

There may be infinitely many near coherence classes  
under  $\mathfrak{u} < \mathfrak{d}$

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# Outline

# Mappings between filters

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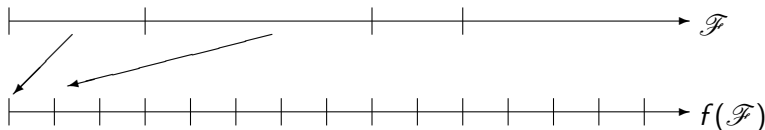
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Two filters  $\mathcal{F}$  and  $\mathcal{G}$  on  $\omega$  are nearly coherent if there is a finite-to-one function  $f: \omega \rightarrow \omega$  such that  $f(\mathcal{F}) \cup f(\mathcal{G})$  generates a proper filter.

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# Near coherence of ultrafilters

If  $f(\mathcal{U}) = f(\mathcal{V})$  and  $g(\mathcal{V}) = g(\mathcal{W})$ , then there is a slower growing finite-to-one function  $h$  such that  $h(\mathcal{U}) = h(\mathcal{W})$ .

## Fact

*The near-coherence relation is an equivalence relation on the ultrafilters on  $\omega$ .*

Its classes are called near-coherence classes of ultrafilters.

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Two filters  $\mathcal{F}$  and  $\mathcal{G}$  are nearly coherent iff there are nearly coherent ultrafilters  $\mathcal{U}$  and  $\mathcal{V}$  such that  $\mathcal{U} \supseteq \mathcal{F}$  and  $\mathcal{V} \supseteq \mathcal{G}$ . So "NCU" implies NCF.

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Theorem. Booth, Galvin, Mary-Ellen Rudin, Blass

Under CH, there are  $2^{2^\omega}$  near-coherence classes of ultrafilters.

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If there are infinitely many near-coherence classes of ultrafilters then there are  $2^{2^{\omega}}$  classes.

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A set  $\mathcal{B} \subseteq \mathcal{F}$  is called a **base for  $\mathcal{F}$**  if

$$(\forall F \in \mathcal{F})(\exists B \in \mathcal{B})(B \subseteq F).$$

A set  $\mathcal{B} \subseteq [\omega]^\omega$  is called a **pseudobase for  $\mathcal{F}$**  if

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The **ultrafilter characteristic**  $\mathfrak{u}$  is the minimal  $\chi(\mathcal{U})$  for a non-principal ultrafilter  $\mathcal{U}$ .

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# Dominating numbers, $\mathfrak{d}$

## Definition

We consider the order of eventual domination:  $f \leq^* g$  iff for all but finitely many  $n$ ,  $f(n) \leq g(n)$ .

For a filter  $\mathcal{F}$ , we define the reduced order  $f \leq_{\mathcal{F}} g$  iff  $\{n : f(n) \leq g(n)\} \in \mathcal{F}$ .

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A family  $D$  is dominating [ $\mathcal{F}$ -dominating] iff for every  $f \in {}^\omega\omega$  there is some  $g \in D$  such that  $f \leq^* g$  [ $f \leq_{\mathcal{F}} g$ ].

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# The role of $\mathfrak{u}$ and $\mathfrak{d}$

$\mathfrak{u}$  comes in as the minimal number of steps in constructing one representative of one class.

Proposition. Blass, 1987

There is a set  $D$ , a so-called test set, of size  $\mathfrak{d}$  such that any two ultrafilters  $\mathcal{U}$  and  $\mathcal{V}$  are nearly coherent, if there is some  $f \in D$  with  $f(\mathcal{U}) = f(\mathcal{V})$ .

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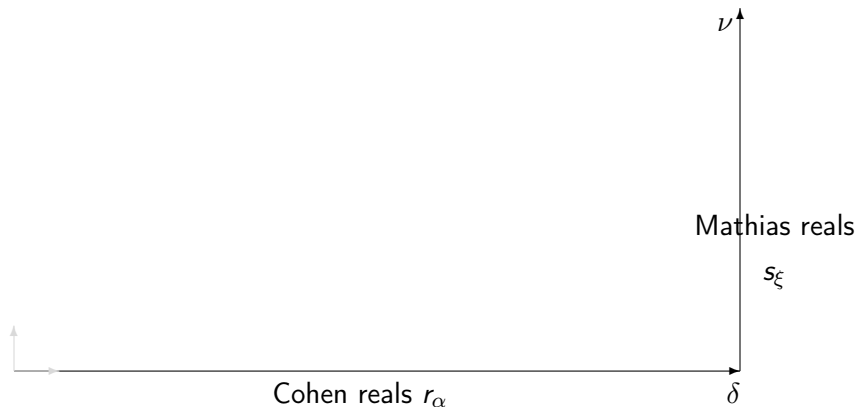
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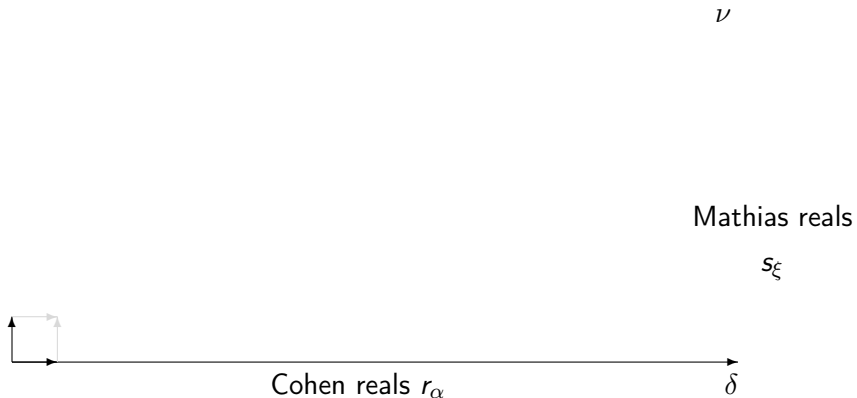
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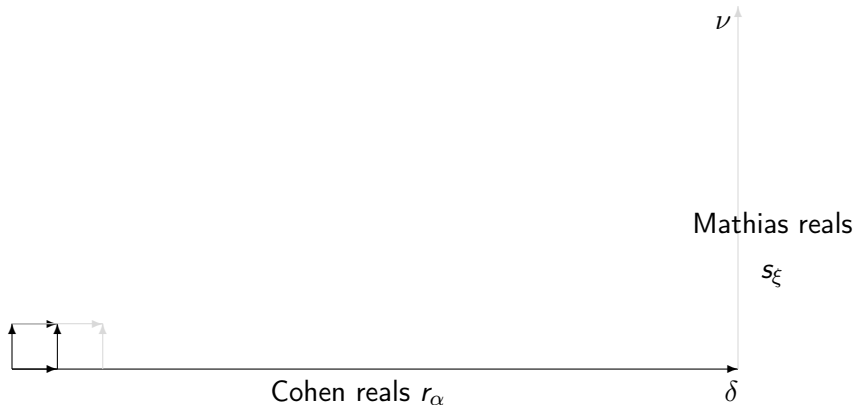
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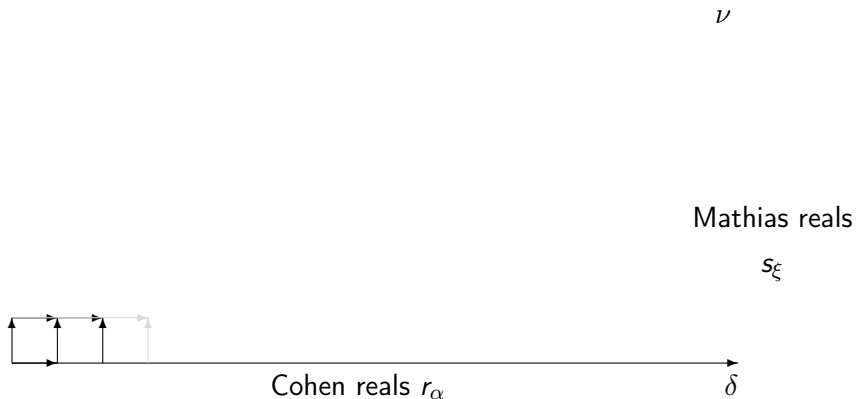
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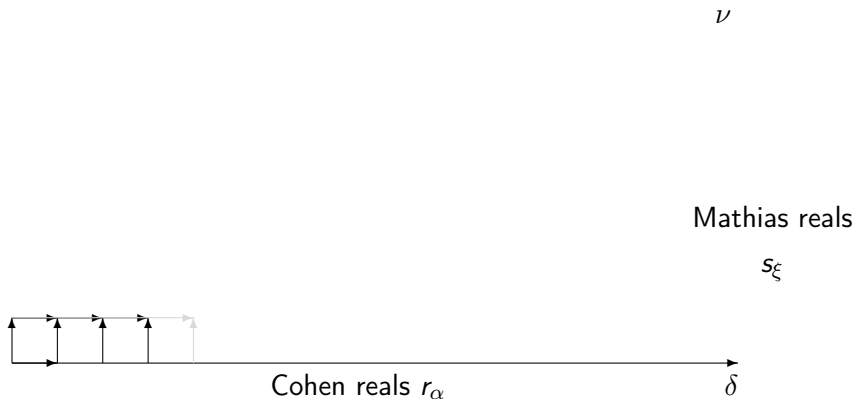


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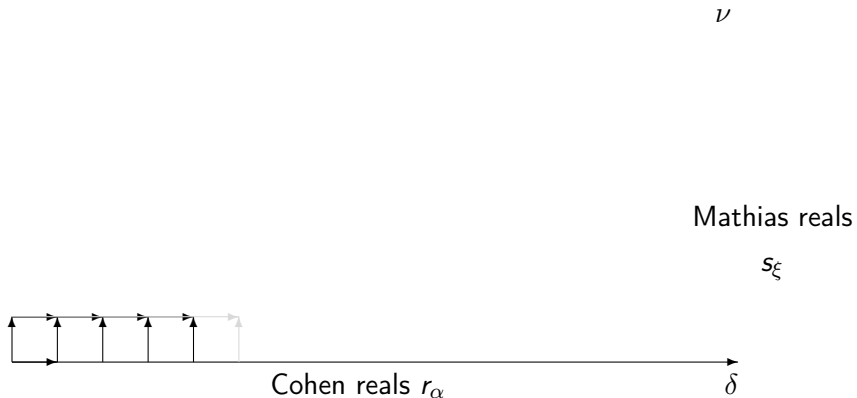




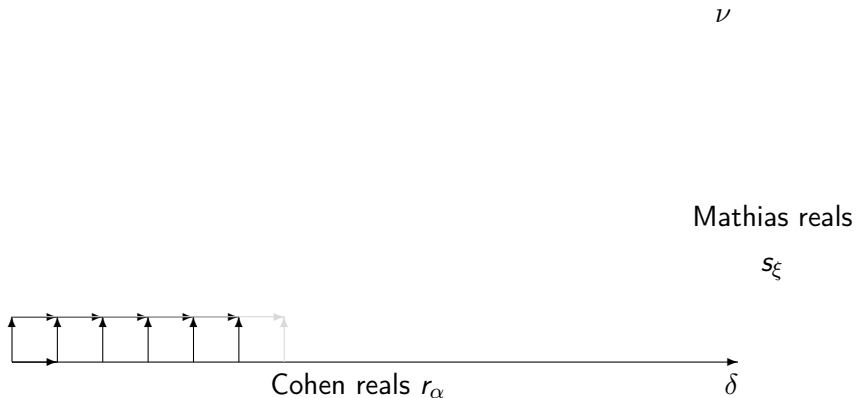
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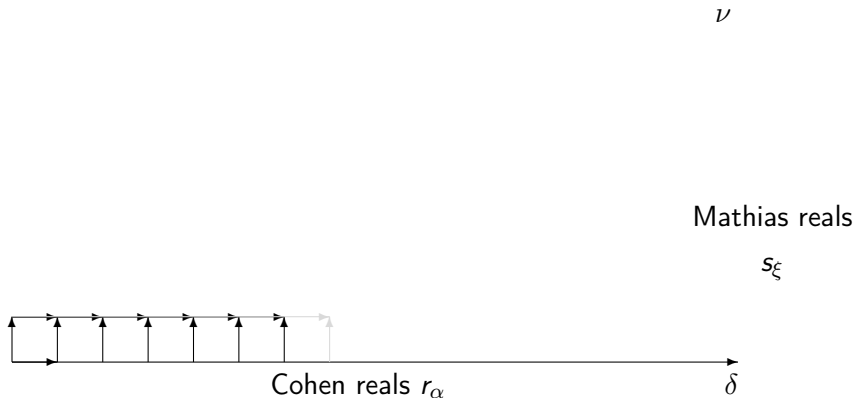
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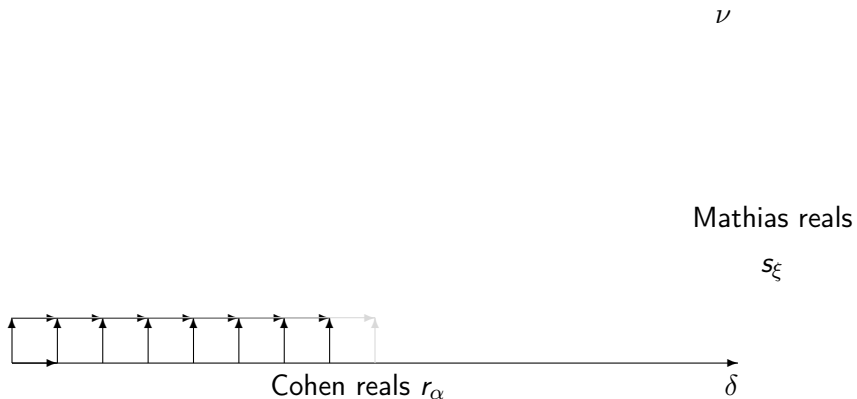
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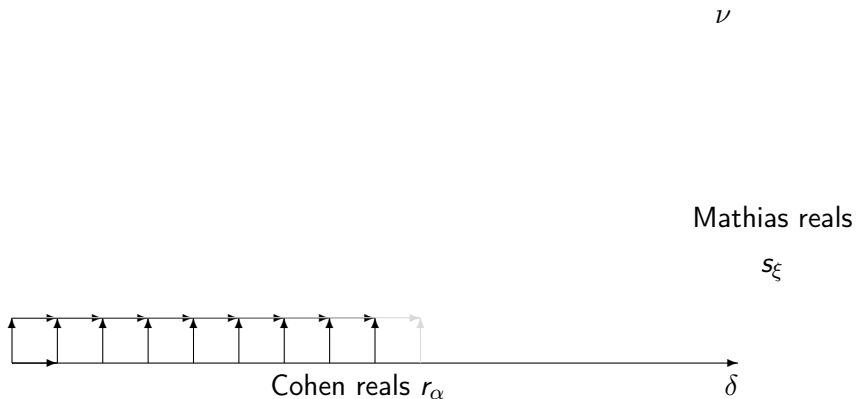
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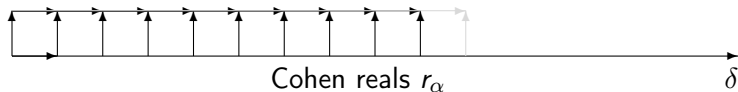


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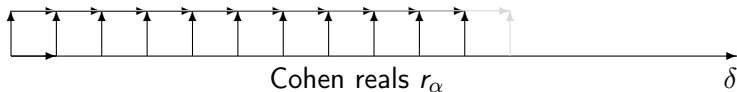


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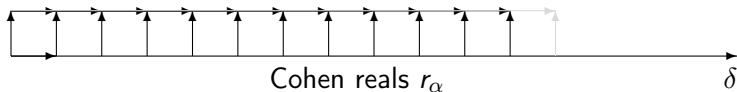


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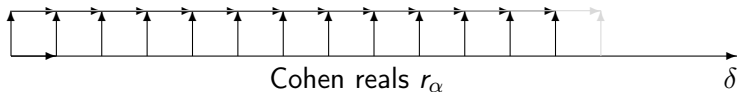


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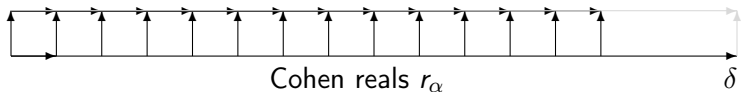


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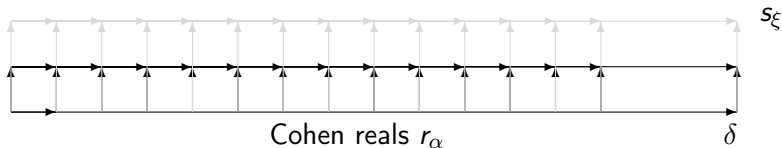
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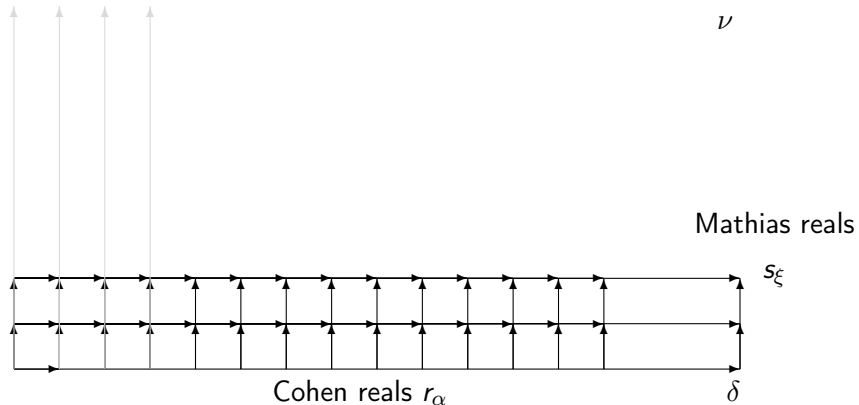
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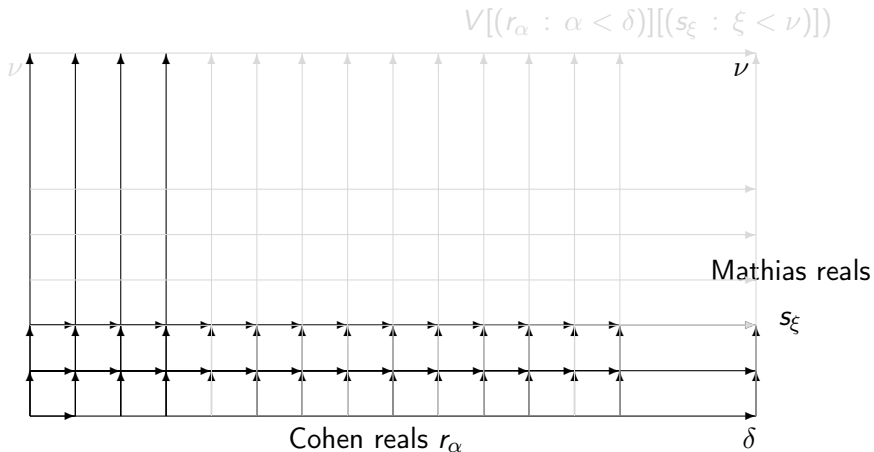


Figure 1: A sketch of  $V[(r_\alpha : \alpha < \delta)][(s_\xi : \xi < \nu)]$

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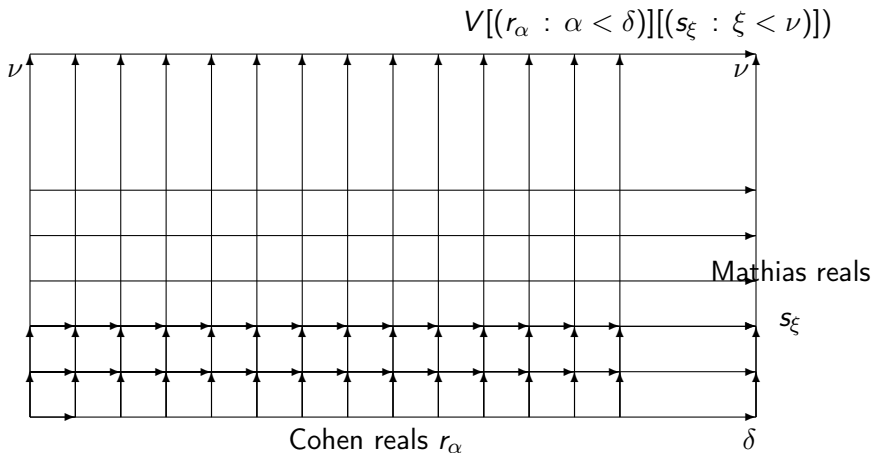


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# The forcing construction

Let  $V$  be a ground model of CH. Let  $\nu$  and  $\delta$  be regular cardinals such that  $\aleph_1 \leq \nu < \delta$ .

First  $\delta$  Cohen reals are added (or something else) in a finite support iteration, call them  $r_\alpha$ ,  $\alpha < \delta$ . Thereafter  $\nu$  Mathias reals are added by Mathias forcings  $Q(\mathcal{U}_\xi)$ ,  $\xi < \nu$ , in a finite support iteration. We call the whole forcing  $\mathbb{P}$ .



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The ultrafilters  $\mathcal{U}_\xi$  are carefully chosen (— at least  $P$ -points with no rapid ultrafilters below them in the Rudin-Keisler ordering by a result of Canjar, but not Ramsey ultrafilters as in the original Mathias forcing —) such that the Cohen reals are not bounded by fewer than  $\delta$  reals in  $V^{\mathbb{P}}$  and such that the Mathias reals  $s_\xi$ ,  $\xi < \nu$ , generate an ultrafilter in  $V^{\mathbb{P}}$ .

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# A variant of Mathias forcing

A forcing condition in  $Q(\mathcal{U}_\xi)$  is a pair  $(a, A)$ , such that  $a$  is a finite set of natural numbers and  $A \in \mathcal{U}_\xi$  and  $\max(a) < \min(A)$ .

A condition  $(b, B)$  extends  $(a, A)$  iff  $B \subseteq A$  and  $b \supseteq a$  and  $b \setminus a \subseteq A$ .

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In order to understand our proof it almost suffices to know that the forcing relation  $\Vdash$  of  $Q(\mathcal{U}_\xi)$  yields  $(a, A) \Vdash a \subseteq \underset{\sim}{s}_\xi \subseteq a \cup A$ . We use  $\underset{\sim}{s}_\xi$  for a  $Q(\mathcal{U}_\xi)$ -name of  $s_\xi$ .

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A forcing condition in  $Q(\mathcal{U}_\xi)$  is a pair  $(a, A)$ , such that  $a$  is a finite set of natural numbers and  $A \in \mathcal{U}_\xi$  and  $\max(a) < \min(A)$ .

A condition  $(b, B)$  extends  $(a, A)$  iff  $B \subseteq A$  and  $b \supseteq a$  and  $b \setminus a \subseteq A$ .

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# A rectangle of submodels

For  $\alpha \leq \delta$  and  $\xi \leq \nu$  we set

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## Definition

$\mathcal{S} \subseteq [\omega]^\omega$  is a **splitting family** iff  $(\forall X \in [\omega]^\omega)(\exists S \in \mathcal{S})(X \cap S$  and  $X \setminus S$  are both infinite). The splitting number  $\mathfrak{s}$  is the smallest size of a splitting family.

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We think of the Cohen reals as subsets of  $\omega$  and let the Cohen reals  $r_\alpha$ ,  $\alpha < \delta$ , be their strictly increasing enumerations. We set

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# Small dominating families modulo filter orderings

Aim: Find a tree of pairwise non-nearly coherent ultrafilters among the supersets of  $\mathcal{H}_0$ .

Proposition. Banach, Blass, 2005

If a filter  $\mathcal{F}$  and a ultrafilter  $\mathcal{U}$  are not nearly coherent, then  $\mathfrak{d}(\mathcal{F}) \leq \chi(\mathcal{U})$ .

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Lemma. Slight generalization of Blass, 1987

If all extensions of  $\mathcal{H}_0$  by fewer than  $t(\mathcal{H}_0)$  sets are not almost ultra, then we can construct infinitely many pairwise non-nearly coherent ultrafilters by an induction of length  $t(\mathcal{H}_0)$ .

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