et al., 1999; Tharakan and Suchindran, 1999). Assessing the socioeconomic influences on child's nutritional status both between and within developing countries has special appeal for policy and programs targeted at improving the well-being and survival chances of children. Unfortunately, the literature on these topics has been growing asymmetrically, the body of knowledge being built mainly on evidence from industrialized countries (Alvarez-Dardet, 2000). This gap is most glaring in the case of comparative and nationally representative studies of child malnutrition. More importantly, in the absence of standard measures of the SES of families and communities, researchers have typically used their own indicators, making cross-study comparisons difficult. Furthermore, these indicators may measure slightly different dimensions of SES, leading to different classifications of poverty and subsequently to the identification and selection of different population groups (Glewwe & van der Gaag, 1990). Additionally, the modelling strategies of these works often ignore the multilevel nature of influences on child nutritional status and the hierarchical structure of the data used.

It is against this background that this study is designed in an attempt to investigate how the contexts and socioeconomic conditions of families and communities of residence influence the nutritional status of children over time and space. Specifically, the objectives of this paper are to: (i) Assess the extent of clustering of childhood malnutrition among communities and what factors account for it; (ii) Examine levels and trends in urban-rural differentials in childhood malnutrition, and whether they are influenced by the SES of communities and households; and (iii) Investigate the magnitude and changes over time in the influences of the SES of families and communities on child's nutritional status and the extent to which they

interact with urban-rural residence to produce substantively different expressions of inequalities in the prevalence of childhood malnutrition.

2. Conceptual framework

UNICEF's (1990) and Mosley and Chen's (1984) frameworks both constitute a milestone in the sphere of research on determinants of child health in developing countries (Robert, 1999; Cebu Study Team, 1991) and are used in this study to articulate the relationships between household (the term household is used interchangeably with family in this paper) and community socioeconomic factors and child malnutrition in Africa. It is posited that socioeconomic factors operate at different levels (e.g., community, household, family) through more proximate determinants that in turn influence the risks and the outcomes of malnutrition.

According to these frameworks, child's welfare (morbid status, nutritional status, immunity status, and survival status) is largely determined by five groups of proximate risk and protective factors: (i) child's characteristics, prominent among which are biological variables such as age, sex, birth weight, gestational length, health conditions at birth, and birth order; (ii) mother's reproductive patterns and cultural practices, encompassing age at puberty, age at sexual debut, age at maternity, birth spacing practices, religious affiliation and religiosity, and exposure to media; (iii) mother's nutritional behaviour and status proxied by breastfeeding patterns and body mass index; (iv) access to and utilisation of health care services, especially for antenatal care, delivery and immunization of children; and (v) household size and composition that may be measured by both the total number of its members and especially those under five years of age as well as the gender composition of the household. There is an extensive literature documenting the potential effects of these factors on child's health (Kuate-Defo, 2001; Ruel et al., 1999; Adair & Guilkey, 1997; Ricci & Becker, 1996).

Socioeconomic family-level variables encompass parents' education and employment, household's income and ownership of consumer durable goods, water, sanitation and housing. Parental education usually correlates strongly with parental occupation and often serves as a proxy for household's assets and marketable commodities the household consumes. Mother's education and occupation can affect child's health by influencing her choices, increasing her skills and improving behaviours related to preventive care, nutrition, hygiene, breastfeeding, parity and birth intervals (Mosley & Chen, 1984). Typically, inadequate or improper education of women often exacerbates their inability to generate resources for improved nutrition for their families (UNICEF, 1990). A number of studies have supported that

mother's schooling is a stronger determinant of child welfare, but have also shown some inconsistencies about the magnitude and significance of its effects compared to those of other socioeconomic indicators such as income or wealth (Ruel et al., 1992; Cleland & van Ginneken, 1988).

The household socioeconomic factors mainly influence its member's health through the income and wealth effects. In the absence of reliable information on income, many indicators may capture the household's financial ability to secure goods and services that promote better health, help to maintain a more hygienic environment, and ensure adequate nutrition needs. For example, inaccessibility to clean water and poor environmental sanitation increase the prevalence of both malnutrition and disease. Inadequate access to water may also affect nutrition indirectly by increasing the work-load on mothers and thus reducing the time available for child care (Kuate-Defo, 2001; UNICEF, 1990; Mosley & Chen, 1984).

Community-level covariates include availability of health-related services and relevant socioeconomic infrastructures. Community socioeconomic factors may influence child health and nutrition through two major pathways: by shaping the family/household-level SES, and/or by directly affecting the social, economic and physical environments shared by residents, which in turn operate through more proximate attributes to impact health outcomes (Robert, 1999). Public services such as electricity, water, sewerage, transportation and telephone networks are likely to be quite inadequate in lower socioeconomic communities with often deleterious consequences on child's health. Similarly, the existence and quality of, and access to, health-related and socioeconomic services usually differ by socioeconomic characteristics of communities. Even where these basic services and foods are available in deprived areas, their access may be hampered by barriers such as inadequate or unsafe transportation systems (Mosley & Chen, 1984).

Despite the overwhelming interest and progress on SES in health research, its conceptualization or measurement remain unsettled (Lynch and Kaplan, 2000; Alder et al., 1993; Campbell and Parker, 1983). Moreover, there is still no consensus on its nominal definition or on a widely accepted measurement tool (Oakes and Rossi, 2003; Cortinovis et al., 1993; Campbell and Parker, 1983). In this context, researchers working on developing countries often use their own individual-, household- or community-level socioeconomic indicators, thus making cross-national comparisons virtually impossible. Moreover, since different SES indicators may be correlated with one another, their use in the same statistical model is usually called into question with arguments invoking problems of multicollinearity, instability of estimated parameters and their interpretation (Alder et al., 1993; Campbell and

Parker, 1983). The ignorance of father's education is also a shortcoming of current approaches since in many settings of the developing world, the husband generally takes decision regarding fertility, contraception and use of health care services, so that certain behaviours and practices which may affect child health and nutrition depend on the father and specifically on his level of education (Kuate-Defo and Diallo, 2002). Moreover from experience, the distribution of the paternal education is heterogeneous than maternal education particularly within rural areas, thus increasing the likelihood of a statistically significant relationship with child nutritional status. Cortinovis et al. (1993) have also stressed the need to construct overall socioeconomic indexes rather than using individual indicators.

3. Materials and methods

This study uses data from Demographic and Health Surveys (DHS) in the following five African countries which carried out more than one DHS in the 90s: Burkina Faso (1992/93, 1998/99); Cameroon (1991, 1998); Egypt (1992, 2000); Kenya (1993, 1998) and Zimbabwe (1994, 1999). The DHS have comparable information on community and household characteristics as well as on nutrition and health of women aged 15-49 years and their children born within three to five years before the survey date, known to be of good quality. We restrict the samples to children aged 3-36 months to ensure strict comparability of the data-sets used in the analyses. We also exclude children whose mother is not resident of the household surveyed. Table 1 displays the sample sizes as well as the hierarchical distribution of the number of unites at different level (child, mother, household, community).

[Table 1 about here]

The selected countries exhibit quite different socioeconomic and demographic profiles. Burkina Faso is one of the least developed countries, while Egypt by contrast is one of the most affluent. Real Gross Domestic Product (GDP) per capita vary from almost \$US 250 in Burkina Faso to \$US 1 230 in Egypt, with intermediate values close to \$US 330 in Kenya, \$US 625 in Zimbabwe and \$US 665 in Cameroon (World Bank, 2002). According to the Human Development Index (HDI), Egypt is ranked at the position 7 (out of a total of 48 African countries); Zimbabwe, Kenya and Cameroon are in the middle class, ranking 14th, 17th and 18th, respectively; and Burkina Faso lags behind at the 45th position, just before Mozambique, Burundi, Niger and Sierra Leone (UNDP, 2002). The selection of Burkina Faso furthermore introduces a dimension of extreme poverty and poor infrastructural development that characterizes a number of Sub-Saharan African countries. Hence, although the selected countries are not representative of the entire African continent, their geographic location (West, Central, North, East and Southern Africa) and socioeconomic and cultural diversities constitute a good yardstick for the continent.

Focusing on the relationship between nutritional status and SES within Africa is of special importance. In effect, the African continent is not on target to reach the first Millennium Development Goal of eradicating extreme poverty and hunger by the year 2015. Despite the success of the World Summit for Children (1990), the International Conference on Nutrition (1992), and the World Food Summit (1996) in achieving their primary goal (i.e. to arouse interest and commitment in policies, programs and activities aimed at improving the nutritional status of populations), actual progress in nutritional well-being continue to bypass many African countries and population subgroups. Indeed, malnutrition rates among preschool children are on the rise in some countries, whilst in many others, they remain disturbingly high or are declining only sluggishly, with very low prospects of significant improvement. Between 1990 and 2000, the overall prevalence of stunting among preschool children in Africa has diminished by only 2.5 percentage points (from 37.8% to 35.2%), and the absolute number of malnourished children has risen by almost 13.5% (from 41.7 to 47.3 millions). Eastern Africa witnessed an increase of nearly 29% (from 17.1 to 22 millions) of undernourished children during this period (De Onis et al., 2000). The ever worsening political climate in most sub-Saharan African regions resulting in wars and refugee problems as well as the restricted inflow of foreign capital investments have titled the economies downwards with an unprecedented hardship on populations, especially on children as they are more prone to suffer from nutritional deficiencies than adults because their physiologically less stable situation (World Bank, 2003; Tharakan & Suchindran, 1999).

An important issue in studies dealing with area effects on health is the definition of "communities" or "neighbourhoods" or, more precisely the geographic area whose characteristics are thought to be relevant to the health outcome under study. Most healthbased studies in developing countries using community-level characteristics rely on sampling cluster as proxy for community, and very few have provided a concise definition of community. Conceptually, the size and definition of community may vary according to the processes through which area effect is hypothesized to operate and to the health outcome studied. For example, areas based on administrative boundaries may be relevant when hypothesized processes involve public policy; whereas geographically defined neighbourhoods may be relevant when physical environment is supposed to be the most important (Diez-Roux, 2001). Nevertheless, researchers working with national representative samples often have no choice but to rely on administrative definitions for which standard data are available, even though these structures may have no explicit theoretical justification in terms of the outcome being studied (Duncan et al., 1998). This study defines community by grouping sampling clusters within administrative units in order to have desirable minimum number of communities and number of households per community in each urban and rural sample.

Dependent variable

Among various growth-monitoring indices, there are three commonly used comprehensive profiles of malnutrition in children namely stunting, wasting and underweight, measured by height-for-age, weight-for-height, and weight-for-age indexes respectively. Stunting, or growth retardation, or chronic protein-energy malnutrition results in young children from recurrent episodes or prolonged periods of nutrition deficiency for calories and/or protein available to the body tissues, inadequate intake of food over a long period of time, or persistent or recurrent ill-health. Wasting or acute PEM captures the failure to receive adequate nutrition during the period immediately before the survey, resulting from recent episodes of illness and diarrhea in particular, or from acute food shortage. Underweight status is a composite of the two preceding ones, and can be due to either chronic or acute PEM (Kuate-Defo, 2001). As recommended by the World Health Organization (WHO), children whose index is more than two standard deviations below the median NCHS/CDC/WHO reference population are classified as malnourished, that is stunted, wasted or underweight depending on the index used.

In this paper we use stunting as an indicator of child's nutritional status. From a pragmatic perspective, it is not relevant to focus on wasting since it is generally of very low prevalence. In our data-sets for example, the prevalence of wasting in four of the five countries and two periods ranges from 3% to 7.5% against a range of 20%-33% for stunting. This relatively low level of wasting limits the extent to which it can be used as an indicator of malnutrition, since much larger samples are required to explore the correlates of this outcome. Moreover, a number of studies have shown that wasting is volatile over seasons and periods of sickness (World Bank, 2002), and is often insensitive to prevailing socioeconomic conditions, exhibiting insignificant socioeconomic differentials, and unable to manifest the steep gradients related to SES as observed with stunting (Zere & McIntyre, 2003). Although underweight often parallels stunting, seasonal weight recovery and some children being overweight can also affect weight-for-age index. In contrast, the height-for-age measure is less sensitive to temporary food shortages and thus, stunting is considered the most reliable indicator of child's nutritional status, especially for the purpose of differentiating socioeconomic conditions within and between countries (Zere & McIntyre, 2003).

Key independent variables

Four key independent variables are of interest in this study and are defined in Appendix I. They are place of residence (urban or rural), household wealth index, household social status and community endowment status. Following recent works of Filmer & Pritchett (2001) and Gwatkin et al. (2000) and the conceptual framework presented above that recognizes the distinctive feature of socioeconomic indexes measured at the household versus community levels, three relevant and complementary socioeconomic indexes are constructed using principal component analysis: (i) Household wealth index that captures household's possessions, type of drinking water source, toilet facilities and flooring material, and thus expands or may be used as proxy for the commonly used income or expenditures variables: (ii) Household social index, that encompasses maternal and paternal education and occupation; and (iii) Community endowment index or simply community SES, defined from the proportion of households having access to electricity, telephone and cleaned water, together with relevant community-level information retrieved from community surveys when available. These community-level variables include accessibility of roads, availability of sewerage system, availability of or distance to health services, pharmacy and other socioeconomic infrastructures such as schools, markets, transportation services, banks, and postal services. In the descriptive analyses, the three indices are assigned to five 20% quintiles classified as poorest (bottom 20%), low (next 20%), middle (next 20%), high (next 20%) and richest (top 20%). In the multivariate analyses, these socioeconomic indexes are treated as continuous and centred variables.

In a previous study (Fotso and Kuate-Defo, in press), we showed that each of these socioeconomic indexes is internally coherent, in that it produces sharp separations across its quintile groups for each of the indicator used in its construction, indicating their high degree of summarizing information contained in the assets variables. The explanatory power of the indexes was then evaluated on various health outcomes including health care services utilization (antenatal care, immunization), malnutrition (stunting, underweight), and mortality (infant mortality, under-five mortality). The association generally exhibited remarkable socioeconomic gradients in each of the five selected countries and survey period.

Control variables

Control variables used include: (i) at the household level, the number of household members and the number of under-five children (both continuous centred variables), and their quadratic term; (ii) at the mother level, religion, exposure to media such as radio and television, current age, teenage childbearing, and nutritional status; and (iii) at the child level, current age, sex, low birth weight, antenatal care, place of delivery, age-specific immunization status, breast feeding duration, birth order and interval. Appendix 1 summarizes the description of variables used in this study.

Statistical methods

Descriptive analyses are used to portray the association between each socioeconomic index and childhood malnutrition by place of residence. To deepen the urban-rural differences in stunting by SES gradient, this paper calculates concentration index according to the following formulae due to Kakwani et al. (1997):

$$\begin{cases} C = \frac{2}{n\mu} \sum_{i=1}^{n} y_i R_i - 1 \\ Var(C) = \frac{1}{n} \left[\frac{1}{n} \sum_{i=1}^{n} a_i^2 - (1+C)^2 \right] \\ a_i = \frac{y_i}{\mu} (2R_i - 1 - C) + 2 - q_{i-1} - q_i \end{cases}$$
(1)

Where C is the concentration index; n is the sample size; y_i refers to the outcome variable (stunting); R_i is the relative rank of the individual i; μ is the mean of y; q_i is the cumulative proportion of y $\left(q_i = \frac{1}{n} \sum_{k=1}^{i} y_k\right)$. The concentration curve plots the cumulative proportions of the population (beginning with the most disadvantaged) against the cumulative proportion of health outcome. The resulting concentration index which is similar to the Gini coefficient varies from -1 to +1, and measures the extent to which a health outcome is unequally distributed across groups. The closer is the index to zero, the less unequally distributed among socioeconomic groups is the health outcome. The sign of the index reflects the expected direction of the relationship between the SES and the health outcome (Gwatkin et al., 2000;

Wagstaff et al., 1991).

For multivariate analyses, this study uses multilevel models to investigate the effects of context and to quantify the influences of SES on early childhood malnutrition, controlling for variables at different levels. In effect, in the social and biomedical sciences, cross-sectional data usually have a hierarchical structure due mainly to random sampling of naturally occurring groups in the population. As a result, observations from the same group are expected to be more alike at least in part because they share a common set of characteristics or have been exposed to a common set of conditions, thus violating the standard assumption of independence of observations inherent to conventional regression models. Consequently, unless some allowance for clustering is made, standard statistical methods for analyzing such

data are no longer valid, as they generally produce downwardly biased variance estimates, leading for example to infer the existence of an effect when in fact that effect estimated from the sample could be ascribed to chance (Rasbash et al., 2002). Furthermore, to gain a more complete understanding of the influences of SES on child malnutrition, the child, mother, household and community levels need to be considered simultaneously. This requirement however poses technical difficulties for traditional statistical modelling techniques as they operate only at a single level. By simultaneously modelling the effects of group- and individual-level predictors, with individuals as units of analysis, multilevel models also permit to disentangle contextual effects from compositional ones (Goldstein, 1999; Snijders & Bosker, 1999).

DHS data basically form a hierarchical structure with four levels: children nested within mothers at level 2; mothers clustered within households at level 3; and households in turn nested within communities at level 4. However, with an average of 1.1 children aged 3-36 months per mother, and almost 1.2 children per household in the data as can been seen in Table 1, a family level is defined by collapsing child-, mother- and household-level data. Two-level logistic regression analyses are then carried out in each country and period according to the following system of equations:

$$\begin{cases} Logit(\pi_{ij}) = \ln\left[\frac{\pi_{ij}}{1 - \pi_{ij}}\right] = \beta_{0j} + \sum_{k=1}^{p} \beta_{k} x_{ij}^{(k)} + \sum_{l=1}^{q} \delta_{l} z_{j}^{(l)} \\ \beta_{0j} = \beta_{0} + u_{0j} \end{cases}$$
(2)

In this system of equations, i and j refer to the family and community respectively; π_{ij} is the probability that child referenced (i, j) is stunted; $x_{ij}^{(k)}$ and $z_j^{(l)}$ are the kth family-level covariate and the 1th community-level covariate respectively; β_{0j} represents the intercept modelled to randomly vary among communities; the β_k and the δ_l represent the regression coefficients of the familial explanatory variables and the community explanatory variables respectively; and u_{0j} is the random community residuals distributed as $N(0, \sigma_u^2)$ (Rasbash et al., 2002; Goldstein, 1999; Snijders & Bosker, 1999). Models are fitted using the MLwiN software with Binomial, Predictive Quasi Likelihood (PQL) and second-order linearization procedures (Rasbash et al., 2002; Goldstein, 1999). Since DHS surveys often over-sampled certain subgroups in order to obtain statistically meaningful sample sizes for analysis, sampling probabilities are used in all the analyses to weight information at the individual level, so that the resulting findings are generalized to the total population. Finally, we assess changes over time by comparing the coefficients between the two survey periods. Calculation of the

standard deviation of change is based on the assumption of independence of the DHS-1 and DHS-2 samples in each country. This may not be the case strictly-speaking, since some households may be selected in both samples

4. Findings

Descriptive results are shown in Table 2 and Figures 1 to 3, whilst multivariate analyses are displayed in Tables 3 to 5. The main findings emerging from these results are presented focusing primarily on the first survey (DHS-1) and reference is made of DHS-2 when assessing change over time in the magnitude and significance of effects of covariates.

Descriptive analyses

Table 2 displays the prevalence of stunting in the five countries and at two points in time. Irrespective of the country and the survey date, chronic malnutrition is highly prevalent and affects between 23.5% (Zimbabwe, 1994) and 33% (Kenya, 1993) of children aged 3-36 months. Furthermore, the nutritional status of children has substantially deteriorated during the inter-survey period in Zimbabwe and Cameroon (by almost 25%), and to a lesser degree in Burkina Faso (by 9%), corresponding to an average annual increase of 4.5%, 3.2% and 1.4% respectively. In contrast, the nutritional status of children in Egypt continues to improve consistently over time nationwide, with a drop of malnutrition rate by almost 31% (or 4.5% on an annual basis). Between these two extremes, malnutrition rate has remained unchanged in Kenya. Urban-rural differentials in childhood malnutrition are also apparent. As expected for all countries and over time, the prevalence of childhood malnutrition is higher in rural areas than in urban centres, with rural/urban ratios of 1.9 in Cameroon, 1.6 in Burkina Faso and almost 1.4 in the three other countries. This urban advantage is reduced over time especially in Cameroon due to a sharp increase in the prevalence of stunting among urban children (by almost 48%), as compared to an increase of nearly 10% among their rural counterparts.

[Table 2 about here]

In general the three socioeconomic indices indicate that the poorest segment of the population has the highest prevalence of malnutrition in all countries and over time whereas its richest counterpart has the lowest prevalence. Figures 1 to 3 illustrate this general pattern of prevalence of stunting among children by socioeconomic quintile groups. The prevalence of stunting generally declines steadily with increasing SES. To portray this pattern further, the poor/rich ratio is used in Table 2 for assessing the general order of magnitude of differences between the poorest and the richest groups of the population. Cameroon has the highest poor/rich ratio for the household wealth index, with children from the poorest SES group

having almost 3.2 times greater chance to be stunted than their counterparts in the richest SES group, followed by Kenya (2.1), Egypt and Zimbabwe (1.8) and Burkina Faso (1.5). The poor/rich ratio for the household social index ranges from almost 1.6 in Burkina Faso to nearly 2.3 in Cameroon and Zimbabwe, through almost 1.9 in Egypt and Kenya. Finally, the bivariate association between community endowment index and child nutrition shows that children from communities in the poorest SES group are almost 3.0 times more likely in Cameroon, 2.1 times more likely in Zimbabwe to be stunted, than their counterparts in the most privileged communities.

[Figures 1 to 3 about here]

Whether socioeconomic inequalities vary significantly by place of residence is further assessed. Figures 4 to 6 display the magnitude of inequalities in urban versus rural areas using concentration index. The estimates are higher in urban centres than in rural areas, regardless of the country, the measure of SES and the survey date. The only exceptions are noted in Zimbabwe (1999) for household social index and in Kenya (1993) for community SES. In the former case, the urban coefficient is not statistically significant at the level of 0.10 whilst in the latter both urban and rural coefficients fail to reach statistical significance.

[Figures 4 to 6 about here]

Variability in child stunting among communities

Panel A of Table 3 displays estimates of the variability in malnutrition among children across families and communities, with and without accounting for measured covariates. Community-level random variations are significantly different from zero in all countries and survey periods (p< 0.01), suggesting apparent variability among communities in early childhood stunting (Model a). The intra-community correlation (ICC), which measures the proportion of the total variance which is between communities (Pebley et al., 1996; Snijders & Bosker, 1999), is more than 17% in Cameroon, and almost or less than 5% in the four other countries. The ICC Comparing Model b to Model a indicates that compositional effects explain a large amount of the variation in Cameroon (39%), in Egypt (28%) and in Zimbabwe (20%). In Burkina Faso and Kenya compositional effects explain less than 4% of the variation among communities. A significant variation between communities remains in all countries (p<0.05 in Zimbabwe, p<0.01 in the two other countries). It is therefore clear that differences among communities with regard to childhood malnutrition cannot be explained simply by familial socioeconomic and demographic factors.

[Table 3 about here]

Whether this variability is explained by community characteristics such as urban-rural residence and community SES is examined in Model c. Variability in child stunting among communities further decreases in Zimbabwe and Burkina Faso, indicating that the place of

residence and the SES of the community account for almost 7% of the contextual effects in childhood malnutrition. In the three other countries, including community covariates slightly increased the contextual effects by 3% to 7%.

Urban-rural differentials in childhood malnutrition

The second objective of this study is to evaluate urban-rural differentials in childhood malnutrition and the extent to which they are explained by the SES of communities and families. Converting estimates in Panel B of Table 3 into odds ratios indicates that malnutrition rates in rural areas are almost 2.6 times higher in Cameroon, nearly 90% higher in Burkina Faso and close to 60% higher in Egypt and Kenya, and Zimbabwe, than in cities (Model a). Controlling for community endowment index (Model d) shows that the SES of communities explains between 32% and 39% of urban-rural differentials in Kenya, Burkina Faso and Egypt, and more than 50% in Cameroon and Zimbabwe, with a loss of statistical significance at the level of 0.10 in all countries except in Burkina Faso. Similar effects are noted for the household wealth index (Model b) and the Household social index (Model c). Model e reveals that both household wealth and household social statuses explain much urban-rural differentials, as urban malnutrition rates are now indistinguishable from rural ones at the level of 0.10 in all countries and periods except in Egypt (2000). Controlling for the three socioeconomic indexes (Model f) further reduces estimates to loss of statistical significance in all countries and periods, indicating that urban-rural differentials in child malnutrition are mainly accounted for by household and community SES. However, it is possible that some proportion of the rural-urban differentials could be attributed to selective migration rather than simply to an outcome effect of household or community SES. In Kenya and Zimbabwe, estimates are turned negative (though not statistically significant at the level of 10%), indicating that children from rural areas may tend to have better nutritional status than their counterparts in urban centres when SES is adjusted for. Finally, adjusting for the household, mother and child covariates changes only marginally the magnitude of the difference between urban and rural likelihood of malnutrition in the selected countries.

Gross estimates of socioeconomic influences on child malnutrition

Table 4 shows the multilevel estimates of each socioeconomic indicator fitted alone (Models a, b and c) and of the two household indexes fitted simultaneously (Model d). The third hypothesis of this work is about the inverse relationship between prevalence of child nutritional status and the SES of families and communities. As hypothesized, there is a strong inverse relationship between each of the three socioeconomic measures and child stunting, with statistically significant estimates in virtually all countries. Moreover, adding interaction

with place of residence (Sub-model (3) in Models a to d) clearly indicates that socioeconomic inequalities in childhood malnutrition are consistently higher in urban centres than in rural areas. The coefficients however fails to reach statistical significance in Kenya for community SES (Model c), and in some instances in Model d.

[Table 4 about here]

Concerning the household wealth status (Model a), a control for the place of residence produces impact in line with expectations in Burkina Faso, Egypt and Cameroon where estimates diminish by 28%, 14%, and 7% respectively. In contrast, the effects of household wealth status on child's nutritional status are markedly on the rise in Zimbabwe (by 19%) and to a lesser degree in Kenya (7%). During the inter-survey period, wealth inequalities in child health tended to narrow in Cameroon, Egypt and Zimbabwe, and were somewhat on the rise in Burkina Faso and Kenya, without reaching statistical significance.

When place of residence is taken into account, the effects of household social status (Model b) on childhood stunting diminish sharply in Cameroon and Burkina Faso and slightly in the three other countries, but remain statistically significant (p<0.05 in Burkina Faso, p<0.01 in the other countries). Moreover, during the inter-survey period, inequalities in child health with respect to household social status have almost disappeared in Burkina Faso (p<0.05), have narrowed in Egypt and Cameroon, but have tended to widen in Kenya. When the effects of both household wealth and household social status grave considered simultaneously (Model d), they are statistically significant in all countries except in Cameroon where the household social status has no significant influence on child health. The effects of the wealth status are slightly larger than those of the social status in all countries except in Burkina Faso. This finding adds to the debate on whether health inequalities among families primarily result from the effects of material hardship, or mainly reflect disparities with regard to social position, measured in this paper by mother's and father's education and occupation (Lynch and Kaplan, 2000).

With regard to the community SES (Model c), controlling for the location of residence sharply reduces the estimates between 33% (Cameroon) and 60% (Kenya), leading to loss of statistical significance in Egypt, Kenya and Zimbabwe. Though estimates for change fail to reach statistical significance, community socioeconomic inequalities have tended to widen during the inter-survey period in Kenya, Zimbabwe, and Egypt.

Net effects of household and socioeconomic influences on child malnutrition

Table 5 presents estimates of the influences of the three socioeconomic indexes taken together on childhood malnutrition with control for place of residence (Model a), household/mother attributes (Model b), child characteristics (Model c), and interaction effects between socioeconomic indexes and place of residence (Model d). In Model a, household wealth and household social statuses exhibit statistically significant inverse relationship with child's nutritional status in Burkina Faso, Egypt, Kenya and Zimbabwe, whereas only household wealth status reaches statistical significance in Cameroon (p<0.01). Adjustment for household/mother attributes (Model b) produces striking features. Whilst the effects of the household social status vary in the expected direction with a drop of 20% in Egypt, and a slight decrease (less than 7%) in Burkina Faso, Kenya and Zimbabwe, the effects of the household wealth situation are substantially on the rise by 15%-25% in all countries except in Kenya where they diminish by 20%.

[Table 5 about here]

When child characteristics are added to the estimated equation (Model c), some significant variations in the socioeconomic effects are noticed. The community socioeconomic effects increase sharply in Burkina Faso to reach statistical significance (p<0.10); household wealth estimates are on the rise in Burkina Faso whereas they decrease by 18%-24% in Cameroon, Egypt and Kenya, and by 5% in Zimbabwe. The effects of household social status further decline in all countries leading to a loss of statistical significance except in Kenya. Overall, household-, mother- and child-level controls contribute on the one hand to an increase of the household wealth effects in Burkina Faso and Zimbabwe by 30% and 16% respectively, and on the other to a drop in Kenya (by 35%) and Egypt (by 8%). The household social effects diminish markedly in Zimbabwe (by almost 60%), Egypt (by nearly 40%), Burkina Faso (by 28%), and Kenya (by 12%). Consequently, the relative contributions of the three socioeconomic measures and particularly the prominence of the household wealth index on child nutritional status become clear. Three patterns now emerge: household wealth status alone in Cameroon and Zimbabwe (p < 0.01); household wealth and social indices in Kenya (level of significance 0.05 for wealth, 0.01 for social); household wealth index and community SES in Burkina Faso (level of significance 0.05 for wealth, 0.10 for community SES); and none in Egypt.

Converting the estimated socioeconomic coefficients in Model c (Table 5) into odds ratio yields the following results. Malnutrition rates among children from the poorest 30% household wealth group are estimated to be almost 3.5 times higher in Cameroon, and 2.5 times higher in Zimbabwe, than among their counterparts in the richest 30% household wealth

group. This poor/rich ratio averages 1.4 in the other countries (Burkina Faso, Egypt and Kenya). As regards the household social status, the likelihood of malnutrition among children from the poorest 30% group is 1.6 times higher in Kenya than among those from the richest 30% group. For the community SES, malnutrition rates in Burkina Faso are almost 45% higher among children in deprived communities than among those in the most privileged areas. Moreover, during the inter-survey period, inequalities among communities in child malnutrition have tended to narrow in Cameroon and to widen in Egypt; household wealth inequalities have lowered in Cameroon, Egypt and to a lesser degree in Zimbabwe, and tended to be on the rise in the two other countries; household social inequalities have significantly narrowed in Burkina Faso (p<.10).

Finally, interaction effects between place of residence and each of the three SES are added in the most complete model. It appears from this full model with interactions that community SES is strongly associated with urban childhood malnutrition in Cameroon and Egypt. Overall, these results tend to support the main finding of a steeper socioeconomic gradient in child nutritional status in urban centres than in rural areas, as shown in the descriptive analyses using concentration index (Figure 4 to 6).

We also fitted a Model e which expands Model c by adding interactions between child age (dichotomized as 3-23 months and ≥ 24 months) and each of the three socioeconomic measures (results not shown). No significant interaction term emerged except in Egypt (2000) and Kenya (1998) where the interaction between household wealth index and child age reached statistical significance at the level of 0.01 and 0.05 respectively. Furthermore the coefficients were negative, indicating higher explanatory power of the household wealth index to predict the nutritional status of children aged 24 months and older to in these two countries and time periods.

5. Discussion

This study has examined the relative contributions of compositional and contextual effects of urban-rural place of residence and socioeconomic status (SES) in explaining malnutrition among children in Africa, using a coherent analytic framework and multilevel modelling approaches. A number of findings emerge from this work.

The gap in the prevalence of child malnutrition between better-off and disadvantaged groups remains wide. The SES of communities and households are significantly associated with childhood stunting, with household wealth emerging as the strongest predictor and the community SES playing in some instances an independent and important role. The socioeconomic situation of individuals and communities affects a broad array of characteristics, conditions and experiences, which in turn are likely to affect their health and nutritional status. The community SES plays a sizeable role in affecting health status, presumably through its influences on the SES of individuals and the social service and physical environment of communities shared by residents (Robert, 1999; Cortinovis et al., 1993; Mosley & Chen, 1984). Although cross-study comparisons are rendered difficult because most previous studies have typically use their own SES indicators, this work yield consistent evidence across countries and over time of better nutritional status among children from parents privileged by more education and jobs, from wealthier households or from the most affluent areas. The relationships between SES and stunting are weaker in Zimbabwe especially in the second time period (1999), as can been noticed in the descriptive as well as multivariate analyses. However, data on the quality of the constructed socioeconomic indexes as measured through the proportion of variance explained by the first principal component and through the internal coherence (not shown), do not reveal any evidence of poorer adjustment in Zimbabwe (for details, see Fotso & Kuate-Defo, 2004).

The strong evidence of variations in child malnutrition among communities is consistent with the presence of contextual and socio-environmental effects. This finding, in line with most studies that attempt to disentangle contextual from compositional effects (Subramanian et al., 2003; Reed et al., 1996), lends support to the growing evidence on the influences of living conditions in health and nutrition research (Alvarez-Dardet, 2000; Pickett & Pearl, 2001). Moreover, including community SES and place of residence in fitted models resulted in an increase of the amount of the between-community variance in Cameroon (both periods), Egypt (1992), and Kenya (both periods). It may be conjectured that controlling for urban-rural place of residence and community SES reveals important differences in unmeasured familial characteristics by community of residence that were previously obscured and/or revealed important unmeasured differences among communities. When both individual and area level predictors were entered in the model, the intra-community correlation ranges from nearly 3% in Burkina Faso to almost 12% in Cameroon. The existence of such unobserved heterogeneity suggests that other key community correlates not included in the analyses also significantly influence child nutrition.

This study also confirms the evidence from most previous studies that have consistently reported that urban children are significantly less likely than rural ones to become malnourished (Kuate-Defo, 2001; Tharakan & Suchindran, 1999; Adair & Guilkey, 1997; Ricci & Becker, 1996). Furthermore, it shows that this urban advantage is essentially

accounted for by the SES of communities and families, which probably points to a stronger explanatory power of the standardized socioeconomic measures developed and used in this study. Thus, as suggested by Smith et al. (2004), better nutritional status of urban children is probably due to the cumulative effects of a series of more favourable socioeconomic conditions, which in turn, seems to positively impact on caring practices for children and their mothers. Finally, an assessment of the extent to which differences in nutritional status among children arising from interactions between SES and place of residence consistently indicates that socioeconomic gradient in child health is steeper in urban centres than in rural areas, or stated in other words, that large differentials exist among socioeconomic groups in urban areas. These patterns also emerged from works of Menon et al. (2000) based on 11 developing countries across Africa, Asia and Latin America, which suggest that reliance on global average statistics to allocate resources between rural and urban areas may be misleading. They are clearly supportive of the advocacy for programs and policies targeting the nutrition situation of the population living in poor urban areas (Menon et al., 2000), since African continent is witnessing a rapid urbanization accompanied in most countries by severe economic deceleration, leading to poor livelihood opportunities, worsening health conditions, and growing poverty.

Acknowledgements:

This work is carried out as part of the research Program on "Reproductive Behavior, Population Health and Nutrition in Africa" of the PRONUSTIC Research Laboratory at the University of Montreal. It is supported by research grants from the Mellon Foundation (New York, USA), The Rockefeller Foundation (New York, USA), the Thrasher Research Fund (Utah, USA), the Social Sciences and Humanities Research Council of Canada (SSHRC) (grant No. 410-98-1109) and the Fonds pour la Formation de Chercheurs et l'Aide à la Recherche (FCAR) of Quebec, Canada (grant No. 99-NC-1930). Additional support was provided by doctoral fellowships from the Mellon Foundation and the Population Council to Jean-Christophe Fotso. We thank two anonymous referees for their insightful suggestions.

REFERENCES

- ACC/SCN (eds) Nutrition and Poverty. Papers from the SCN 24th Session Symposium in Kathmandu, March 1997, ACC/SCN Symposium Report, Nutrition Policy Paper #16, 1997. WHO, Geneva.
- Adair, L. S. & Guilkey, D. K. (1997) Age-specific determinants of stunting in Filipino children. *Journal of Nutrition* 127, 314-320.
- Alder N, Boyce, W. T., Chesney, M. A. et al. (1993) Socioeconomic differences in health: No easy solution. *Journal of the American Medical Association*, 269 (24), 3140-5.
- Alvarez-Dardet, C. (2000) Measuring inequalities in health in Africa. Journal of Epidemiology and Community Health 54(5), 321.
- Campbell, R. T. & Parker, R. N. (1983) Substantive and statistical considerations in the interpretation of multiple measures of SES. *Social Forces* 62 (2), 450-466.
- Cebu Study Team (1991) Underlying and proximate determinants of child health: The Cebu longitudinal health and nutrition survey. *American Journal of Epidemiology* **133**, 185-201.
- Cleland, J. G. & van Ginneken, J. K. (1988) Maternal education and child survival in developing countries: The search for pathways of influence. *Social Science & Medicine* 27 (12): 1357-68.
- Cortinovis, I., Vella, V. & Ndiku, J. (1993) Construction of a socio-economic index to facilitate analysis of health data in developing countries. *Social Science & Medicine* **36**(8), 1087-1097.
- De Onis, M., Frongill, E. A. & Blössner, M. (2000) Is malnutrition declining? An analysis of changes in levels of child malnutrition since 1980. *Bulletin of the World Health Organization* 78(10), 1222-1233.
- **Diez-Roux, A. V.** (2001) Investigating neighborhood and area effects on health. *American Journal of Public Health* **91**(11), 1783-1789.
- Duncan, C., Jones, K. & Moon, G. (1998) Context, composition and heterogeneity: Using multilevel models in health research. *Social Science & Medicine* 46(1), 97-117.
- **FAO** (eds) *Human Nutrition in the Developing World*. Food and Agricultural Organization of the United Nations, Rome, 1997.

- Filmer, D. & Pritchett, L. (2001) Estimating wealth effects without expenditure data or tears: An application to educational enrollments in States of India. *Demography* 38(1), 115-132.
- Fotso, J. C. & Kuate-Defo, B. Measuring socioeconomic status in health research in developing countries: Should we be focusing on household, communities or both? *Social Indicators Research*, in press.
- Glewwe, P. & van der Gaag, J. (1990) Identifying the poor in developing countries: Do different definitions matter? *World Development*, 18(6), 803-14.
- Goldstein, H. (eds). Multilevel Statistical Models. Internet edition, Edward Arnold. 1999.
- Gopalan, S. (2000). Malnutrition, causes, consequences and solutions. Nutrition 16, 556-558.
- Gwatkin, D. R., Rutstein, S., Johnson, K. et al. (2000) Socio-economic Differences in Health, Nutrition, and Population. HNP/Poverty Thematic Group, The World Bank.
- Haddad, L., Alderman, H., Appleton, S. et al. (2002) Reducing Child Undernutrition: How Far Does Income Growth Take Us? FCND Discussion Paper No. 137. International Food Policy Research Institute (IFPRI).
- Kakwani, N., Wagstaff, A. & Van Doorslaer, E. (1997) Socioeconomic inequalities in health: Measurement, computation, and statistical inference. *Journal of Econometrics* 77, 87-103.
- Kuate-Defo, B. (2001) Nutritional status, health and survival of Cameroonian children: The state of knowledge. In Kuate-Defo, B. (eds) *Nutrition and Child Health in Cameroon*. Price-Patterson Ltd, Canada Publishers, pp 3-52.
- Kuate-Defo B. & Diallo, K. (2002) Geography of child mortality clustering within African families. *Health & Place* 8(2), 93-117.
- Liberatos, P., Link B. G., & Kelsey J. L. (1988) The measurement of social class in epidemiology. *Epidemiology Review* 10, 87-121.
- Lynch, J. W. & Kaplan, G. A. (2000) Socioeconomic position. In Berkman, L. F. & Kawachi, I. (eds) *Social Epidemiology*. Oxford University Press, New York, pp. 13-35.
- Madise, N. J., Matthews, Z. & Margetts, B. (1999) Heterogeneity of child nutritional status between households: A comparison of six sub-Saharan African countries. *Population Studies* 53 (3), 331-43.

- Menon, P., Ruel, M. T., & Morris, S. S. (2000) Socio-economic differentials in child stunting are consistently larger in urban than rural areas: Analysis of 10 DHS data sets. *Food and Nutrition Bulletin* 21(3), 282-99.
- Mosley, W. H. & Chen, L. C. (1984) An analytic framework for the study of child survival in developing countries. *Population and Development Review* **10** (suppl), 25-45.
- **Oakes, J. M. & Rossi, P. H.** (2003) The measurement of SES in health research: current practice and steps toward a new approach. *Social Science & Medicine* **56**(4), 769-784.
- Pebley, A. R., Goldman, N. & Rodriguez, G. (1996) Prenatal and delivery care and childhood immunization in Guatemala: Do family and community matter? *Demography* 33(2), 231-47.
- Peña, M. & Bacallao, J. (2002) Malnutrition and poverty. Annual Review of Nutrition 22, 241-253.
- Pickett, K. E. & Pearl, M. (2001) Multilevel analyses of neighbourhood socioeconomic context and health outcomes: a critical review. *Journal of Epidemiology and Community Health* 55, 111-122.
- Rajaram, S., Sunil, T. S. & Zottarelli, L. K. (2003) An analysis of childhood malnutrition in Kerala and Goa. *Journal of Biosocial Science* 35(3), 335-351.
- Rasbash, J., Browne, W., Goldstein, H. et al. (eds) *A user's guide to MLwiN*. Center for Multilevel Modelling. Institute of Education, University of London, July 2002.
- Reed, B. A., Habicht, J. P. & Niameogo, C. (1996) The effects of maternal education on child nutritional status depend on socio-environmental conditions. *International Journal of Epidemiology* 25, 585-592.
- Ricci, J. A. & Becker, S. (1996) Risk factors for wasting and stunting among children in Metro Cebu, Philippines. *American Journal of Clinical Nutrition* 63, 966-975.
- Robert, S. (1999) Socioeconomic position and health: the independent contribution of community socioeconomic context. *Annual Review of Sociology* 25, 489-516.
- Ruel, M. T., Levin, C. E., Armar-Klemesu, M., et al. (1999) Good care practices can mitigate the negative effects of poverty and low maternal schooling on children's nutritional status: Evidence from Accra. *World Development* 27(11), 1993-2009.
- Ruel, M. T., Habicht, J. P., Pinstrup-Anderson, P. & Gröhn, Y. (1992) The mediating effect of maternal nutrition knowledge on the association between maternal schooling and child nutritional status in Lesotho. *American Journal of Epidemiology* 135, 904-914.

- Scrimshaw, N. S. & SanGiovanni, J. P. (1997) Synergism of nutrition, infection and immunity: an overview. *American Journal of Clinical Nutrition* 66, 464S-477S.
- Smith, L. C., Ruel, M. T., & Ndiaye, A. (2004) Why is Child Malnutrition Lower in Urban than Rural Areas? Evidence from 36 Developing Countries. FCND Discussion Paper No. 176. International Food Policy Research Institute (IFPRI).
- Snijders, T. A. B. & Bosker, R. J. (eds) *Multilevel Analysis: An Introduction to Basic and Advanced Multilevel Modelling*. SAGE publications, 1999.
- Subramanian, S. V., Lochner, K. A. & Kawachi, I. (2003) Neighborhood differences in social capital: a compositional artefact or a contextual construct? *Health & Place* 9(1), 33-44.
- Tharakan, C. T. & Suchindran, C. M. (1999) Determinants of child malnutrition- An intervention model for Botswana. *Nutrition Research* 19(6), 843-60.
- **UNDP** (eds) *Human Development Report 2002: Deepening Democracy in a Fragmented World.* UNDP, New York.
- **UNICEF** (eds) *Strategy for Improved Nutrition for Women and Children in Developing Countries.* New-York, UNICEF, 1990.
- Wagstaff, A., Paci, P. & Van Doorslaer, E. (1991) On the measurement of inequalities in health. *Social Science and Medicine* 33, 545-57.
- Wagstaff, A. & Watanabe, N. (2000) Socioeconomic inequalities in child malnutrition in the developing world. Policy Research Working Paper # 2434. The World Bank.
- **World Bank** (eds) *Combating Malnutrition: Time to act*. The World Bank, Human Development Network, Health, Nutrition, and Population Series, Washington, DC, 2003.
- World Bank (eds) *Poverty and Nutrition in Bolivia*. The World Bank, A World Bank Country Study, Washington, DC, 2002.
- World Bank (eds) African Development Indicators 2002. The World Bank, Washington, DC.
- Zere, E. & McIntyre, D. (2003) Inequities in under-five child malnutrition in South Africa. *International Journal for Equity in Health* **2**, 7-.