Pragmatism, Ontology and Philosophy of the Social Sciences in Practice

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Abstract

In this paper, I will discuss two prominent views on the relevance and irrelevance of ontological investigations for the social sciences respectively, namely ontological foundationalism and antiontological pragmatism. I will argue that both views are unsatisfactory. The subsequent part of the paper will introduce an alternative role for ontological projects in the philosophy of the social sciences that fares better in this respect by paying attention to the ontological assumptions of actual social scientific theories, models and related explanatory practices. I will illustrate and support this alternative through discussion of three concrete cases.

Keywords

Social ontology, explanation, philosophy of science in practice, pragmatism, ontological foundationalism

Introduction 1

This paper¹ aims to contribute to the debate revolving around the relevance of ontological projects in the philosophy of the social sciences (POSS). More precisely, the paper is an attempt to respond to those neo-pragmatist philosophers who contest the usefulness of ontological investigations for the social sciences tout court and, hence, propose that we should stop pursuing ontological projects in POSS in favour of epistemological and methodological investigations (Kivinen and Piiroinen 2006, 2007; Van Bouwel and Weber 2008; Tsilipakos 2012).² My goal here is to defend the view that ontological investigations of a certain kind can indeed be relevant for the social sciences.

Note, that a concern for the relevance of ontological projects in POSS for the social sciences cannot be taken for granted. The reason for this is that there are several different aims an ontologically-minded philosopher of the social sciences (or any of the special sciences) might have. Some philosophers of the social sciences might primarily be concerned with developing their own theory of social reality. As part of such an enterprise they might be interested in the social sciences only to serve as contrast or springboard for developing an independent social theory that is supposed to make sense of social reality. Other philosophers might be aiming at naturalizing metaphysics, i.e. pursuing some kind of scientifically informed metaphysics that attempts to paint an accurate overall picture of the world - in our case the social world - that is compatible with, constrained by or based on the current state of the art of our best social sciences. This seems to be a sensible project in the philosophy of the natural sciences (Ladyman et al. 2007) and it would be a legitimate - though, at the current state of the social sciences, hardly achievable - project in the philosophy of the social sciences. Neither of these two projects is *meant*, at least not in a straightforward sense, to be relevant for the social sciences in the first place. Projects such as these, therefore, do not fall within the

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There are other neo-pragmatist philosophies of the social sciences, which I do not address in this paper, e.g. Baert 2005.

scope of this paper.³ My aim is rather to develop some meta-ontological thoughts, which focus on ontological investigations in POSS that are indeed *meant* to be useful for the social sciences. I attempt to flesh out a position that is, I believe, latent in many naturalist approaches to the philosophy of the social sciences and that implies a reorientation of ontological projects in POSS. In a nutshell, I want to show that the practice turn in philosophy of science would also be fruitful for doing ontology in the philosophy of the social sciences.

The structure of this paper is as follows. After some clarifying remarks on my terminology (part 2), I will discuss two prominent views on the relevance and irrelevance of ontological investigations for the social sciences respectively, namely ontological foundationalism and anti-ontological pragmatism (part 3). I will argue that both views are unsatisfactory. The subsequent part (4) of the paper will introduce an alternative role for ontological projects in POSS that fares better in this respect by paying attention to the ontological assumptions of actual social scientific theories, models and related explanatory practices. I will illustrate and support this alternative through discussion of three concrete cases. In the conclusion (part 5) I will wrap up the main points of my discussion.

2 Terminological Clarifications

Before I begin with the discussion, there are two clarifying remarks about my terminology in order. The first remark concerns my use of the term 'social sciences'. For the purpose of this paper, I primarily want to subsume sociology, cultural anthropology and political science under this label. My intention here is not to say that economics is not really a social science (I think it is). However, there are significant differences between economics and the other social sciences, which necessitate this separation. Here are three main differences. (1) Economics

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³ This limitation of the paper should not be read as a dismissal of philosophies of the social sciences that do not aim at being relevant or useful for the social sciences in a direct sense. In fact, I do not believe that the legitimacy of any philosophy of a special science is determined by its relevance for the respective special science. It seems to be a perfectly reasonable project of any philosophy of the special sciences to try to understand special science S and make sense of the picture of the world S provides from a philosophical point of view – without the motivation to criticise or advance S. The critique and advancement of a special science, though an important enterprise, is just one of the reasonable aims a philosopher of a special science can have.

has a leading, although not completely uncontested (see Davis 2006), paradigm⁴, namely the neoclassical synthesis while the other social sciences are extremely multi-paradigmatic. (2) Economics features a higher degree of formalization and mathematization than the other social sciences (Debreu 1991). (3) Large parts of economics place a huge role on predictions of social - especially economic - events and processes. Friedman (2008[1953], 148) even called it the ultimate goal of economics as a positive science (see, however, Reiss 2013: 8ff for a broader perspective). The other social sciences, in contrast, are far less interested in predictions. They aim to a greater degree at explaining and thereby understanding social phenomena. These and related aspects also seem to be the reason for the existence of a distinctive disciplinary identity in economics and the existence of a separate sub-philosophy of economics with its own journals (e.g. Economics & Philosophy), discourses (Hausman 2008) and post-graduate degrees.

The second remark concerns my use of the phrase 'ontological investigations' (I will also use the terms 'ontological reasoning' or 'doing ontology', which I take to be synonyms in this context). What do I mean by these terms in the context of the social sciences? I thereby mean thinking or reflecting about questions such as these:

- What is the ultimate furniture of the social world?
- What are the general properties of social phenomena such as organisations or religions? (e.g. causal efficacy)
- What is their mode of existence? (e.g. are they real and autonomous in some sense; real and ontologically dependent in some sense; mere fictions?)
- How are facts about 'the social' (e.g. universities) and 'the individual' (e.g. individual actions) related?

⁴ I use the term 'paradigm' not in Kuhn's but in a broader sense, roughly meaning 'explanatory framework' (e.g. Analytical Marxism, Practice Theory), since many paradigms in the social sciences do not seem to exhibit one of the key features of Kuhnian paradigms, namely to be exemplary solutions to prominent research problems (Hoyningen-Huene and Lohse 2015, 136).

- How is social reality, in general, related to other aspects of reality?
- Are there layers or levels of social reality say micro-, meso- and macro-level and, if so, how are they related?

In short, I subsume both investigations concerned with question of what there is ('furniture questions') in the social world and projects aiming at the nature and relations of the things there are under this label. I take it that this broad understanding of ontology is fairly uncontroversial within POSS (see Epstein 2015a). My aim in this paper, however, is not to answer any of those questions but to look at two opposed assessments of the relevance of these questions for the social sciences and to present an argument that may further a reorientation, i.e. a more fruitful way of engaging with questions like these in POSS. In the course of this, I will frequently refer to the individualism/holism disputes for illustrative purposes as they are central to many debates in social ontology (see Zahle and Collin 2014).

3 Two Prominent Views

There are two prominent views on the relevance of doing ontology in contemporary POSS. First, there are those who think that ontological investigations play a central role for the social sciences as they are the foundation for the explanation of social phenomena, social regularities and the effects that social phenomena have on individual behaviour. I call this view *ontological foundationalism*. Advocates of ontological foundationalism often argue that ontological issues in the social sciences have not received enough attention in the past and that, therefore, we need more serious thinking about social ontology to arrive at a solid foundation for the social sciences. The second camp thinks that ontological investigations are irrelevant or fruitless for explanations in the social sciences: I call this view *anti-ontological pragmatism*. Advocates of anti-ontological pragmatism typically argue that ontological issues in the social sciences have received too much attention in the past and that we need less (or no) thinking about social ontology in philosophy of the social sciences. Instead, philosophers of the social sciences should focus their efforts more exclusively on epistemological and methodological work.

Ontological Foundationalism: "Ontology first!" 3.1

Who endorses ontological foundationalism? Naturally, some philosophers in social ontology have argued explicitly for the importance of doing ontology for the social sciences. In a paper from 2008 Searle⁵, one of the godfathers of modern social ontology, says:

I believe that where the social sciences are concerned, social ontology is prior to methodology and theory. It is prior in the sense that unless you have a clear conception of the nature of the phenomena you are investigating, you are unlikely to develop the right methodology and the right theoretical apparatus for conducting the investigation (Searle 2008, 443f emphasis mine).

Searle is not very explicit about the exact relationship between ontology and methodology / the development of theoretical tools for the investigation of social reality here (or anywhere else). In a closing passage in Making the Social World he even admits that he does not really know what the implications of his theory for research in the social sciences are (Searle 2010, 200). It seems to be clear, however, that Searle's general outlook is that the development of theories and explanatory tools in the social sciences somehow should depend on ontological foundations as "the whole investigation gets a greater depth if one is acutely conscious of the ontology of the phenomena being investigated" (ibid., 201).

Still, these statements by Searle are quite abstract. Looking at the individualism/holism debate in POSS, matters become more concrete. Consider Keith Sawyer's writings in POSS. Sawyer (2002; 2003; 2005) aims at developing a position he calls 'non-reductive individualism', by analogy to one of the mainstream positions in philosophy of mind: non-reductive materialism. He draws from well-known concepts in the philosophy of mind debate, above all supervenience

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⁵ Objection: "Why Searle? Is he really a philosopher of the social sciences. Searle does not engage with the social scientific literature and he is barely cited in sociological discourse." I agree but I think he should be included nevertheless. For one, he is widely recognized as a an important participant in the ontological discourse in POSS (Guala 2007; Mantzavinos 2009). In addition, Searle (1995; 2010) explicitly aims, among other things, at improving the social sciences by providing a general picture of the ontological foundations of social reality.

and multiple realizability, to argue for a middle ground position in POSS between strong holism and individualism. This position is supposed "to provide a philosophical argument to ground collectivist macrosociology" (Sawyer 2002, 539). At first glance, Sawyer does not seem to be an ontological foundationalist at all as he aims to avoid "the logical error of making ontological arguments in support of methodological claims" (ibid., 538). If you look at his philosophical strategy it becomes clear, however, that the core of his argument actually presupposes that we, first, need to get the ontology right and can then draw conclusions from this concerning social explanations. As a matter of fact, Sawyer seems to follow Durkheim (1964[1895]) in thinking that it is necessary to show that certain social phenomena really have emergent causal powers to argue for the indispensability of holistic explanations in sociology. Apparently, many philosophers of the social sciences arguing for some kind of holism think that a variation of Sawyer's 'ontological argument' is plausible. The main idea of this argument is that holist explanations are only informative and legitimate if it can be shown that social entities have real causal powers, or some kind of ontological status sui generis, despite the fact that they supervene on individuals and their interactions (see the papers by Zahle 2003 and Van Bouwel 2004 for details).

Critical Realists in the tradition of Roy Bhaskar defending an emergentist ontology (such as Margaret Archer and Dave Elder-Vass) to argue against methodological individualism seem to have similar things in mind. In his book *The Causal Power of Social Structures* (2010) Elder-Vass develops a position that "recognises the contributions of both social structure and human agency to explaining social events, and also the complexity of the interactions between them. It is therefore distinct from methodological individualist positions, which deny causal effectiveness to social structure [...]" (Elder-Vass 2010, 8). According to this theory, social groups, in Elder-Vass' terminology 'norm circles', and organizations can exert genuine causal powers, most notably by producing and endorsing social norms, which only then become a part of social reality or 'culture'. This relational theory of social emergence is (arguably) in contrast to an individualist ontology and is also used to show the deficiency of individualist explanations in the social sciences. Once again, ontological arguments are considered to be

foundational for explanatory practices in the social sciences, in this instance, as an ontological ground for rejecting methodological individualist explanations (*ibid.*, 196).

On the other end of the individualism/holism-spectrum we have, for example, proponents of Analytical Sociology who endorse ontological foundationalism in a straightforward way. Pierre Demeulenaere (2011, 4) expresses this view in this way: "Social life *exists only by virtue* of actors who live it." From this ontological premise follows immediately a methodological postulate. "Consequently, a social fact of any kind *must be explained* by direct reference to the actions of its constituents [individual actors]" (my emphasis). Needless to say, John Watkins (1952; 1957) and Daniel Little (1998, 10) have expressed similar arguments: the requirement of individualist explanations follows directly – and naturally – from ontological individualism.

At first glance, Daniel Little's most recent position in this debate seems not entirely clear. On the one hand he argues that a plurality of approaches in the social is needed and legitimate.

On the other hand he develops his own kind of social ontology and says:

I believe that the social sciences need to be framed out of consideration of a better understanding of the nature of the social – a better *social ontology* (Little 2009, 174).

Little even makes methodological recommendations regarding the best kinds of explanations – namely, causal mechanistic explanations (*ibid.*) – that are at least partly motivated by his 'localist' social ontology. Does he also exclude certain types of explanations on ontological grounds? I think he does. I believe the most plausible interpretation of his position is that Little endorses a plurality of explanatory approaches in the social sciences – albeit constrained by his (more or less) individualist social ontology:

If our social ontology maintains that complex social processes and assemblages take shape out of the actions and thoughts of individuals, then it is logical that we need to develop a theory of the actor. This does not imply

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⁶ ,Most recent' as Little has moved away from his earlier strong micro-foundationalist position in recent papers.

that our explanations always need to proceed from individual level to social level; sometimes this is an appropriate explanatory strategy and sometimes it is not. But even in circumstances where our explanations include hypotheses that refer to social entities, we still need to have some idea of the kinds of actions and interactions [of individuals] that establish the properties of those entities (Little 2014, 57 my emphasis).

In my view, this makes him a mild ontological foundationalist aiming to reject what could be called 'pure holist explanations', i.e. explanations referring to social entities without any kind of in-principle micro-foundations of these entities, for ontological reasons.

Note, that the basic rationale in both the individualist and the holist arguments is the same: Ontology comes first and has important consequences for the social sciences, particularly for the choice of individualist or holist explanations. If (for example) entities on the macro-level (whatever this is exactly) are only composed of individuals, their properties and relations and if (say) strong social emergentism does not hold we do not need holist explanations, or purely holist explanations are not gold standard respectively. If not, holist explanations are indispensable or gold standard. The main difference is that, in many cases, the individualist camp assumes the individualist ontology's truth as self-evident or trivial (Watkins 1952) - it only needs to be elucidated a bit to avoid confusion - while holists typically expend more ontological effort to justify their position and draw on arguments from philosophy of mind, philosophy of biology or general philosophy of science.

What is the motivation behind ontological foundationalism? Three of the main (and interrelated) reasons for advocating this position are the following:

First, there is a certain realist intuition about theories and explanations in the social sciences. i.e. good social theories and explanations simply have to picture or map the existing entities and dependency relations by and large to be explanatory and, hence, are determined in an important sense by the structure of the social world. Therefore, you (allegedly) need to have a plausible ontology first that tells you something about the general nature of the entities and their properties and causal capacities in a certain domain; only then you can start investigating its details (see Hay 2006 for a similar argument). If there are macro entities *sui generis* – use theories and explanations referring to these entities; or, if the locus of causality is on the microlevel – use micro-explanations, and so on.

Second, there are deep concerns regarding the epistemic status and the scientific achievements of the social sciences, especially in contrast to the explanatory adequacy (and predictive power) of the natural sciences. In light of these concerns, some philosophers of the social sciences seem to think that social scientists would benefit from a firm ontological basis, provided by philosophers, as a starting point for better explanatory practices (e.g. Little 2010, 298f; see also Epstein 2015b, 7, 40f).

Third, it might be possible to reduce pluralism in the social sciences via ontological investigations. Many philosophers of the social sciences feel that the current pluralist state of the disciplines, namely the vast plurality of different theories and forms of explanations in the social sciences, is a deficiency of the social sciences inhibiting the development of the disciplines. There exists no common groundwork allowing for the accumulation of social scientific knowledge. Rather, the social sciences supposedly exist in a state of pre-normal science (Kuhn 1970, Chap. 2), which means, among other things, that there is no shared research focus but competition between rival schools and explanatory frameworks leading to very different interpretations of the same phenomena. Ontology-based recommendations or restrictions for theories and explanations can be considered the foundationalists' remedy for this situation: Ontological foundations might lead to the best or only kind(s) of explanatory frameworks in the social sciences, thereby alleviating pluralism.

Now, there are many arguments against *certain forms* of doing ontology in the context of the social sciences. For example, many believe that social ontology in the Gilbert-Searle-Tuomelatradition is too self-contained and too isolated from the (theoretical) discourse in the social sciences to be of any real use for the social sciences (e.g. Kincaid 2012). In addition, there has been scepticism towards transferring ontological arguments from philosophy of mind to the

philosophy of the social sciences (Greshoff 2011). However, in the recent literature, a more radical opposition has emerged against the relevance of ontological investigations for the social sciences.

3.2 Anti-Ontological Pragmatism: "Leave ontology behind!"

Who supports this more radical opposition and considers ontological projects in POSS *in toto* as (at least mostly) irrelevant or fruitless for the social sciences? That is, who holds anti-ontological pragmatism?

First of all, this position is widespread amongst social scientists who focus on empirical research and have a kind of hands-on attitude towards doing social science. Many (though not all) sociologists who exclusively do empirical research share a dismissive attitude towards the relevance of ontological investigations as a foundation for their research (if not all philosophy of the social sciences). This might only be a prevalent prejudice of course. Recently, however, the sociologists Kivinen & Piiroinen have developed an actual argument along these lines:

Rather than revealing the structure of reality or the necessary conditions of scientific inquiries, they [ontological theories about the world] only reveal their holders' a priori metaphysical positions. To pretend that those positions somehow offer necessary groundings for research leads all too often into nothing but vicious circles, into unending battles of intuitions, at the expense of methodologically fruitful debates that might result in improving our social scientific practices (Kivinen and Piiroinen 2006, 310).

Instead the authors argue for "concentrating more exclusively on methodological issues, dropping metaphysical conjectures altogether, and replacing them with operationalizable research questions" (*ibid*.: 312).

Kivinen & Piiroinen cite Van Bouwel who has defended a similar (but more moderate) position in the philosophy of the social sciences. Van Bouwel (2004, 534) makes a plea for "giving less

weight to metaphysical and ontological debates [...] to maximize (our understanding of) good scientific practice." He explicitly questions the foundationalist base assumption in most individualism/holism disputes and states that it should be our explanatory request, rather than ontological assumptions about the social world, that should determine the right level (individualist/holist) of explanation. Following up on this, Van Bouwel and Weber (2008) defend a pragmatist *de-ontologized* approach to social explanation which focuses on epistemic interests as a guiding principle for explanatory choice and attempts to "leave the more ontological and conceptual analysis of explanation (with the evidence being our intuitions) behind" (p. 439). The key idea of their approach is to, first, make the explanda and the connected explanation-seeking questions of a certain research endeavour in the social sciences as explicit as possible. In a second step, the thereby determined epistemic interest is used as a standard for choosing the best explanatory framework for *this* research endeavour.

My final example of the anti-ontological stance is a paper with the title *The Poverty of Ontological Reasoning*. In this paper, Tsilipakos argues against the view "that one requires an ontology on which to ground one's methodology and empirical investigations" (2012, 215). Tsilipakos is extremely sceptical towards recent attempts to 'ontologize' the debates revolving around individualism/holism and different explanatory frameworks in POSS. He even attempts to show, following Wittgenstein, that ontological investigations in POSS are deeply misguided and cannot add anything but confusion to contemporary debates as they misconceive the role of language in ontology (see also Tsilipakos 2015).

What is the motivation behind anti-ontological pragmatism? There seem to be a number of reasons for defending this position. Here, I will only discuss three of the most important ones:

First, some authors think the prioritisation of ontological investigations is slowing down or obstructing real progress in the philosophy of the social sciences. There are many ontological disputes going on in POSS (e.g. individualism vs. emergentism; naturalism vs. interpretationism; collectivism vs. singularism). These disputes appear to be never-ending – thereby tying up resources – for several reasons: For one thing, some argue, many ontological

disputes are just undecidable for epistemic reasons: In many cases it just seems to be unclear what kind of reason would be sufficient to show that one of the disputants is right. This seems to be so, since people on both sides of a dispute typically agree on the data and the examples used to argue for position A or B. They only disagree about the right ontological theory to describe the data and the examples; and they disagree about the grounds for preferring one ontological theory over the other (see Bennett 2009 for the analogue problem in analytic metaphysics), especially about their metaphysical intuitions (which, sometimes, seem to be the only grounds there are). In other cases, the putatively deep ontological disputes appear to be nothing more than persistent verbal disputes. The debate revolving around Elder-Vass concept of relational emergence and his 'redescription principle' may be a case in point here (Elder-Vass 2010; Elder-Vass 2014; Wahlberg 2014). Thus, the pragmatists argue, we should leave ontology behind and focus on explanatory and methodological issues in the social sciences.

A second reason is the rejection of the realist intuition (see above) concerning theories and explanations. Let's suppose we reach a consensus in ontological matters: According to the pragmatists, sensible social theories and explanation still do not necessarily have to picture the structure of the social world or be derived from ontological premises to be good theories and explanations. It is rather the epistemic interest (what do we want to know?) of the researchers and pragmatic aspects (e.g. efficiency or simplicity) that should guide the choice of explanations and methodological tools.

To use an example by List and Spiekermann (2013, 640), the democratic peace hypothesis states that democratic states do not engage in wars against other democratic states. A canonical explanation of this regularity relies on structural macro-features of democracies (such as: the complexity of decision procedures in democratic states and other institutional constrains). Now, let us assume that ontological individualism is right, i.e. democracies are completely determined by individuals, their beliefs, their actions and so on: why would we – following ontological foundationalism – need additional and extremely complex information about these individual-level details (interactions of politicians, concrete election outcomes etc.)

of the institutional processes within democratic states in this case? The structural explanation on the level of states seems to be quite rigorous (i.a. due to statistical control for spurious correlations and confounding variables), it is efficient, and it is illuminating as it provides adequate explanatory information in relation to our epistemic interest (reflected in the research question: Why do democracies not engage in armed conflict with each other while they do fight wars with non-democratic states?). If, on the other hand, we wanted to know how democracy X implemented a certain institutional design (this is a different epistemic interest), the individual level details would certainly provide essential information. Thus, the anti-ontological pragmatists argue, the main ground for the choice of theories and explanations in the social sciences should be the instrumentality for our epistemic interests — and not ontological reasoning.

Third, and as a consequence of the second point, pragmatists do not see pluralism as a deficiency of the social sciences: We just need different explanatory tools and theoretical perspectives for different research questions and, therefore, should embrace pluralism as the proliferation of different perspectives on the world instead of striving to eliminate it.

Particularly the latter two reasons for anti-ontological pragmatism are convincing. They show that ontological foundationalism rests on an 'ontological fallacy'. It is – for epistemic reasons – just not very persuasive that theories and explanations have to reproduce and are determined by the ontology of the social world. Whether a mechanistic, evolutionary, individualist or holist explanation is preferable indeed depends on our epistemic interest (what do we want to know?) and pragmatic aspects such as efficiency. The respective type of explanation only has to make a course of social action intelligible (using accepted methodological standards of social research) or 'latch on' to some actual pattern in the social world to have the potential to be a successful explanation (see van Fraassen 1980: 40 for the same point in general philosophy of science). Therefore, pluralism of theories and explanations does not seem to be a bad thing. And this should not be a surprise: the social sciences are not the only disciplines with considerable theoretical and explanatory pluralism. If you look, for example, at the thriving

biological sciences and psychology, they appear to be quite pluralist (see Kellert, Longino, and Waters 2006 for more examples of pluralistic sciences). Hence, it seems unclear why explanatory pluralism should be a problem for the social sciences in the first place.

So, what about the first reason then; do we have to dismiss ontological investigations as they are undecidable and obstructing progress in POSS? Or is there a role to play for ontological investigations for the social sciences after all? The short answer is: it depends on the way of pursuing ontological questions.

4 Another Role for Ontology in the Philosophy of the Social Sciences

No philosophical ontology of the social sphere determines theories and explanations in the social sciences (nor should it). I agree, and so do many if not most social scientists. Hardly anybody in the social sciences seems to be interested in ontological foundations as outlined and, at least to my knowledge, no social scientist has attempted to ground a new or better social science upon philosophical foundations. This is, of course, in part due to sociological factors such as separated disciplinary discourses and the sometimes uneasy relationship between philosophers and social scientists. I believe, however, that there is also a reasonable epistemic reason for this situation. In fact, ontological foundationalism appears to be a rather strange position if you look at it from a more general philosophy of science perspective. Why should a philosophical ontology determine scientific research in the first place? Contrast this with any philosophy of the natural sciences: It would be absurd to argue for the analogue position in (say) the philosophy of biology or the philosophy of chemistry. So, why is it supposed to be a viable position in POSS?

However, this assessment does not mean that we have to *de-ontologize* all of POSS and make a case for an entirely pragmatic-methodological approach, which exclusively focuses on different types of explanations and epistemic interests of social scientists (Van Bouwel and Weber 2008; Kivinen and Piiroinen 2006). In fact, ontological investigations of a certain kind

can indeed be relevant for the social sciences. I will elucidate this statement in the following section.

The pragmatists' dismissal of ontology as a whole rests on an excessively narrow understanding of what ontological investigations are and what their purpose in the context of the social sciences could be. They (and, likewise, foundationalists) presuppose that ontological reasoning is tantamount to conceptual reasoning, relying mainly on the philosophers' intuitions and preconceptions of reality. As a matter of fact, however, there is a well-established alternative understanding of what ontological investigations can be; namely the investigation of explicit and implicit ontological assumptions of theories and explanatory frameworks, that is, the investigation of the *ontological demands on the world presupposed* by scientific theories, models and related explanatory practices. I want to endorse the view that "a serious study of science must be concerned with what it is that we actually do in scientific work" (Chang 2014, 67) and argue for the natural extension of this position (advocated by philosophers of science in practice) to the context of this paper. The 'practice turn' in philosophy of science (Soler et al. 2014; Kendig 2016) also offers a fruitful perspective for understanding the place of ontology, and the purpose of doing ontology, in the philosophy of the social sciences. Understanding ontology in this way would mean a shift from ontological reasoning for the social sciences to ontological investigations of the social sciences, including not only social scientific theories but also their actual application within the disciplines as well as less theory-based explanatory practices. Until now - and somewhat surprisingly - this has only sporadically been done in POSS (see, however, Harold Kincaid's work as an example).

In suggesting that ontological investigations of the social sciences should extend beyond theories, I certainly do not mean to imply that theories are not important. They are an integral part of the social sciences, and theorizing as well as the application of theories is itself an epistemic activity (cf. Chang 2014: 67f). In other words, I take the practice turn not to be a turn away from theory but a turn towards the practical dimension of science, among other things. Please note, that this understanding of ontological reasoning is compatible with my earlier

characterization of ontological investigations, as all of the above-mentioned questions can be approached as questions about the ontological assumptions or commitments (broadly construed) of theories, explanatory frameworks and so on. ⁷

There are a number of different roles that ontological investigations understood in this way could play for the social sciences. I will illustrate this with three examples.

(1) Ontological investigations do not determine research in the social sciences. However, they can be used to examine and restrict ontological assumptions in light of the postulated explanatory approach.

It is essential that entities used in social explanations have the properties needed to do the explanatory work they are meant to do (see Ludwig 2015 for a very illuminating discussion of the dependence of ontological choices on explanatory interests and scientific practice). If we look at existing social explanations, however, we can see that this is not always the case. For example, the organizational ecologists' answer to the research question of why certain kinds of organizations survive better in different kinds of environments cites different organizational strategies as well as Darwinian selection mechanisms on the population level as important explanatory factors (Hannan and Freeman 1977; Hannan and Freeman 1989). Reydon and Scholz (2009) criticise ecological explanations of this kind from an ontological point of view. According to them the organizational ecologists' approach de facto *lacks* an evolutionary mechanism. The reason is that it specifies entities ("organizational populations") which are not capable of evolving in a Darwinian sense. In other words, the explanatory core of this Darwinian research approach seems to be flawed as the relevant entities cannot fulfil the intended explanatory role for ontological reasons.

In cases like this, ontological investigations in POSS have a normative function as they not only examine and clarify but also critically evaluate the ontological assumptions of social

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⁷ In the end, my view may also be compatible with the more moderate passages of Van Bouwel's view: "[...] explanatory pluralism will still have to consider ontological issues in order to secure the compatibility and complementarity of theories and explanations" (2004, 534; see also Van Bouwel 2014, 164, Fn. 9) – however, he does not really say much about this aspect. In the following part of this paper I attempt to do just this.

explanations in light of their explanatory function. The idea then, is not to generally exclude explanatory frameworks for a priori ontological reasons (e.g. the hermeneutic nature of human action), as that would be ontological foundationalism all over again, but to provide a contextual critique of ontological assumptions given the postulated explanatory approach and the epistemic goals of the research enterprise. This kind of ontological investigation is relevant for the social sciences as it is in close contact with explanatory practices and has the potential to advance the social sciences through accompanying critique.

(2) Some forms of social explanations rely heavily on unclear or taken-for-granted ontological assumptions that can (and should) be made clear / explicit by ontological investigations.

The social mechanism approach will be used to illustrate this point. The general idea of mechanistic explanations in the social sciences is to 'open up the black box'. Mechanistic explanations explain certain social phenomena by describing their generative mechanism, i.e. the entities (often individuals) and causal processes that, given a specific arrangement of the entities and a certain context, produce the phenomena in question (Hedström and Swedberg 1996; Hedström and Ylikoski 2010). Naturally, mechanistic explanations can be useful for many epistemic interests.

Mechanistic approaches are heavily infused with ontological assumptions because they think that an (idealized) description of the actual mechanism in the real world *ipso facto* is an explanation of the phenomenon it produces. Having said this, there appears to be quite a lot of ontological mopping-up-work to do for philosophers of the social sciences. Here are two examples:

(a) In some cases, it is not very clear what kinds of relations are supposed to exist between the entities in a social mechanism. At times, causal, intentional, constitutive and spacio-temporal relations as well as relations 'via social actions' are lumped together (often as arrows in a

 $^{^{\}rm 8}$ Many thanks to Thomas Reydon for helping me realize what I actually wanted to say here.

diagram), leaving a central part of the described mechanism sketchy or ill-defined (see, however, Little's initial approach (2012, 147) as a useful starting point for spelling out different mechanistic relations in more detail). It would, therefore, seem worthwhile to take a closer look at concrete examples of mechanistic explanations (not only methodological texts about these explanations), to analyse the actual ontological assumptions of the explanations in question and to compare different mechanist explanations in this respect. (This could also be a contribution to a taxonomy of different kinds of social mechanisms.)

Above all, it is not always clear how many levels of entities are supposed to exist and how the different levels of social reality relate to each other in the description of a social mechanism. In what way do macro-entities such as organizations depend on individuals? Are there genuine causal relations between macro-entities and micro-entities (individual agents) in mechanistic explanation X? Coleman's famous micro-macro-model ("Coleman's boat") (1990), for example, seems to contain an ambiguity in relation to the question of whether there exists genuine downward causation, or only *as-if* downward causation or some kind of other (structural?) kind of determination relation between macro- and micro-level (Udehn 2001, 295ff). Whichever option one endorses, it would be illuminating to really understand how these downward-relations are meant to be made intelligible in mechanistic explanations with macro-entities typically being conceived as composed of the entities on the lower level (individuals).

Answers to these questions would make descriptions of social mechanisms more explicit and thereby the respective mechanistic explanation more intelligible. As a side note, how these questions are answered is far from inconsequential from a practical point of view, since these topics are highly relevant for social practices informed by the social sciences: It just makes a difference whether social workers, politicians or business managers think there exists genuine structural or downward causation (say) in organizations – or not. The sense making of social situations depends on the interpretative resources of the involved agents. These interpretative resources, in turn, are influenced by social scientific theories and explanations

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⁹ Following Hedström and Ylikoski (2010), I think that Coleman can indeed be seen as an example of a mechanistic approach in sociology.

(Hacking 1995). Hence, ontological assumptions influence, at least to some degree, our sense making in social situations and, as a consequence, our actions.

(b) The second example for ontological mopping-up-work is related to the former aspect: It is widely held (though not by everybody in the mechanist camp) that social mechanistic explanations are *ipso facto* micro-foundationalist. The now classic paper on mechanistic explanations by Machamer et al (2000), however, is much more liberal in this respect in that it states that higher-level entities are an essential explanatory part of mechanistic explanations. They are not just 'short-cuts' or 'context' but at the core of multilevel explanations. Why then is it the case that many social mechanists seem to think that the core of a social mechanism has to be spelled out in terms of interacting individuals whenever possible? Does this imply the existence of unreflected-upon foundationalist assumptions concerning social mechanisms? Could these inhibit social research as certain kinds of (possibly) explanatory macromechanisms disappear form view due to taken-for-granted ontological assumptions (cf. Kaidesoja 2013)?

One can, of course, choose not to think about these ontological issues, but the fact that one is not interested in the ontological aspects of the investigation does not mean that these aspects are not there; they just remain unexamined and in many cases unclear. Given the strong ontological flavour of mechanistic explanations, is seems inevitable to engage in these ontological issues – not as a foundation of mechanistic explanations, but as constructive and critical complement. Furthermore, the analysis of the ontological assumptions of (say) mechanistic explanations would make it possible to reveal the ways in which they guide research practices in the social sciences. What kinds of phenomena or activity patterns are (not) likely to become visible with ontological framework F? What are the differences between different ontological frameworks in this respect?

In cases like these ((a) and (b)), ontological investigations in POSS primarily have a descriptive and clarificatory function. What kinds of relations and levels are assumed to exist? How are

¹⁰ I owe this point to Brad Wray.

they related? What are the consequences of certain ontological assumptions? This seems to be a useful project in itself in that is helps to elucidate certain types of social explanations. However, in some cases ontological investigations of this kind may also have a normative component, namely whenever the philosophical (re-)description or clarification reveals inconsistencies in the ontological framework under consideration. In these cases, the purely descriptive approach might shift into the mode of 'revisionary metaphysics'. This means reflecting on the question of what the relevant ontological assumptions should be in the respective explanatory framework. (This may sometimes turn into the *contextual critique* of the ontological assumptions in question, see above (1)) I think it is obvious that this kind of embedded critique has the potential to be highly useful for social scientific practice.

(3) The critical analysis and comparison of different conceptions of 'the social' can illuminate deeper relationships between different explanatory frameworks and may thereby contribute to a clarifying systematization of the fragmented social sciences.

As stated above, pluralism is not a deficiency of the social sciences. However, pluralism and fragmentation is not the same thing; and the social sciences, indeed, appear to be fragmented in different respects (Tang 2011) leading to a kind of pseudo-pluralism without much substantial inter-paradigmatic discourse or knowledge integration. One (though not the only one) of the major reasons for this situation is this. There exist many seemingly incompatible or at least very different conceptions of the central subject matter of the social sciences, i.e. 'the social', roughly pointing to such diverse things as wedding customs, gender roles, churches, bureaucracies, states, World War II and the occidental modernisation. There is no agreement concerning the intension or extension of these subconcepts across different paradigms or schools. Furthermore, there is no agreed-upon classification of social phenomena, say in institutions and organizations or in different types of social systems. The term 'institution', for example, is used interchangeably with 'social structure' by some social scientists, while sometimes it is used to denote patterns of behaviour or whole systems of rules and practices

(see Miller 2012 for some variants). This seems to be one of the reasons why social scientists using the same words are occasionally talking past each other. The two main reactions to this situation are: (a) indifference and (b) attempts to synthesize very different conceptions of 'the social' into one comprehensive conception (the classic example being Talcott Parsons, for recent integrations attempts see, e.g., Esser, 1993; Elder-Vass, 2007).

I am quite sceptical towards the synthetic approach, however, as all attempts of paradigm integration until now have failed. So far, there is no widely accepted comprehensive paradigm (i.e. explanatory framework) and this is no accident. Attempts to synthesize different conceptions of 'the social' underestimate the differences between these conceptions in the social sciences and tend towards imperialistic assimilation instead of true integration. In addition these attempts are premature, as we lack a deeper understanding of the actual ontological assumptions of different explanatory frameworks and the deeper similarities, complementarities and incommensurabilities between them. At present, I think we don't know whether different paradigms in the social sciences (e.g. Bourdieu's Practice Theory; Luhmann's Systems Theory) really have deep or even incommensurable ontological differences or whether they just highlight different aspects of the social, as Tang (2011) would suggest. It may turn out to be the case that many concepts of the social – apparently carving social reality at different joints – are, in the end, compatible, as the perceived differences are only shallow.

To be sure, you can already find some framework comparisons in the social science literature, but, for the most part, these comparisons rely mainly on the (meta-)theoretical texts of the respective paradigms or school founders (see, e.g. López and Scott 2000). However, these 'grounding texts' tend to overemphasize differences between different concepts of the social and, therefore, a comparison based on these texts risks buying into these overstatements. For this reason, a philosopher of the social sciences interested in comparing different conceptions of the social should not only rely on the (meta-)theoretical texts. She should also look for potential case studies in which an explanation of a given social phenomenon or regularity is

attempted within the explanatory framework F. In a second step, she would analyse the *implicit* ontological assumption made by F in this case and critically compare these with the *explicitly stated* assumptions to arrive at a somehow adjusted picture of the ontological assumptions of F. After having done this with different frameworks, she would have a better idea of the actual ontological assumptions of these frameworks and, therefore, would have a more reliable starting point for comparison between different paradigms.

In this case, ontological investigations in POSS primarily have a clarificatory and systematizing function. What are the actual ontological demands on the world of different paradigms? Do they really differ that much in explanatory practice or are the supposedly deep differences only apparent? What are core differences and similarities? Critical and impartial investigations of the actual ontological assumptions regarding 'the social' (conducted by philosophers of the social sciences) could provide a partial remedy to the fragmentation of the social sciences and help us to understand how many different ontological playing pieces there really are. It may even be possible to create a kind of meta-framework for different core conceptions of the social that would enable meaningful comparison and could foster inter-paradigmatic discourse and a fruitful kind of pluralism instead of talking at cross-purposes. This would not only be interesting from a philosophical point of view but – again – would be useful for social scientists as well.

There is even some concrete evidence that a project like this would be welcomed by social scientists. A group of prominent German sociologist recently published a working paper that made a similar case for the need of an impartial meta-framework for theory comparison and hinted at the possibility to base this framework on different core conceptions of 'the social' (Greshoff, Lindemann, and Schimank 2007). This very much looks like a job to which philosophers of the social sciences could – and should – contribute.

5 Conclusion

In this paper, I attempted to show that ontological foundationalism as well as anti-ontological pragmatism are unsatisfactory: ontology is neither the foundation for the extension of social

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scientific knowledge and explanatory strategies, nor are ontological questions irrelevant for the social sciences and social explanations. Rather, there are a number of different functions that ontological investigations in line with a philosophy of social science in practice perspective can have for the social sciences, namely (1) the restriction of ontological assumptions in light of the postulated explanatory approach, (2) the clarification of ontological assumptions in explanations, and (3) the illumination of relationships between different explanatory frameworks in the social sciences. Ontological investigations in these cases are deeply linked

to epistemological issues in POSS and certainly can contribute to the advancement of the

social sciences. Accordingly, I want to end this paper with a plea for more integration of

explanatory and methodological work with ontological investigations in POSS - instead of

doing either / or.

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