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Emotion and affect in mental imagery: Do fear and anxiety manipulate mental rotation performance?

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Abstract

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Little is known about the effects of fear as a basic emotion on mental rotation performance. We expected that the emotional arousal evoked by fearful stimuli presented prior to each mental rotation trial would enhance mental rotation performance. Regarding the influence of anxiety, high anxious participants are supposed to show slower responses and higher error rates in this specific visuo-spatial ability. Furthermore, with respect to the embodied cognition viewpoint we wanted to investigate if the influence of fear on mental rotation performance is the same for egocentric and object-based transformations. To investigate this, we presented either negative or neutral images prior to each mental rotation trial. Participants were allocated to the specific emotion in a randomized order. Results show that fear enhances mental rotation performance, expressed by a higher mental rotation speed. Interestingly, this influence is dependent on the type of transformation: it is restricted to egocentric rotations. Both observation of emotional stimuli and egocentric strategies are associated with left hemisphere activation which could explain a stronger influence on this type of transformation during observation. Another possible notion is the conceptual link between visuo-spatial perspective taking and empathy based on the co-activation of parietal areas. Stronger responses in egocentric transformations could result from this specific link. Regarding the influence of anxiety, participants with high scores on the trait-anxiety scale showed poor results in both reaction time and mental rotation speed. Findings of impoverished recruitment of prefrontal attentional control in patients with high scores in trait anxiety could be the explanation for this reduced performance.

44 keywords: fear, anxiety, mental rotation

45 word count: 3.368

46 **Introduction**

47 The influence of fear and anxiety on cognition

48 According to Ekman (1992) “basic emotions” share nine characteristics which are useful to
49 distinguish emotions from other affective phenomena like moods or emotional traits and attitudes:
50 1) Distinctive universal signals (facial expressions), 2) Presence in other primates, 3) Distinctive
51 physiology, 4) Distinctive universals in antecedent events, 5) Coherence among emotional response,
52 6) Quick onset, 7) Brief duration, 8) Automatic appraisal, 9) Unbidden occurrence. According to
53 emotion theorists (Ekman, 1992; Izar, 1992) anxiety as pervasive cognitive affective state has to be
54 differentiated from fear as “basic emotion”: Anxiety represents a higher-order cognitive process that
55 depends more on the individual and the situation and is consequently more modifiable than fear.
56 Despite this distinction they both represent emotional responses to threat (Hofmann, Moscovitch, &
57 Heinrichs, 2004).

58 There is plentiful evidence that fear induced by presenting fearful stimuli affects visual
59 perception in the ventral stream. For instance, Phelps, Ling and Carasco (2006) found that fearful
60 stimuli used as prime produced greater contrast sensitivity compared to neutral faces. Furthermore,
61 regarding early visual areas such as V1 fearful pictures produces higher activation than do neutral
62 ones (Lang et al., 1998). In contrast to this, Schimmack (2005) found that emotional pictures lead to
63 attentional interference resulting in decreased performance while solving math problems or
64 detecting the location of a line. In line with the examination of the influence of fear, there have been
65 several studies to investigate the influence of anxiety on cognitive performance like central executive
66 (Eysenck, Payne, & Derakshan, 2005), inhibition function (Pacheco-Ungietti, Acosta, Callejas, &
67 Lupianez, 2010) or shifting function (Wilson, Vine, & Wood, 2009). Anxiety has been linked to poor
68 performance on memory tests such as the digit span (Paulman & Kennelly, 1984) and on more
69 complex cognitive processes such as analogical reasoning (Tohill & Holyoak, 2000).

70 By analyzing this special relationship, it raises the question whether affect and emotion also
71 have an influence on mental imagery. This study tries to answer this question by investigating the
72 influence of fear and anxiety on a certain visuo-spatial ability, specifically mental rotation (MR).

73 The influence of fear and anxiety on mental rotation performance

74 *Mental rotation: object-based vs. egocentric transformations*

75 Mental rotation involves the process of imagining how a two- or three-dimensional object
76 would look if rotated away from its original upright position (Shepard & Metzler, 1971). In the classic
77 paradigm of Cooper and Shepard (1973) two stimuli are presented simultaneously and the
78 participants have to decide as fast and accurately as possible if the right stimulus, presented under a
79 certain angle of rotation, is the same or a mirror image of the left stimulus, the so called
80 “comparison figure” which is presented in upright position. While angular disparities are varied
81 systematically, response times and accuracy rate are assessed as dependent variables.

82 In mental rotation there are two different strategies of mental transformations: object-based
83 and egocentric transformations. In object-based transformations the observer’s position remains
84 fixed and moves the object in relation to the surrounding environment. In egocentric transformation
85 tasks participants are required to change their own perspective and thus imagine rotating their own
86 body in order to make a decision (Devlin & Wilson, 2010). The use of each strategy depends on the
87 type of judgment that has to be made. In the case of an object-based transformation participants are
88 asked to perform a same-different judgment for two images presented next to each other. An
89 egocentric transformation can be evoked by the presentation of body stimuli, normally a single
90 human body raising one arm (left or right) and the subsequent decision which arm was raised, thus
91 resulting in a left-right judgment (Steggemann, Engbert, & Weigelt, 2011).

92

93

95 Little is known about the effects of fear as basic emotion on visuo-spatial processing in the
96 dorsal stream assessed by mental rotation performance. The corresponding neural system that is
97 activated when a stimulus evokes fear is the amygdala (Phelps, 2006). According to Borst (2012)
98 there are two paths which illustrate the neural processes that underlie the effect of one's emotional
99 state on mental rotation performance. 1) The amygdala processes the emotional valence of the
100 stimulus and modulates low-level perceptual processing via connections to magnocellular neurons in
101 early visual areas. These areas in turn send efferent projections to higher-level visuo-spatial
102 processes such as mental rotation (DeYoe & van Essen, 1998). The notion of the involvement of the
103 amygdala in early visual processing is supported by the findings of Vuilleumier, Richardson, Armony,
104 Driver and Dolan (2004), who demonstrated that the enhanced responses to fearful stimuli
105 compared to neutral faces were eliminated in patients with amygdala lesions. Furthermore, Borst
106 (2012) confirmed that fear improves mental rotation performance by increasing sensitivity to visual
107 information within the magnocellular pathway. 2) **The amygdala is directly connected with parietal**
108 **areas via structures such as the pulvinar and the superior colliculus** (Tamietto & de Gelder, 2010).
109 According to Zacks et al. (2008) parietal areas are considered to be the neural correlate for mental
110 rotation which leads to the assumption that the presentation of fearful stimuli elicits activity in the
111 amygdala which in turn should enhance mental rotation performance due to connections of the
112 amygdala to posterior parietal areas (Borst, Standing, & Kosslyn, 2012).

113 Regarding the influence of anxiety on mental rotation performance, Borst, Standing and
114 Kosslyn (2012) demonstrated that participants with high anxiety scores mentally rotated Shepard-
115 Metzler three-dimensional objects faster after the presentation of a fearful stimulus than after
116 seeing a neutral face. Since this effect was restricted to the high-anxiety group the authors concluded
117 that that the increase of the mental rotation speed was a consequence of the emotional arousal
118 evoked by the fearful face which is much higher in the high-anxiety group.

120 Despite the fact that the role of emotion and affect has been investigated in the context of
121 mental rotation performance, it is still an open question if the influence of fear and anxiety is the
122 same for egocentric and object-based transformations. The present article addresses this issue with
123 regard to the embodied cognition approach. The key idea of this renewed viewpoint in cognitive
124 neuroscience is that many cognitive processes that were formerly defined as purely “cognitive” are
125 also deeply rooted in body-related experiences with the environment (Wilson, 2002).

126 There is plentiful evidence that motor processes are involved in both object-based (Moreau,
127 Clerc, Mansy-Dannay, & Guerrien, 2012; Pietsch & Jansen, 2012; Wexler, Kosslyn, & Berthoz, 1998;
128 Wohlschläger & Wohlschläger, 1998) and egocentric transformations (Steggemann et al., 2011).
129 However, they differ in a crucial point, which is illustrated by the study of Lorey et al. (2009). The
130 authors compared first person perspective (1PP) to third person perspective (3PP). 1PP imagery
131 evokes kinesthetic representations and motor simulations. Here, participants are requested to
132 imagine the presented movement kinesthetically as if they were performing it. In contrast, 3PP
133 imagery involved a visual representation of an action. It was shown that the integration of
134 proprioceptive information by involving different hand positions is more relevant for 1PP imagery
135 than for 3PP imagery leading to the conclusion that 1 PP is more embodied which means that it
136 evokes motor simulation to a higher extent than 3PP imagery (Gallese, 2003, 2005). This is in line
137 with the work of Ionta, Fourkas, Fiorio and Aglioti (2007) who provided behavioral evidence that
138 egocentric transformations involve the use of a motor strategy. This conclusion is based on the
139 finding of decreased performance for biomechanically difficult unusual hands posture (hands with
140 intertwined fingers kept behind the back vs. usual posture implemented by hands on the knees). The
141 embodied nature of 1PP mental rotations is also supported by neuroanatomical evidence (Ionta,
142 Gassert, & Blanke, 2011; Ionta et al., 2011). The studies of the work group of Ionta revealed that the
143 temporo-parietal junction (TPJ) plays an important role in multi-sensory integration of body-related
144 information such as first-person perspective. Impairments of the TPJ are associated with decreased

145 performance in self-other tasks (Samson, Apperly, Kathirgamanathan, & Humphreys, 2005).
146 Interestingly, according to neuroimaging studies, egocentric transformations primary activate the
147 posterior parietal cortex, the frontal cortex and the temporo-parietal junction (Thakkar, Brugger, &
148 Park, 2009; Zacks, Rypma, Gabrieli, Tversky, Glover, 1999). Based on these findings we assumed that
149 egocentric transformations are more embodied than object-based ones because of a higher
150 activation of the motor system being the neural substrate of the body-based simulation process. The
151 conclusion that 1PP imagery can be equated with egocentric transformation is supported by
152 cognitive neuroscience literature: According to Lorey et al. (2009), 1PP imagery evoked a stronger
153 activation in motor and motor-related structures of the left hemisphere compared to the 3PP
154 condition. Whereas object-based transformations seem to be associated with right hemisphere
155 activation, egocentric transformations primarily activate areas in the left hemisphere (Thakkar,
156 Brugger, & Park, 2009).

157 What does this embodiment approach mean with regard to the influence of fear and anxiety
158 on mental rotation performance? Next to the postulation of “cognitions” being embodied, there is
159 also a strict coupling between emotion and sensory-motor integration (Gallese, 2005). For example,
160 Adolphs et al. (1999) revealed that patients with damaged sensory-motor cortices showed decreased
161 performance in rating or naming facial expressions. Furthermore, Wicker et al. (2003) found a
162 common neural basis for seeing and feeling the emotion of disgust. Hence, it is concluded that
163 perceiving an emotional stimulus and experiencing an emotion both might involve highly overlapping
164 mental processes. Gallese and Freedberg (2003) have recently applied the idea that our empathic
165 responses to everyday images might depend on the activation of mirror-neuron mechanisms. A
166 mirror neuron is a neuron that is supposed to fire both during the execution and the observation of a
167 given behavior (Gallese & Sinigaglia, 2011). Niedenthal (2007) tentatively proposes, that this also
168 holds true for emotions. Hence, mirror neurons might appear to “imitate” the behavior and emotion
169 of another person by a kind of motor simulation. Motor simulation in turn is the crucial feature of
170 egocentric transformations. Even though it is quite speculative at this point, we assume that the

171 influence of fear is more pronounced for egocentric than for object-based transformation because of
172 the higher motor simulation being the essential link between embodied emotions and cognitions.
173 Since this is a simulation-based account, this assumption is restricted to the influence of fearful
174 stimuli and does not involve the factor “anxiety” as personality trait.

175 Goal of the study

176 The present investigation differs from previous research by investigating the influence of fear
177 and anxiety on mental rotation performance with focus on the differentiation of egocentric and
178 object-based transformations. Based on the work of Borst, Standing and Kosslyn (2012) we created
179 an emotional version of the mental rotation test by presenting fearful vs. neutral stimuli previous to
180 the each mental rotation trial. Concerning stimulus material, Borst, Standing and Kosslyn (2012) used
181 Shepard-Metzler three-dimensional objects. In our study we had two object-based conditions with
182 pairs of letters and human figures and one egocentric mental rotation task where one single human
183 figure was presented. In contrast to their work, we didn’t use a Median split to define two groups
184 with higher and lower scores on the scale of the state-trait anxiety test (STAI). This inventory
185 measures two types of anxiety: “trait anxiety” which is anxiety as personality trait and “state anxiety”
186 considered to be an anxiety related to a specific situation (Spielberger, Gorsuch, Lushene, Vagg, &
187 Jacobs, 1983).

188 According to MacCallum, Zhang, Preacher and Rucker (2002) many problems occur when a
189 continuous variable is turned into a categorical one: 1) Median splits alter the original information.
190 After dichotomization, persons within one group may differ more in their scores than persons in
191 different groups. 2) Effect sizes get smaller both in correlations, ANOVA and regression which
192 represents a loss of statistical power. 3) Concerning the analyses with two independent variables, the
193 chance of finding spurious statistical significance and the overestimation of effect size is increased. 4)
194 Measurement reliability is reduced. The dichotomization of anxiety is justified by MacCallum et al.
195 (2002) only in rare situations like having clear distinct categories based on the diagnosis by a

221 at the University. All participants received either €10 for participation or credits for psychology
222 courses. None of the participants have participated before on mental rotation tests. All participants
223 gave informed consent for participation.

224 *Insert Table 1 about here*

225 Apparatus and Stimuli

226 *Cognitive Speed (ZVT; Oswald & Roth, 1987)*

227 Cognitive Speed was measured with the Number Connection Test (Zahlenverbindungstest;
228 ZVT; Oswald & Roth, 1987). In total, the test administration, including instructions and practice
229 matrices, takes about 10 minutes and consists of four sheets of paper. On each sheet, the numbers 1
230 to 90 are presented in a scrambled order in a matrix of 9 rows and 10 columns. The participants had
231 to connect the numbers as fast as possible in ascending order, and the correct connected numbers
232 were analyzed. From the obtained ZVT-scores, IQ values could be estimated. **The correlation ranged**
233 **between $r = .60$ to $.80$ (Vernon, 1993).** The internal consistency as well as 6 month test-retest
234 reliability of the ZVT is about .90 to .95. The test administration, including instructions and practice
235 matrices, takes about 20 minutes.

236 *State-Trait Anxiety Test (Spielberger, Corsuch, Lushene, Vagg, & Jacobs, 1983); German*
237 *Version (Laux, Glanzmann, Schaffner, & Spielberger, 1981).*

238 The state-trait anxiety test measures trait and state anxiety, with 20 questions concerning
239 state, and 20 questions concerning trait anxiety. Internal consistency is about .86 to .95; 2 month
240 test-retest reliability coefficients is about .65 to .75 (Spielberger et al., 1983).

241 *Mental rotation test*

242 The mental rotation task was run on a laptop with a 17" monitor located approximately 60
243 cm in front of the participant. The stimuli types were adapted from the work of Steggemann et al.

244 (2011) and already used in a study with older participants with different angular disparities (Jansen &
245 Kaltner, 2014). They consisted of three experimental types, a) frontal view of two female people with
246 either the left or the right arm extended (body figure object based: BFO), b) front and back view of
247 one female person with either the left or right arm extended (body figure egocentric: BFE), and c) the
248 letters R and F, see Figure 1. The letters were black and the human figures were wearing black
249 clothes.

250 *Insert Figure 1 about here*

251 In the letter and BFO conditions two drawings of the same kind of stimuli were presented
252 simultaneously with an angular disparity of 0°, 30°, 60°, 90°, 120°, 150° or 180°. The right stimulus
253 was obtained by the rotation of left stimulus, the so called “comparison figure”. Half of the trials
254 were pairs of identical objects and half were mirror-reversed images. We decided to use two object-
255 based conditions to control whether negative emotions could affect mental rotation performance of
256 different types of stimuli. This assumption is based on the work of Amorim, Isableu, and Jarraya
257 (2006), who provided body characteristics to 3D Shephard-Metzler (S-M) cubes to suggest a human
258 posture to trigger a body analogy process in a same-different judgment task. They showed that
259 adding body characteristics to S-M cubes increased performance compared to the S-M cubes without
260 these characteristics because this spatial embodiment improved object shape matching. In the BFE
261 condition only one figure raising the left or right arm was presented in the rotation angle mentioned
262 above. All stimuli were rotated in the picture plane.

263 Before each trial pictures from the International Affective Picture System (IAPS) were
264 presented, as illustrated in Figure 2. This picture gallery includes a large set of standardized,
265 emotionally-evocative, colored photographs that represent three categories of affective stimuli:
266 negative, neutral and positive ones. We concentrated on the comparison between negative and
267 neutral images. Therefore, the valence of the pictures (negative, neutral) served as between-subject
268 factor. Since the IAPS consisted of 193 negative and 130 neutral pictures, which is not sufficient for

269 the 336 mental rotation trials in total, we had to choose 112 images for each block resulting in three
270 repetitions of each image. Even if habituation and consequently a decrease of emotional response
271 were risked, a less amount of trials wouldn't have been arguable from the scientific viewpoint. The
272 selection of the images was randomized. However, this selection was based on the fact that level of
273 arousal and valence was comparable for both emotions. For this purpose, the IAPS provides a list
274 with scores of valence and arousal. The primes were controlled for both levels. According to Borst,
275 Standing and Kosslyn (2012) the presentation lasted for 75 ms.

276 *Insert Figure 2 about here*

277 Procedure

278 The individual test sessions lasted about 60 minutes and took place at a silent room at the
279 University. At the beginning the participant completed the demographic questionnaire, the State-
280 trait anxiety inventory and the ZVT.

281 Afterwards, the mental rotation test with standardized task instruction was conducted. In the
282 BFO and letter conditions participants had to press the left mouse button (left-click) when the two
283 stimuli were "same" and the right mouse button (right-click) when the two stimuli were "different".
284 In this case "same" means that the stimulus on the right side was identical to the comparison
285 stimulus, "different" means that the stimulus on the right side was not identical to the comparison
286 stimulus. In the BFE condition participants had to decide if the figure had the right (right mouse click)
287 or the left arm (left mouse click) outstretched (see Jansen & Kaltner, 2014).

288 According to Jansen and Kaltner (2014) three blocks with 112 trials of one transformation
289 condition were presented in randomized order. After every ten trials within each block a pause of 15
290 seconds was given before the next ten trials were administered. There were 8 practice trials before
291 each block. Each trial began with a fixation cross for 1 second. After that, the pair of stimuli appeared
292 and stayed on the screen until participants answered. Feedback was given for 500 ms after each trial:

293 For correct responses a “+” appeared in the centre of the screen and for incorrect responses a “-”
294 appeared. The next trial began after 1500ms.

295 Each participant performed 3 blocks of 112 experimental trials, resulting in 336 trials: 3
296 transformation types (BFE vs. BFO vs. letters) * 2 trial types (same vs. different/left vs. right) * 7
297 angular disparities (0°, 30°, 60°, 90°, 120°, or 150°) * 4 repetitions of each combination * 2 stimuli per
298 block (BFO: left vs. right; letters: R, F; BFE: front vs. back). In each block the order of the presentation
299 of the stimuli was randomized.

300 Statistical analysis

301 First, to exclude that the mental rotation performance is influenced by possible IQ
302 differences between gender and group an univariate analysis with the dependent measure IQ and
303 the independent variables gender and group was conducted.

304 Second, two repeated analyses of variance were conducted, with “reaction time” and
305 “accuracy rate” as dependent variables, and with “angular disparity” (0°, 30°, 60°, 90°, 120°, 150°,
306 180°), “transformation type” (BFO, letters, BFE), “emotion” (negative, neutral) as factors. The factors
307 “angular disparity” and “transformation type” were the within-subject factors. Because preliminary
308 analysis revealed no relevant effect with the factor gender only the factor “emotion” (negative vs.
309 neutral pictures) served as between subject factors. The variable “trait anxiety” was included as co-
310 variate. For reaction time only the responses for “same” trials were analyzed because angular
311 disparity is not clearly defined for mirror reversed responses (Jolicœur, Regehr, Smith, & Smith,
312 1985). For error rates the PR-score and the accuracy rate as well was calculated. The PR-score (which
313 is the abbreviation for the discrimination index according to Snodgrass and Corwin, 1988) was
314 calculated for each angular disparity (30°, 60°, 90°, 120°, 150°, 180°). It is defined as the difference
315 between the hits % (% of “same” responses for trials where “same” was the correct response) and
316 the false alarm % (% of “same” responses for trials where “same” was the incorrect response) . This
317 specific bias measure is based on the two-high threshold (2HT) model of recognition (Snodgrass &

318 Corwin, 1988) and is used in recognition (Tulving & Thomson, 1971) and decision tasks (Schoppek,
319 2011). A high PR-Score is associated with good discrimination performance, whereas low scores
320 argue for random performance (Schoppek 2001). This specific index is suggested by Woodworth
321 (1938) for reasons of correction of guessing. It is considered to prohibit guessing by always pressing
322 the same button and through chance hits because it is based on the 2 HT-model where error-variance
323 specific to guess-responses is kept minimal through providing sensitive (=“high”) thresholds (Coombs,
324 Dawes, & Tversky, 1970; see Jansen, Schmelter, Quaiser-Pohl, Neuburger, & Heil, 2013). The
325 additional analysis of the accuracy rate was conducted for a better understanding whether the tasks
326 were comparable by seeing the raw accuracies.

327 Third, a repeated analysis of variance was calculated with “mental rotation speed” as a
328 dependent variable and “transformation type” as within subject factor and “emotion” as between
329 subject factor. The variable “trait anxiety” was included as co-variate. Mental rotation speed was
330 calculated as the inverse of the slope of the regression line, calculated separately for each subject,
331 relating RT to angular disparity and was expressed as degrees per second. A higher mental rotation
332 speed means that a larger angular disparity is rotated per second. According to the traditional theory
333 of mental rotation (Heil & Rolke, 2002) claiming several stages of mental rotation, mental rotation
334 speed is interpreted as the mental rotation process itself, whereas overall reaction times include
335 stages such as perceptual preprocessing, identification of the stimulus and its orientation, judgment
336 of the parity and response selection (Heil & Rolke, 2002).

337 The significance levels of the analyses of variance results were corrected according to the
338 method of Greenhouse-Geisser to compensate for non-sphericity of the data if necessary.

339 Results

340 ZVT

341 There were neither gender differences, $F(1,84) = .38, n.s.$ nor a group effect, $F(1,84) = .008,$
342 $n.s.$ nor an interaction between both factors, $F(1,84) = 1.52, n.s.$ concerning the transformed IQ
343 values.

344 Mental rotation

345 Reaction time

346 Concerning reaction time, the analysis of variance showed three main effects for the factors
347 “transformation type”, $F(1, 85) = 10.13, p < .001,$ partial $\eta^2 = .11,$ and “angular disparity”, $F(1,85) =$
348 $54.68, p < .001,$ partial $\eta^2 = .39.$ The covariate also reached significance and could be expressed by a
349 significant correlation between “trait anxiety” and the averaged reaction time for each
350 transformation type (BFO, letters, BFE). There were two positive significant correlations: 1) between
351 “trait anxiety” and “BFO” ($r = .26, p < .05$), 2) between “trait anxiety” and “letters” ($r = .23, p < .05$).
352 Regarding the significance of the factor “transformation type”, Bonferroni corrected t-tests showed
353 that the reaction was higher for the BFO condition ($M = 996.70, SD = 21.55$) compared to the letter
354 condition, ($M = 747.29, SD = 15.57$), $t(1, 85) = 12.84, p < .001,$ and to the BFE condition, ($M = 924.70,$
355 $SD = 15.96$), $t(1, 85) = -12.61, p < .001.$ Furthermore, there was a significant difference between the
356 reaction time in the letters and BFE condition, $t(1, 85) = 3.21, p < .01.$ Regarding the main effect of
357 the factor “angular disparity”, post hoc pair-wise comparisons showed higher reaction times for each
358 consecutive angular disparity ($p = < .001$) except the one at 30° which didn’t differ from that of $0^\circ,$
359 $t(85) = -2.34, n.s..$

360 Furthermore, there were two interactions:

361 1) The “transformation type” x “angular disparity” interaction was significant, $F(1, 85) = 1.84,$
362 $p < .05,$ partial $\eta^2 = .02,$ and is illustrated in Figure 3. Whereas reaction time in the BFO condition was
363 overall increasing with angular disparity and higher for each consecutive angle ($p = < .001$), reaction
364 times in the letters condition did not differ between angular disparities of 0° and $30^\circ,$ $t(85) = -2.06,$
365 $n.s.,$ and between angular disparities of 30° and $60^\circ,$ $t(85) = -1.01, n.s..$ Increasing disparity in the BFE

366 task only led to higher response times for disparities larger than 90° ($p < .001$). All other effects did
367 not reach significance at the .05 level. Furthermore, by trend reaction time in the egocentric
368 transformation **condition** surprisingly decreased between the angular disparity of 0° and 60°. That is,
369 whereas reaction times in the object-based condition roughly increased linearly with increasing
370 disparity as expected, they showed a U-shaped pattern for the egocentric transformation condition.

371 *Insert Figure 3 about here*

372 2) The interaction between the covariate “trait anxiety” and “angular disparity” was
373 significant, expressed by a correlation between “trait anxiety” and the averaged reaction time for
374 each angular disparity. The correlation between “trait anxiety” and the angular disparities of 0°, 120°,
375 150° and 180° reached significance, (0°: $r = -.21, p < .01$; 120°: $r = -.29, p < .01$; 150°: $r = -.28, p < .01$;
376 180°: $r = -.27, p < .01$). All other effects failed to reach significance.

377 Accuracy rate

378 The analysis of the PR score showed one main effect of the factor “angular disparity” $F(1, 85)$
379 $= 8.932, p < .001$, partial $\eta^2 = .09$. Bonferroni corrected t-tests revealed that from an angular disparity
380 of 90°, there was a lower PR-score for the following angular disparity compared to the preceding one
381 (all $p < .001$). All other effects did not reach significance.

382 Regarding accuracy rate, results revealed two significant main effects of the factors
383 “transformation type”, $F(1,85) = 5.11, p < .01$, and “angular disparity”, $F(1,85) = 136.28, p < .001$.
384 According to multiple post-hoc comparisons, participants showed higher accuracy rates in the BFE
385 and the letters condition compared to that found in the BFO condition. Performance between the
386 letters and BFE condition did not differ. Regarding the main effect of the factor “angular disparity”,
387 the decrease of accuracy emerges for disparities larger than 90° ($p < .001$). All other effects did not
388 reach the .05-significance level.

389 Furthermore, the interaction between “transformation type” and “angular disparity” was
390 significant, $F(1,85) = 5.00, p < .001$. Whereas accuracy rate in both object-based conditions (BFO,

391 letters) was overall decreasing with angular disparity by trend and significant lesser for each
392 consecutive angle from an angular disparity of 90° on ($p < .001$), increasing disparity in the
393 egocentric task only led to higher error rates for disparities larger than 120°, ($p < .001$). Furthermore,
394 by trend accuracy rate in the egocentric transformation condition surprisingly increased between the
395 angular disparity of 0° and 60°, and between 90° and 120°. All other effects did not reach significance
396 at the .05 level. Together, this small-angle-advantage is more pronounced for the object-based
397 transformations than for the BFE condition, as illustrated in Figure 4.

398

399 Mental rotation speed

400 Due to negative rotation speed, two persons had to be excluded. The analysis of variance
401 showed a significant main effect of “transformation type”, $F(1, 85) = 3.91, p < .05$, partial $\eta^2 = .05$.
402 Bonferroni corrected t-tests showed that participants rotated stimuli in the BFE condition ($M =$
403 $693.77^\circ/s, SD = 61.99$) significantly faster than those in the BFO condition ($M = 348.92^\circ/s, SD =$
404 11.65), $t(1, 85) = -5.67, p < .001$, but not significantly faster than letters ($M = 581.57^\circ/s, SD = 43.97$),
405 $t(1, 85) = -1.44, n.s.$

406 Furthermore, results showed two significant two-way interactions:

407 1) The interaction between “transformation type” and “emotion” reached significance at the
408 .05 level, $F(1, 85) = 5.37, p < .001$, partial $\eta^2 = .07$. Post-hoc comparisons showed that the rotation
409 speed did not differ for participants who have either seen negative or neutral pictures before the
410 mental rotation task, both in the BFO condition, $t(1, 84) = -.18 n.s.$, and in the letters condition, $t(1,$
411 $84) = -.26 n.s.$, whereas mental rotation speed differed between both groups in the BFE condition,
412 $t(1, 84) = 2.32, p < .05$. The mental rotation speed for the BFE condition was much higher if
413 participants had seen negative pictures ($M = 838.08, SD = 791.18$) compared to neutral ones ($M =$
414 $549.46, SD = 198.02$), see Figure 4. All other effects were not significant.

415

Insert Figure 5 about here

416

2) The interaction between “transformation type” and the co-variate “trait anxiety” was

417

significant, $F(1, 85) = 4.61, p < .05$, partial $\eta^2 = .05$ expressed by a negative correlation between “trait

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anxiety” and the rotation speed of “letters” ($r = -.26, p < .05$).

419

Discussion:

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The main goal of our study was to investigate if the influence of fear on mental rotation performance

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is the same for egocentric and object-based transformations. Furthermore, we wanted to examine

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the effect of anxiety on mental rotation performance. The main results were a facilitation effect of

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fear which is restricted to egocentric transformations: participants rotated stimuli in the BFE

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condition more quickly after seeing an aversive image compared to a neutral one. Concerning the

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influence of anxiety, individuals with high scores on the trait-anxiety scale of the STAI showed both

426

partially higher reaction times and a partially slower mental rotation speed.

427

Insert Figure 4 about here

428

Effects of fear on mental rotation performance

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In line with previous findings (Borst, Standing & Kosslyn, 2012; Borst, 2012) we could

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replicate an influence of fear on mental rotation performance. Our results confirm the enhanced

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effect expressed in a higher mental rotation speed after the presentation of fearful images compared

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to neutral stimuli. However, according to our results, this effect is transformation-specific: it is

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restricted to egocentric rotations. Therefore fear seems to influence egocentric transformations to a

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higher extent than object-based ones.

435

Like mentioned above, both types of strategies differ in a crucial point: Whereas in object-

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based transformations participants are asked to mentally move/rotate the object in relation to the

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surrounding environment, in an egocentric chronometric mental rotation tasks people are required

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to change their own perspective because they have to imagine themselves rotating in order to

439 complete the task (Devlin & Wilson, 2010; Kessler & Rutherford, 2010; Zacks, Mires, Tversky, &
440 Hazeltine, 2001). Therefore we tentatively propose, that in egocentric transformations there is a
441 stronger link between the (bodily) self and the type of task compared to that emerging in object-
442 based transformations. This in turn might lead to the conclusion that the induction of an emotion like
443 fear stronger affects transformations where the own body is required compared to rotations where
444 the participant's position remains fixed and mental rotation is analogous to a manual rotation
445 (Shepard & Metzler, 1971). This notion is supported by neuroimaging findings of Wraga, Shephard,
446 Church, Inati, & Kosslyn (2005) who showed different underlying neural structures for object-based
447 versus perspective transformations: whereas in object rotation, activity in pre- and primary motor
448 areas was found which are responsible for motor-representations that reflect manipulation,
449 egocentric transformations activate areas that are involved in actual bodily movements (Zacks &
450 Michelon, 2005).

451 Another possible explanation for the enhanced effect of fearful stimuli on egocentric
452 transformation is based on the idea that fearful primes may prepare the body to react. We
453 tentatively propose that this motor pre-activation has a stronger impact on the BFE-condition which
454 is suggested to be embodied to a higher extent than the object-based conditions (letters, BFO). The
455 notion, that the processing of emotional stimuli activates bodily reactions has already been pointed
456 out by Darwin (1955), in the sense of "fight or flight". Interestingly, Oosterwijk, Topper, Rotteveel, &
457 Fischer (2010) revealed, that even fear knowledge in the sense of no subjective fear experience
458 elicits embodied reactions. Fear concept activation was induced by the following task: Participants
459 had to unscramble neutral or fear sentences followed by the presentation of fear images. Fear
460 activation led to increased electrodermal activity while viewing fearful pictures compared to the
461 neutral condition. Furthermore, next to fear induced changes in the peripheral nervous system,
462 Ehrsson, Weich, Weiskopf, Dolan, & Passingham (2007) revealed that premotor areas are activated
463 under threat. Regarding the involvement of motor processes on object-based and egocentric
464 transformations there is plentiful evidence arguing for egocentric rotations to be more embodied

465 compared to object-based ones. For example, Kessler and Thomson (2010) demonstrated a robust
466 effect of the congruence between body posture and direction of egocentric rotation that is,
467 participants responded faster when their body posture was matching with the implied rotation
468 direction. This finding has led to the conclusion that mental object rotation is either not embodied or
469 very differently embodied because in this condition this congruence effect was less pronounced.
470 However, this kind of body feedback is focused on static movement. Given the situation, that the
471 body is prepared to react in the sense of “approach or avoidance” after having seen a fearful
472 stimulus, further embodiment research should be taken into account which concentrates on changes
473 in the form or the direction of the movement. This was the main goal of Cacioppo, Priester, &
474 Bernston (1993) who created an avoidance-condition where participants had to put pressure on a
475 table away from the own body vs. an approach-condition that induced pressure toward the body
476 from below a table. The attitude toward Chinese ideographs being rated as “neutral” before served
477 as dependent variable. Results showed that the approach-movement produced more positive
478 attitudes compared to the avoidance-condition. However, applied to the present study, even if no
479 real movement takes place, but rather a pre-activation which means that motor simulation is primed
480 in a certain manner, we nevertheless tend to conclude that motor pre-activation through fearful
481 stimuli has a stronger impact on the BFE condition where a higher involvement of motor simulation is
482 supposed. The influence of this specific kind of motor-priming against the background of the
483 embodied cognition approach represents an interesting topic for future research and should deserve
484 enhanced attention.

485 Another attempt to explain the egocentric-specific influence of fear could involve the meaning of
486 the working memory. We tentatively propose that fear impairs functions of the working memory
487 which affects object-based rotations to a higher extent. This assumption stems from the fact that in
488 egocentric transformations the visual buffer being the neuronal substrate for both imaginal and
489 perceptual visuospatial transformations is not that highly loaded because there is no image-
490 interference in left-right judgments tasks (Zacks et al., 2001). The widespread definition of working

491 memory according (WM) to Baddeley und Hitch (1974) refers to the ability to maintain task-relevant
492 information in a system while simultaneously performing a cognitive task. The involvement of the
493 working memory in mental rotation performance relies on the following process: Subsequent to the
494 actual mental rotation the imagined stimulus must be aligned with the comparison stimulus.
495 Therefore, the information of this specific sub-process must be maintained to enable access to
496 information during the next stage. The involvement of the visuo-spatial sketchpad, a subsystem of
497 the WM, in mental rotation is provided by Lehmann, Quaiser-Pohl and Jansen (2014). The researcher
498 revealed a positive correlation between spatial-working memory capacity measured by the Corsi
499 block tapping task and mental rotation performance. Interestingly, whereas no variance was
500 explained by motor performance, 55.5% of the variance was explained by the predictors digit span
501 forward and Corsi forward according to their results. The notion, that processes of encoding and
502 comparing represent functions of the working memory (WM), is supported by the work of Booth et
503 al. (2000) who demonstrated that mental rotated stimuli are temporally stored in WM. We
504 tentatively propose, that the presentation of fearful stimuli distracts awareness and therefore
505 capacity available for processing. In support of this notion, there is empirical evidence that increased
506 emotionality, and especially stress, impairs working memory (Diamond & Park, 2000; Kim &
507 Diamond, 2002). Applied to object-based and egocentric transformations of the present study,
508 object-based rotations seem to be affected to a higher extent because WM is assumed to be involved
509 stronger compared to perspective transformations, as mentioned above. In line with this mental
510 rotation speed should be specifically slowed in the object-based conditions (BFO, letters). This idea is
511 supported by our results. Higher mental rotation speed restricted to the egocentric condition could
512 therefore be interpreted as advantage due to a lesser working memory influence in this type of
513 transformation. Although very speculative, the meaning of the working memory in mental rotation
514 processes and its functions under fear should be investigated in more detail.

515 A further approach for this finding could be attributed to a conceptual link between visuo-
516 spatial perspective taking and perspective-taking in the abstract sense, specifically empathy.

517 Although purely speculative, it could be concluded that the presentation of aversive stimuli elicits a
518 stronger activation of areas which are the neural correlate for egocentric transformations and
519 therefore represent the social construct empathy in an abstract sense compared to those being
520 activated during object-based transformations. In perspective transformations subjects are required
521 to transform themselves into the body of another person. There is evidence that this kind of self-
522 other equivalence is a basic condition for empathy (Gallese, 2003). Allport's definition of empathy
523 like "putting oneself in the place of another" (1937, p. 530) underlines the proposed link between
524 these two components and is theoretically supported by the framework of embodied cognition,
525 mentioned in the Introduction.

526 Based on the finding of the activation of the parietal cortex during both visuo-spatial
527 processes and empathy (Preston & de Waal, 2002), Thakkar, Brugger and Park (2009) investigated
528 this relationship by exploring the correlation between a self-other transformation task and self-
529 reported empathic concern. They used a task of spatial attention as well to assess the hemispheric
530 dominance. They found positive correlations between rightward biases and self-reported empathy
531 which suggests a left hemisphere lateralization of this personality trait. Since egocentric
532 transformations lead to increased activation of the left hemisphere as well, this parallelism of
533 lateralization of egocentric transformations and empathy seems to support our notion of the link
534 between these two components. By using the Interpersonal Reactivity Index four subscales of self-
535 reported empathy were assessed: Perspective-Taking (PT), Fantasy (FS), Empathic Concern (EC) and
536 Personal Distress (PD). The PT subscale measures the ability to adopt the psychological viewpoint of
537 others and the FS scale assesses the tendency to put oneself in the feelings of fictitious characters.
538 Empathic concern corresponds to "other-oriented" feelings of sympathy and concern for others in
539 unfortunate situations. Personal Distress assesses "self-oriented" feelings of personal anxiety and the
540 discomfort in tense interpersonal situations (Davis, 1980). Furthermore, it is associated with
541 susceptibility to emotion contagion (Doherty, 1997).

542 In contrast to their expectation, Thakkar, Brugger and Park (2009) found that speed of visuo-
543 spatial self-other transformations correlated with decreased empathic concern in women. It was
544 assumed that the good performance derived from the high level of testosterone in the female
545 participants which is related to both better spatial abilities (Broverman, Vogel, Klaiber, Majcher,
546 Shea, & Paul, 1981) and decreased empathy (Chapman, Baron-Cohen, Auyeung, Knickmeyer, Taylor,
547 & Hackett, 2006). However, women with increased scores of PD showed faster self-other
548 transformations. Less time needed for this kind of transformation was attributed to a less distinct
549 representation of self and other which is reflected in a high tendency to emotion contagion where
550 the affective state of another person is adopted in a way that it can't be differentiated from the own
551 feeling anymore (Thakkar, Grugger, & Park, 2009). This leads to the assumption that the supposed
552 relationship between self-other transformation in mental rotation and empathy has to be
553 interpreted with respect to specific subscales. It could be an interesting issue for future research to
554 combine the design of Thakkar, Brugger and Park (2009) with our study by investigating the
555 relationship between empathy and visuo-spatial transformations with regard to the influence of fear
556 on mental rotation performance.

557 *Effects of anxiety on mental rotation performance*

558 The positive correlation between "trait anxiety" and "reaction times" of letter and BFO
559 condition and "mental rotation speed" of letters in our study suggests that higher scores in the trait
560 anxiety-scale of the STAI are associated with higher reaction times of these conditions. These results
561 are contradictory to the finding of previous research showing a facilitation effect of emotion:
562 participants with high state-anxiety rotated objects more quickly after the presentation of fearful
563 faces compared to neutral ones (Borst, Standing, & Kosslyn, 2012). However, there are two reasons
564 that complicate a direct comparison: 1) Whereas Borst, Standing and Kosslyn (2012) used the state-
565 anxiety scale, we decided to assess the trait-anxiety scale because we wanted to emphasize the
566 influence of anxiety as personality trait on mental rotation performance. 2) We didn't use the

567 Median split to contrast high vs. low- anxiety group because of statistical limitations. Therefore,
568 analyses with “anxiety” as factor weren’t conducted.

569 The findings of our study show that high anxiety scores interfere with mental rotation
570 performance which is in line with the negative influence of anxiety found in previous literature (cf.
571 Paulman & Kennelly, 1984; Tohill & Holyoak, 2000). According to Bishop, Duncan, Brett and Lawrence
572 (2004) anxiety is associated with increased distractibility, poor concentration and heightened
573 responsivity to threat. Furthermore, anxious individuals show less attentional control over threat-
574 related stimuli which results in a strong allocation of attention. This increased attentional capture by
575 threat-related stimuli is attributed to the hyper-responsive pre-attentive threat-detection system
576 centered on the amygdala (Mathews, Mackintosh, & Fulcher, 1997). In more recent research, this
577 assumption has been modified by integrating the influence of prefrontal cortical mechanisms
578 (Bishop, Duncan, Brett, & Lawrence, 2004). Bishop (2009) revealed that trait anxiety is associated
579 with reduced recruitment of prefrontal attentional control even in the absence of threat-related
580 stimuli which were avoided in this task.

581 According to Karadi, Kállai and Kovacs (2001) focused attention is one of several sub-
582 processes playing an important role in mental rotation performance. The traditional theory of mental
583 rotation differentiates five independent information-processing stages of mental rotation (Shepard &
584 Cooper, 1982). These are: 1) perceptual preprocessing, 2) identification/discrimination of the
585 character and identification of its orientation, 3) mental rotation, 4) judgment of the parity, and 5)
586 response selection and execution (Heil & Rolke, 2002). Mental rotation itself requires the participant
587 to imagine rotating letters to the upright position (Cooper & Shepard, 1973). This stage involves
588 active manipulation of visual representation which is presumably more a controlled process of
589 voluntary attention than an automatic one. This may lead to the conclusion that reduced attentional
590 control in participants with high anxiety scores may explain their impaired mental rotation

591 performance. However, it still remains unclear if this kind of attention-deficit in high-anxious
592 individuals plays a role in the attentional process involved in mental rotation.

593 Interestingly, with respect to the simulation-based account the influence of anxiety is restricted to
594 the two object-based conditions (letters, BFO) where no motor simulation was required. This is in
595 line with the specific effect of fearful stimuli being restricted to the egocentric transformation. Both
596 results could provide further evidence for the importance of motor simulation in the assumed link
597 between embodied cognitions and emotions.

598 *Limitations*

599 The investigation of the influence of fear by presenting aversive images is widespread.
600 However, it still remains unclear to which extent this type of stimulus material elicits emotion.
601 Furthermore, it raises the question which kind of emotion is triggered, whether it is rather disgust
602 than fear. Even if the images were standardized regarding valence and arousal, the extent of the
603 emotional response stays individually. This could be controlled by measuring the physiological
604 response, specifically skin conductance response. However, this measurement still makes no
605 statement about the quality of emotion. Conducting self-reported measurements could clarify the
606 emotional state, but they can only be assessed after the mental rotation task which may be a too
607 long period after the presentation of the aversive stimuli.

608 Thakkar, Brugger and Park (2009) found that speed of visuo-spatial self-other
609 transformations correlated with decreased empathic concern in women which contradicted their
610 expectations. Gender differences weren't analyzed in our study, but could be very interesting
611 especially with regard to the effects of fearful stimuli on mental rotation. It could be assumed that
612 women score higher on the empathic inventory than men and therefore show increased emotional
613 responses which lead to enhanced mental rotation performance, specifically concerning egocentric
614 transformations.

615 Furthermore, the direct comparison between egocentric and object-based transformations
616 should be reconsidered in view of the fact that these types of transformations differ in some aspects:
617 visual stimulation (2 stimuli vs. 1 stimulus, cf. Zacks, Ollinger, Sheridan, & Tversky, 2002), type of
618 judgment (same-different vs. left-right, cf. Steggemann et al., 2011) and instruction (Borst, Kievit,
619 Thompson, & Kosslyn, 2011). Regarding the latter factor, an additional control by asking the
620 participants how much they felt able to follow the two different instructions would have given more
621 information about the strategy they used in the end. This as well as all the other confounding factors
622 mentioned above should be taken into account for future research.

623 Taken together, the explanation approaches mentioned above based on neuronal correlates
624 still remain quite speculative at this point since no brain activity was measured in the present study.
625 Further behavioral and neuroanatomical research is needed to clarify this specific link between
626 emotion and cognition.

627 *Conclusion*

628 Empathy seems to be associated with egocentric transformations based on the co-activation
629 of parietal areas during visuo-spatial processes and this social construct (Preston & de Waal, 2002).
630 We hypothesized that aversive stimuli would enhance reactions in participants with high scores in an
631 empathic inventory and therefore lead to a facilitation effect of fearful stimuli on mental rotation
632 performance. Because of the link between empathy and egocentric transformations stronger effects
633 compared to object-based transformations are expected. The assessment of this social construct
634 would clarify this assumption which wasn't made in our study. Since certain scales of the
635 Interpersonal Reactivity Index like Personal Distress are more associated with fearfulness than other
636 scales (Davis, 1983), this finding must be taken into account for future interpretations. Comparing
637 egocentric and object-based transformations in clinical samples like psychopaths who lack empathy
638 represents an interesting focus for future research.

639 To complete the emotional version of the mental rotation task, the influence of positive
640 images could be investigated in future. If the poor performance of anxious individuals is really
641 stemming from an attentional deficit caused by threat-related stimuli, the adding of positive stimuli
642 may clarify this assumption.

643 The relationship between emotion and mental rotation seems to be very close. The findings
644 of our study suggest that there is a facilitation effect of fear which is restricted to egocentric
645 transformations. To what extent empathy as social construct plays a role still remains unclear and
646 demands a lot of future research. In contrast to Borst, Standing and Kosslyn (2012) individuals with
647 high anxiety scores show impaired mental rotation performance after the presentation of fearful
648 stimuli. Further research is needed to clarify which role do attentional impairments play, and more
649 specifically: to what extent and which kind of attention is required in the five independent
650 information-processing stages of mental rotation mentioned above. Mental rotation seems to be an
651 adequate paradigm to investigate the importance of both empathy and attention in the relationship
652 between fear, anxiety and visuo-spatial processing.

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884 Table 1:
 885 Population description (Mean and SD)
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Emotion	negative Mean (SD)	neutral Mean (SD)	T	p-Value
Age	22.56 (4.32)	22.05 (2.18)	.693	.490
IQ	114.74 (13.35)	115.49 (13.20)	.231	.796
STAI	35.86 (8.72)	36.67 (7.62)	-.461	.646

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907 Figure legends

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909 Figure 1: Examples of the three different conditions, a) body figures object based (BFO), b) letters,
910 and c) body figures egocentric, (BFE)

911 Figure 2: Procedure including blocks of three conditions (BFO, letters, BFE) with a negative picture
912 (negative emotion group) before each trial

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914 Figure 3: Reaction time dependent on transformation type and angular disparity.

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916 Figure 4: Accuracy rate dependent on transformation type and angular disparity.

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918 Figure 5: Mental rotation speed dependent on transformation type and emotion.

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Figure 1:

a)



b)



c)



Figure 3.JPEG

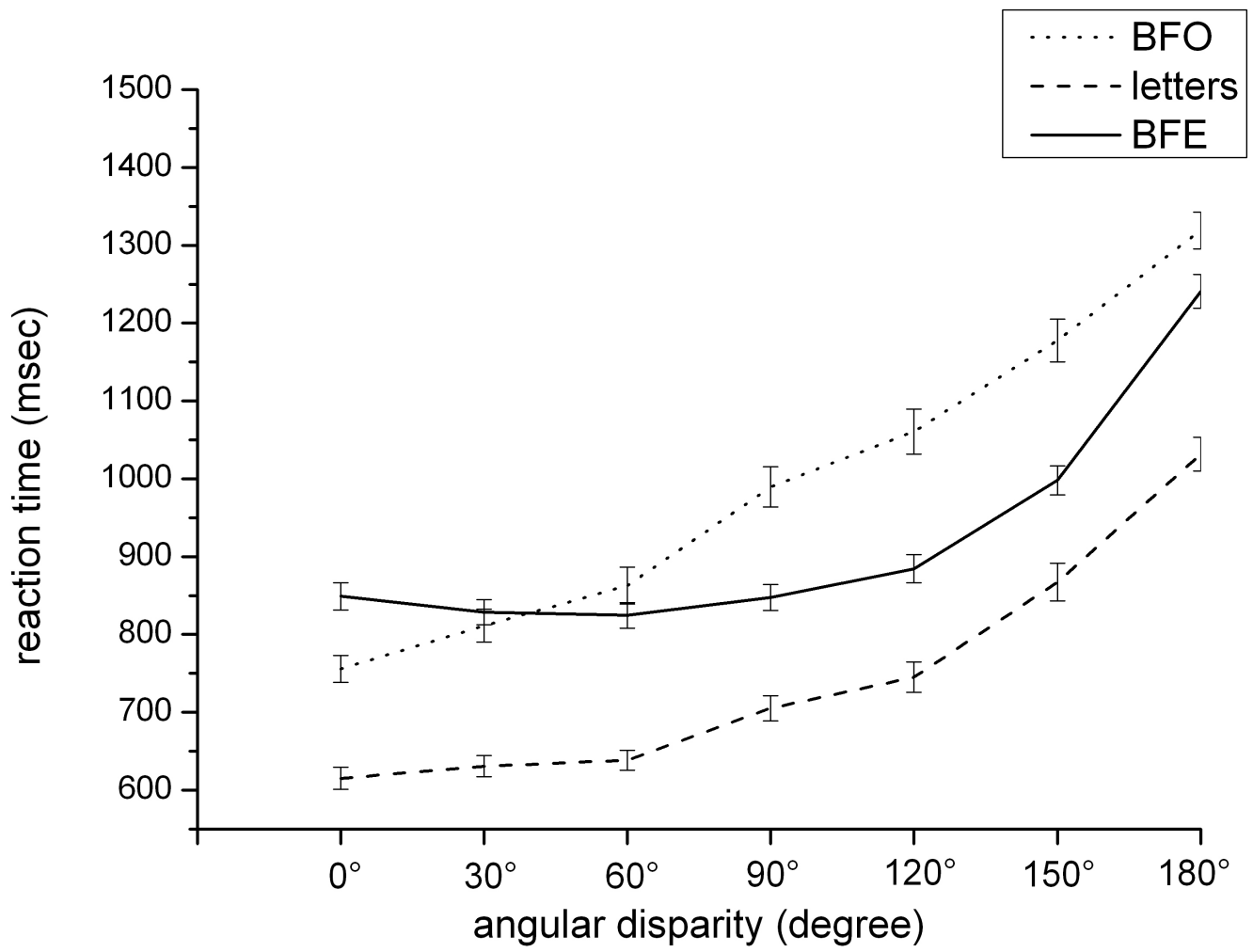


Figure 4.JPEG

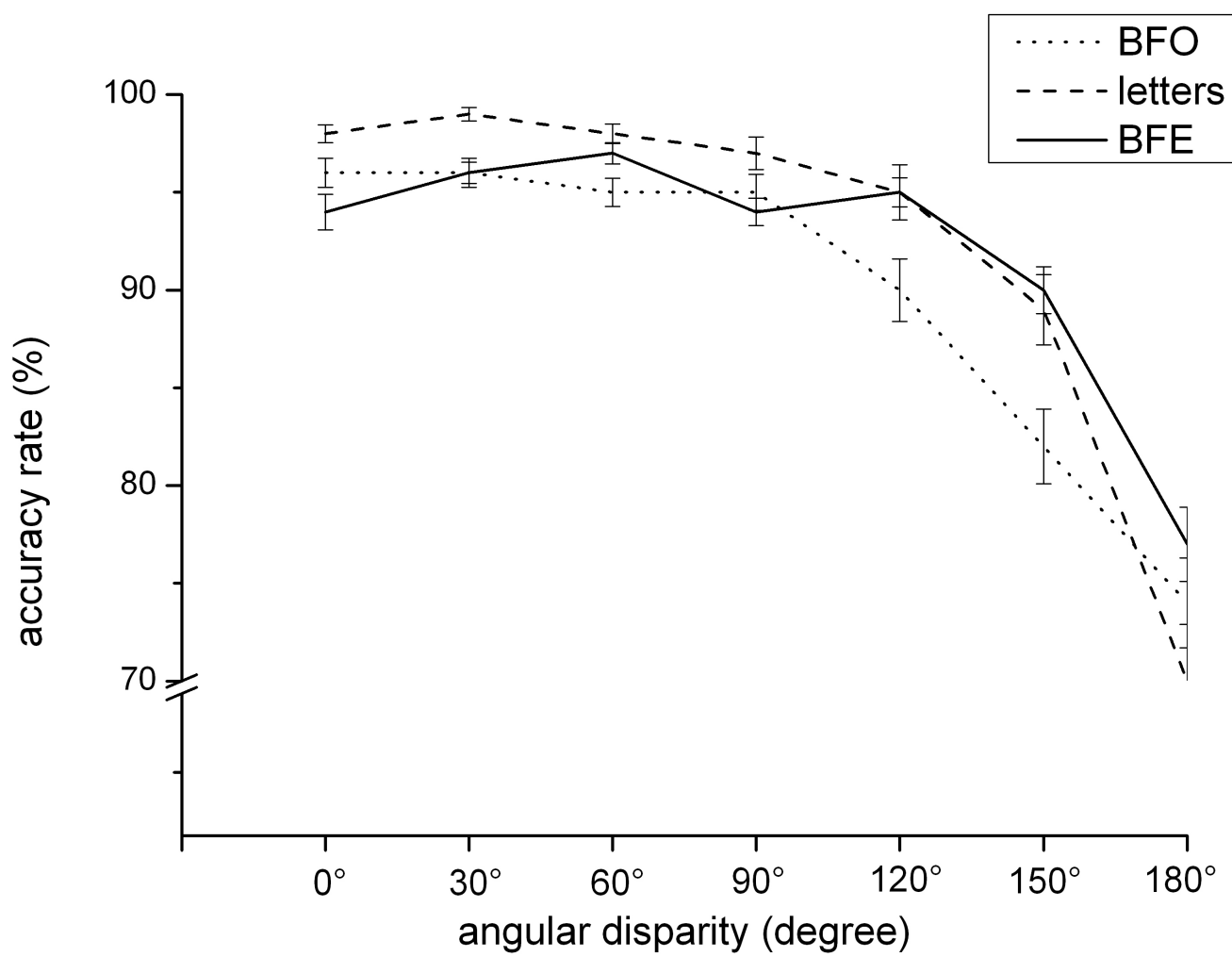


Figure 5.JPEG

