



How high can Baumgartner's \mathcal{I} -ultrafilters lie in the P-hierarchy?

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Abstract Under the continuum hypothesis we prove that for any tall P-ideal \mathcal{I} on ω and for any ordinal $\gamma \leq \omega_1$ there is an \mathcal{I} -ultrafilter in the sense of Baumgartner, which belongs to the class \mathcal{P}_γ of the P-hierarchy of ultrafilters. Since the class of \mathcal{P}_2 ultrafilters coincides with the class of P-points, our result generalizes the theorem of Flašková, which states that there are \mathcal{I} -ultrafilters which are not P-points.

Keywords \mathcal{I} -ultrafilters · P-hierarchy · CH · P-points · Monotonic sequential contour

Mathematics Subject Classification 03E05 · 03E50

1 Introduction

Baumgartner in the article *Ultrafilters on ω* [1] introduced the notion of \mathcal{I} -ultrafilters:

Let \mathcal{I} be an ideal on ω . An ultrafilter u on ω is an \mathcal{I} -ultrafilter, if and only if, for every function $f \in \omega^\omega$, there is a set $U \in u$ such that $f[U] \in \mathcal{I}$.

Ultrafilters of this kind have been the subject of research of a large group of mathematicians. Let us mention some of the most important papers in this subject from our point of view: Błaszczyk [2], Brendle [3], Laflamme [18], Shelah [19,20]. The theory of \mathcal{I} -ultrafilters on ω was developed by Flašková [8–11] in a series of articles, as well

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as in her Ph.D. thesis [12]. Flašková [12] proved, under the continuum hypothesis (CH), that for every tall \mathcal{P} -ideal \mathcal{I} that contains all singletons, there is an \mathcal{I} -ultrafilter that is not a \mathcal{P} -point. Later she succeeded in replacing the assumption of the CH by $\mathfrak{p} = \mathfrak{c}$ [8].

The main subject of this article is the \mathcal{P} -hierarchy of ultrafilters on ω . The \mathcal{P} -hierarchy can be viewed as one of the ways to classify ultrafilters with respect to their complicity. It is composed of ω_1 disjoint \mathcal{P}_α classes, where \mathcal{P} -points correspond to class \mathcal{P}_2 as was proven by Starosolski in [23] Proposition 2.1:

Proposition 1.1 *An ultrafilter u is a \mathcal{P} -point if and only if u belongs to class \mathcal{P}_2 in the \mathcal{P} -hierarchy.*

Many important facts about the \mathcal{P} -hierarchy are given in [23]. Additional information regarding sequential cascades and contours can be found in [5–7, 21]. However, the most crucial definitions and conventions are presented here. Since \mathcal{P} -points correspond to \mathcal{P}_2 ultrafilters in the \mathcal{P} -hierarchy of ultrafilters the question arises: to which classes of the \mathcal{P} -hierarchy can \mathcal{I} -ultrafilters belong? In this paper we shall show that it can be any class \mathcal{P}_α . Let us introduce all the necessary definitions and tools.

The set of natural numbers (finite ordinal numbers) will be denoted by ω . The filters considered in this paper will be defined on a countable and infinite set (except for one case indicated later). This will usually be the set $\max V$ of maximal elements of a cascade V (see the definition of cascades below) and we will often identify it with ω without indication. The following conventions will be of constant use:

Conventions If \mathcal{F} is a filter on A and $A \subset B$, then we identify \mathcal{F} with the filter on B , for which \mathcal{F} is a filter base. In particular, we identify the principal ultrafilter on $\{v\}$ with the principal ultrafilter generated on ω by v . If \mathcal{F} is a filter base, then by $\langle \mathcal{F} \rangle$ we denote the filter generated by \mathcal{F} .

A *cascade* is a tree V without infinite branches and with the least element \emptyset_V . A cascade is *sequential* if, for each non-maximal element of V ($v \in V \setminus \max V$), the set v^{+V} of immediate successors of v (in V) is countable and infinite. We write v^+ instead of v^{+V} if it is known in which cascade the successors of v are considered. If $v \in V \setminus \max V$, then v^+ may be endowed with an order of type ω , and then by $(v_n)_{n \in \omega}$ we denote the sequence of elements of v^+ .

The *rank* of $v \in V$, which will be denoted by $r_V(v)$ or simply by $r(v)$, is defined inductively as follows: if $v \in \max V$, then $r(v) = 0$; otherwise $r(v)$ is the least ordinal greater than the ranks of all immediate successors of v . The rank $r(V)$ of the cascade V is, by definition, the rank of \emptyset_V . If for $v \in V \setminus \max V$ the set v^+ can be ordered in type ω so that the sequence $(r(v_n)_{n < \omega})$ is non-decreasing, then the cascade V is *monotonic* and we fix such orders for V without indication.

For $v \in V$ we denote by $v^{\uparrow V}$ the subcascade of V consisting of v and all elements greater than v . We write v^\uparrow instead of $v^{\uparrow V}$ if it is clear in which cascade the subcascade is included.

One may assume that the sequential cascade V is a family of subsets of an infinite and countable set ω and the order of V is the reverse inclusion. Indeed, cascade V is isomorphic to cascade \bar{V} such that:

- $\emptyset_{\bar{V}} = \omega$;
- for every $\bar{v} \in \bar{V}$, \bar{v} is the disjoint union of the elements of \bar{v}^+ :
 - $\bar{v} = \bigcup \{\bar{w} : \bar{w} \in \bar{v}^+\}$,
 - for every $w, u \in v^+$, $\bar{w} \cap \bar{u} = \emptyset$,
- \bar{v} is a singleton, for every $\bar{v} \in \max \bar{V}$.

If one identifies $\max V$ with ω , then the map $\bar{\cdot} : V \rightarrow \bar{V}$ given by the formula $\bar{v} = \max v^\uparrow$ is such an isomorphism.

If $\mathbb{F} = \{\mathcal{F}_s : s \in S\}$ is a family of filters on X and if \mathcal{G} is a filter on S , then the *contour of $\{\mathcal{F}_s\}$ along \mathcal{G}* is defined by

$$\int_{\mathcal{G}} \mathbb{F} = \int_{\mathcal{G}} \{\mathcal{F}_s : s \in S\} = \bigcup_{G \in \mathcal{G}} \bigcap_{s \in G} \mathcal{F}_s.$$

This construction has been used by many authors ([13–15]), and is also known as a sum and limit of filters. We apply the operation of contour along filter to define *the contour of a cascade*: Fix a cascade V . Let $\mathcal{G}(v)$ be a filter on v^+ , for every $v \in V \setminus \max V$. For $v \in \max V$, let $\mathcal{G}(v)$ be a trivial ultrafilter on the singleton $\{v\}$, which we can treat as a principal ultrafilter on $\max V$, according to the convention we assumed. In this way we obtain the function $v \mapsto \mathcal{G}(v)$. We define the contour of every subcascade v^\uparrow inductively with respect to the rank of v :

$$\int^{\mathcal{G}} v^\uparrow = \{\{v\}\},$$

for $v \in \max V$ (i.e. $\int^{\mathcal{G}} v^\uparrow$ is just a trivial ultrafilter on the singleton $\{v\}$);

$$\int^{\mathcal{G}} v^\uparrow = \int_{\mathcal{G}(v)} \left\{ \int^{\mathcal{G}} w^\uparrow : w \in v^+ \right\}$$

for $v \in V \setminus \max v$. Eventually

$$\int^{\mathcal{G}} V = \int^{\mathcal{G}} (\emptyset_V)^\uparrow.$$

Usually we shall assume that all the filters $\mathcal{G}(v)$ are Fréchet filters (for $v \in V \setminus \max V$). In this case, we shall write $\int V$ instead of $\int^{\mathcal{G}} V$.

Filters defined in a similar way were also considered in [4, 16, 17].

Let V be a monotonic sequential cascade and let $u = \int V$. Then the *rank* $r(u)$ of u is, by definition, the rank of V .

It was shown in [7] that, if $\int V = \int W$, then $r(V) = r(W)$.

We shall say that the set F meshes the contour \mathcal{V} ($F \# \mathcal{V}$) if and only if $\mathcal{V} \cup \{F\}$ has the finite intersection property, i.e., can be extended to a filter. If $\omega \setminus F \in \mathcal{V}$, then we say that F is *small* with respect to \mathcal{V} .

For a countable ordinal number $\alpha \geq 1$ we define the class \mathcal{P}_α of the P-hierarchy (see [23]) as follows: $u \in \mathcal{P}_\alpha$ iff

1. there is no monotonic sequential contour C_α of rank α such that $C_\alpha \subset u$, and
2. for each $\beta < \alpha$, $\beta \neq 0$, there exists a monotonic sequential contour C_β of rank β such that $C_\beta \subset u$.

If for each $\alpha < \omega_1$ there exists a monotonic sequential contour C_α of rank α such that $C_\alpha \subset u$, then we say that u belongs to the class \mathcal{P}_{ω_1} .

Let us consider a monotonic cascade V and a monotonic sequential cascade W . We will say that W is a *sequential extension* of V if:

1. V is a subcascade of the cascade W ,
2. if v^{+V} is infinite, then $v^{+V} = v^{+W}$,
3. $r_V(v) = r_W(v)$ for each $v \in V$.

It is clear that sequential extensions are not uniquely defined.

Note that, if W is a sequential extension of V and $U \subset \max V$, then U is small for V if and only if U is small for W .

It cannot be proven in ZFC that all classes \mathcal{P}_α are nonempty. The following theorem is Theorem 2.8 of [23].

Theorem 1.2 *The following statements are equivalent:*

1. P -points exist,
2. \mathcal{P}_α classes are non-empty for all countable successor α ,
3. There exists a countable successor $\alpha > 1$ such that the class \mathcal{P}_α is non-empty.

Starosolski showed in [25] Theorem 6.7 that:

Theorem 1.3 *Assuming CH every class \mathcal{P}_α is non-empty*

The main theorem presented in this paper can be viewed as an extension of Starosolski’s result.

Let us consider another technical notion which can be called a “restriction of a cascade”. Let V be a monotonic sequential cascade and let H be a set such that $H \# \int V$. By $V \downarrow^H$ we denote the biggest monotonic sequential cascade such that $V \downarrow^H \subset V$ and $\max V \downarrow^H \subset H$. It is easy to see that $H \in \int V \downarrow^H$.

2 Lemmata

The following lemmata will be used in the proof of the main theorem.

The first lemma is given in [24] (see: Lemma 6.3):

Lemma 2.1 *Let $\alpha < \omega_1$ be a limit ordinal and let $(\mathcal{V}_n : n < \omega)$ be a sequence of monotonic sequential contours such that $r(\mathcal{V}_n) < r(\mathcal{V}_{n+1}) < \alpha$ for every n , and $\bigcup_{n < \omega} \mathcal{V}_n$ has the finite intersection property. Then there is no monotonic sequential contour \mathcal{W} of rank α such that $\mathcal{W} \subset \langle \bigcup_{n < \omega} \mathcal{V}_n \rangle$.*

As a corollary we get:

Lemma 2.2 *Let $\alpha < \omega_1$ be a limit ordinal, let $(\mathcal{V}_n)_{n < \omega}$ be an increasing (in the sense of inclusion) sequence of monotonic sequential contours, such that $r(\mathcal{V}_n) < \alpha$ and let \mathcal{F} be a countable family of sets such that $\bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}$ has the finite intersection property. Then $\langle \bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F} \rangle$ does not contain a monotonic sequential contour of rank α .*

Proof If \mathcal{F} is finite then set

$$\mathcal{W}_n = \left\{ U \cap \bigcap \mathcal{F} : U \in \mathcal{V}_n \right\}.$$

It is easy to see that \mathcal{W}_n is a monotonic sequential contour of the same rank as \mathcal{V}_n . Consider the sequence $(\mathcal{W}_n : n < \omega)$. By Lemma 2.1 the union $\bigcup_{n < \omega} \mathcal{W}_n$ does not contain a contour of rank α .

If \mathcal{F} is infinite then we enumerate elements of \mathcal{F} by natural numbers obtaining a sequence $(F_n)_{n < \omega}$. Next, set

$$\mathcal{W}_n = \left\{ U \cap \bigcap_{i \leq n} F_i : U \in \mathcal{V}_n \right\}.$$

Consider the sequence $(\mathcal{W}_n : n < \omega)$ and use Lemma 2.1 to show that the union $(\mathcal{W}_n : n < \omega)$ does not contain a contour of rank α .

The following lemma is a straightforward extension of the claim contained in the proof of Theorem 3.2 [8]. We leave a proof to the reader.

Lemma 2.3 *Let \mathcal{I} be a tall P -ideal that contains all singletons, let $\{U_n : n < \omega\}$ be a pairwise disjoint sequence of subsets of ω , let $\{u_n : n < \omega\}$ be a sequence of \mathcal{I} -ultrafilters such that $U_n \in u_n$, and let v be an \mathcal{I} -ultrafilter. Then $\int_v \{u_n : n < \omega\}$ is an \mathcal{I} -ultrafilter.*

As an immediate consequence we get

Lemma 2.4 *If V is a monotonic sequential cascade, $\mathcal{G}(v)$ is a P -point and an \mathcal{I} -ultrafilter for each $v \in V \setminus \max V$ and $\mathcal{G}(v)$ is a trivial ultrafilter on a singleton $\{v\}$ for $v \in \max V$, then $\int^{\mathcal{G}} V$ is an \mathcal{I} -ultrafilter.*

Lemmata similar to the one above can be formulated for certain classes of the P -hierarchy instead of \mathcal{I} -ultrafilters, see [23] Theorem 2.5:

Theorem 2.5 *Let γ be an ordinal. Let V be a monotonic sequential cascade of rank γ , let $\mathcal{G}(v)$ be a principal ultrafilter on $\{v\}$ for $v \in \max V$, and let $\mathcal{G}(v)$ be a P -point on v^+ for $v \in V \setminus \max V$. Then $\int^{\mathcal{G}} V \in P_{\gamma+1}$.*

Let W be a cascade, and let $\{V^w : w \in \max W\}$ be a set of pairwise disjoint cascades such that $V^w \cap W = \emptyset$ for each $w \in \max W$. The *confluence* of cascades V^w with respect to the cascade W (we write $W \leftarrow P V^w$) is defined as a cascade constructed by the identification of $w \in \max W$ with \emptyset_{V^w} and according to the following rules: (1) $\emptyset_W = \emptyset_{W \leftarrow P V^w}$; (2) if $w \in W \setminus \max W$, then $w^{+W \leftarrow P V^w} = w^{+W}$; (3) if $w \in V^{w_0}$, for a certain $w_0 \in \max W$, then $w^{+W \leftarrow P V^w} = w^{+V^{w_0}}$; (4) in each case we also assume that the order on the set of successors remains unchanged. By $(n) \leftarrow P V^n$ we denote $W \leftarrow P V^w$ where W is a sequential cascade of rank 1.

At the end of this section we shall make a remark concerning bases of countours.

Remark 2.6 *Each filter base of the contour of any cascade of rank 2 is uncountable.*

Proof Let V be a cascade of rank 2. We may assume that V is obtained by the confluence of cascades V_n of rank 1 i.e. $V = (n) \leftarrow P V_n$. Assume that $\int V$ has a

countable base. Thus there is a set A such that $A \setminus F$ is finite for every $F \in \int V$. It is evident that A meshes $\int V$. There are two cases:

Case I: there is $n < \omega$ such that $A \cap \max V_n$ is infinite. This cannot happen: it is sufficient to take $F = \max V \setminus V_n$ and observe that $F \in \int V$ but $A \setminus F$ is not finite.

Case II: for each $n < \omega$ the intersection $A \cap \max V_n$ is finite. This also cannot happen: it is sufficient to take $F = \bigcup_{n < \omega} \max V_n \setminus A$ and observe that $F \in \int V$ (because we remove only finite many elements from each V_m) but A is disjoint with F .

In both cases we get a contradiction.

In fact one can prove a stronger result than the above: bases of contours of cascades of rank greater than 1 must have the cardinality greater or equal to the dominating number \mathfrak{d} .

3 Main result

In this section we shall present the main result of the paper.

Theorem 3.1 *Assume that CH holds. Let \mathcal{I} be a tall P -ideal that contains all singletons and let $\gamma \leq \omega_1$ be an ordinal. Then there exists an \mathcal{I} -ultrafilter u which belongs to \mathcal{P}_γ .*

Proof We shall split the proof into five steps: $\gamma = 1$, $\gamma = 2$, $\gamma > 2$ is a countable successor ordinal, $\gamma < \omega_1$ is a limit ordinal, and $\gamma = \omega_1$.

Step 0: $\gamma = 1$. This is clear, since an image of singleton (\mathcal{P}_1 is a class of principal ultrafilters) is a singleton, and thus belongs to \mathcal{I} .

Step 1: $\gamma = 2$.

Since CH is assumed, we can fix enumerations of length ω_1 , of contours of rank 2 and of functions $\omega \rightarrow \omega$, say $(\mathcal{W}_\alpha)_{\alpha < \omega_1}$, $(f_\alpha)_{\alpha < \omega_1}$ respectively. Applying transfinite induction we build countably generated filters \mathcal{F}_α together with their decreasing bases $(F_\alpha^n)_{n < \omega}$, such that:

- (W1) \mathcal{F}_0 is a Fréchet filter;
- (W2) for each $\alpha < \omega_1$, $(F_\alpha^n)_{n < \omega}$ is a strictly decreasing base of \mathcal{F}_α ;
- (W3) $\mathcal{F}_\alpha \subset \mathcal{F}_\beta$, for $\alpha < \beta$;
- (W4) $\mathcal{F}_\alpha = \bigcup_{\beta < \alpha} \mathcal{F}_\beta$, if α is a limit ordinal;
- (W5) for each $\alpha < \omega_1$ there is $F \in \mathcal{F}_{\alpha+1}$ such that $f_\alpha[F] \in \mathcal{I}$;
- (W6) for each $\alpha < \omega_1$ there is $F \in \mathcal{F}_{\alpha+1}$ such that $F^c \in \mathcal{W}_\alpha$.

Assume that \mathcal{F}_α is already defined; we shall show how to build $\mathcal{F}_{\alpha+1}$. Since F_α^n is strictly decreasing, one can pick any $x_n \in F_\alpha^n \setminus F_\alpha^{n+1}$ for every $n < \omega$. Set $T = \{x_n : n < \omega\}$. The are two possibilities:

If $f_\alpha[T]$ is finite, then set $G_\alpha = T$.

If $f_\alpha[T]$ is infinite, then since \mathcal{I} is tall, there is an infinite $I \in \mathcal{I}$ such that $I \subset f_\alpha[T]$. In this case set $G_\alpha = f_\alpha^{-1}[I]$.

Note that $\{F_\alpha^n : n < \omega\} \cup \{G_\alpha\}$ has the finite intersection property and is countable. Subbases of any sequential contour of rank 2 have to be uncountable. Thus none of

them is contained in $\{F_\alpha^n : n < \omega\} \cup \{G_\alpha\}$. This means that there is a set A_α such that its complement belongs to \mathcal{W}_α and the family $\{F_\alpha^n : n < \omega\} \cup \{G_\alpha, A_\alpha\}$ has the finite intersection property. We can order $\{F_\alpha^n : n < \omega\} \cup \{G_\alpha, A_\alpha\}$ to obtain a sequence $(\tilde{F}_{\alpha+1}^n : n < \omega)$. Set $F_{\alpha+1}^n = \bigcap_{m \leq n} \tilde{F}_{\alpha+1}^m$ to get a decreasing sequence and let

$$\mathcal{F}_{\alpha+1} = \langle \{F_{\alpha+1}^n : n < \omega\} \rangle.$$

Take an ultrafilter u that extends $\bigcup_{\alpha < \omega_1} \mathcal{F}_\alpha$. By (W5) u is an \mathcal{I} -ultrafilter and by (W6) u does not contain a monotonic sequential contour of rank 2. Since by (W1) u contains a Fréchet filter u cannot be a principal ultrafilter. Thus u is a P-point. Note that in this step we did not use the assumption that \mathcal{I} is a P-ideal.

Step 2: $\gamma > 2$ is a countable successor ordinal.

Let V be an arbitrary monotonic sequential cascade of rank $\gamma - 1$. Let $V \ni v \mapsto \mathcal{G}(v)$ be a function such that:

1. $\mathcal{G}(v)$ is a P-point and is an \mathcal{I} -ultrafilter, for each $v \in V \setminus \max V$ (such ultrafilters exist by step 1);
2. $\mathcal{G}(v)$ is a trivial ultrafilter on the singleton $\{v\}$, for $v \in \max V$.

Theorem 2.5 guarantees that $\int^{\mathcal{G}} V \in \mathcal{P}_\gamma$, whilst Lemma 2.4 guarantees that $\int^{\mathcal{G}} V$ is an \mathcal{I} -ultrafilter.

Step 3: $\gamma < \omega_1$ is a limit ordinal. The proof is based on the same idea as in step 1 but it is more complicated.

Let $(\mathcal{V}_n)_{n < \omega}$ be an increasing sequence of monotonic sequential contours such that their ranks $r(\mathcal{V}_n)$ are smaller than γ and converging to γ . For each $n < \omega$, denote by V_n a (fixed) monotonic sequential cascade such that $\int V_n = \mathcal{V}_n$. Let $\{\mathcal{W}_\alpha : \alpha < \omega_1\}$ be an enumeration of all monotonic sequential contours of rank γ . Let $\omega^\omega = \{f_\alpha : \alpha < \omega_1\}$.

By transfinite induction we build filters \mathcal{F}_α together with their decreasing bases $(F_\alpha^n)_{n < \omega}$ such that conditions (W1)-(W6) hold together with the additional condition (W7) i.e.

$$(W7) \quad \bigcup_{i < \omega} \mathcal{V}_i \cup \bigcup_{\alpha < \omega_1} \mathcal{F}_\alpha \text{ has the finite intersection property.}$$

Assume that \mathcal{F}_α is already defined; we shall show how to build $\mathcal{F}_{\alpha+1}$. This will be done in five substeps. Firstly, for each \mathcal{V}_n and for each F_α^i we shall find $H_{n,i}$ such that $\mathcal{V}_n \cup \{F_\alpha^i, H_{n,i}\}$ has the finite intersection property and $f_\alpha[H_{n,i}] \in \mathcal{I}$. Next, we will replace all the sets $H_{n,i}$ by one set H_n such that $\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{H_n\}$ has the finite intersection property and $f_\alpha[H_n] \in \mathcal{I}$. In the third step we shall replace all the sets H_n by one set G_α such that $\bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{G_\alpha\}$ has the finite intersection property and $f_\alpha[G_\alpha] \in \mathcal{I}$. The set G_α will take care of all the contours \mathcal{V}_n . Adding it as a generator to $\mathcal{F}_{\alpha+1}$ will guarantee that the conditions (W5) and (W7) will hold true. The fourth step will deal with the condition (W6) by adding a set A_α to the list of generators of $\mathcal{F}_{\alpha+1}$. Finally we will define a decreasing base of a filter $\mathcal{F}_{\alpha+1}$ and a filter itself.

Substep (i) Fix n and i . Let us introduce an auxiliary definition.

Definition Fix a monotonic sequential cascade V , a set F that meshes V and a function $f \in \omega^\omega$. For each $v \in V$, we write $U \in \mathbf{S}(v)$ if

1. $U \subset \max v^\uparrow$;
2. $(U \cap F) \# \int v^\uparrow$;
3. $\text{card}(f[U \cap F]) = 1$.

The following claim is crucial in our argument:

Proposition 3.2 *One of the following conditions holds:*

- (A) $S(\emptyset_V) \neq \emptyset$;
- (B) *there is an antichain (with respect to the order of the cascade) $\mathbb{A} \subset V$ such that:*
 1. $S(v) = \emptyset$, for all $v \in \mathbb{A}$,
 2. $(\bigcup\{\max w^\uparrow : w \in v^+, S(w) \neq \emptyset\}) \# \int v^\uparrow$, for all $v \in \mathbb{A}$,
 3. $(\bigcup\{\max v^\uparrow : v \in \mathbb{A}\}) \# \int V$.

Proof (of the proposition) Firstly note that in the definition of S condition $\text{card}(f[U \cap F]) = 1$ can be replaced by $\text{card}(f[U \cap F]) < \aleph_0$, and that this change has no influence on non-emptiness of $S(v)$.

The proof is by induction on the rank of cascade V .

First step $r(V) = 1$. If case A holds, then we are done. Thus without loss of generality we may assume that the image $f(U \cap F)$ is infinite, for each $U \cap F \in \max V$, such that $U \# \int V$. However, since $r(V) = 1$, $\text{card}(f(\max w \cap F)) \leq 1$, for each $w \in \emptyset_V^+$. Moreover, since $F \# \int V$,

$$\left(\bigcup\{(\max w \cap F) : w \in v^+, \text{card}(f(\max w \cap F)) = 1\}\right) \# \int V.$$

We set $\mathbb{A} = \{\emptyset_V\}$ and see that case (B) holds.

Inductive step: Assume that the proposition holds for each $\beta < \alpha$. Let V be a monotonic sequential cascade of rank $r(V) = \alpha$. Again, if case A holds, then we are done; thus without loss of generality we assume that the image $f(U \cap F)$ is infinite for each $U \cap F \subset \max V$ such that $U \# \int V$. By the inductive assumption, for each successor w of \emptyset_V , either case A holds for cascade w^\uparrow , or case B holds for the cascade w^\uparrow .

Split the set \emptyset_V^+ of immediate successors of \emptyset_V into two subsets:

$$V^A = \{w \in \emptyset_V : \text{case A holds}\}, \quad V^B = \{w \in \emptyset_V : \text{case B holds}\}.$$

Since $F \# \int V$, there are two possibilities:

$$\left(\bigcup_{w \in V^A} (\max w^\uparrow \cap F)\right) \# \int V \text{ or } \left(\bigcup_{w \in V^B} (\max w^\uparrow \cap F)\right) \# \int V.$$

In the first case, $\mathbb{A} = \{\emptyset_V\}$ is what we are looking for.

In the second case, for each $w \in V^B$, there is an antichain \mathbb{A}_w in w^\uparrow as in case (B). Set $\mathbb{A} = \bigcup_{w \in V^B} \mathbb{A}_w$. This completes the proof of the proposition.

We can go back to the main proof.

We apply the proposition to cascade V_n , set F_α^i and function f_α . If case (A) holds, then we take any $U \in \mathbf{S}(\emptyset_{V_n})$ and set $H_{n,i} = U$.

If case (B) holds, then for each $v \in \mathbb{A}$ and each $w \in v^+$, such that $\mathbf{S}(w) \neq \emptyset$, we fix some $U_w \in \mathbf{S}(w)$; for the remaining $w \in v^+$ let $U_w = \emptyset$. For $v \in \mathbb{A}$, consider $T_v = \bigcup_{w \in v^+} U_w$, and note that $f_\alpha[T_v]$ is infinite. Since \mathcal{I} is tall, there is an infinite $I_v \in \mathcal{I}$ such that $I_v \subset f_\alpha[T_v]$. Since \mathcal{I} is a P-ideal, there is infinite $I_{n,i} \in \mathcal{I}$ such that for all $v \in \mathbb{A}$ the difference $I_v \setminus I_{n,i}$ is finite. Set $H_{n,i} = f^{-1}[I_{n,i}]$.

Substep (ii) Now we will show how to replace sets $H_{n,i}$ by one set H_n . Consider two possibilities:

1. there is an infinite $K \subset \omega$ such that $f_\alpha[H_{n,i}]$ is infinite for each $i \in K$;
2. there is an infinite $K \subset \omega$ such that $f_\alpha[H_{n,i}]$ is a singleton for each $i \in K$.

In both cases, since $(F_\alpha^i)_{i < \omega}$ is decreasing without loss of generality we may assume that $K = \omega$.

Assume that the case (1) holds. Since \mathcal{I} is an P-ideal, there is an infinite $I_n \in \mathcal{I}$ such that for each $i < \omega$ the difference $I_{n,i} \setminus I_n$ is finite. Set $H_n = f_\alpha^{-1}[I_n]$.

In case (2), we have two sub-cases:

If $f_\alpha[\bigcup_{i < \omega} H_{n,i}]$ is infinite, then since \mathcal{I} is tall, there is an infinite $I_n \in \mathcal{I}$ such that $I_n \subset f_\alpha[\bigcup_{i < \omega} H_{n,i}]$ and we set $H_n = f_\alpha^{-1}[I_n]$.

Otherwise $f_\alpha[\bigcup_{i < \omega} H_{n,i}]$ is finite and there is $j \in f_\alpha[\bigcup_{i < \omega} H_{n,i}]$ such that $f_\alpha^{-1}[\{j\}] = H_{n,i}$ for infinitely many i . We set $H_n = f_\alpha^{-1}[\{j\}]$.

Clearly, in both cases $\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{H_n\}$ has the finite intersection property and $f_\alpha[H_n] \in \mathcal{I}$.

Substep (iii) In this step we have to find a set G_α which can replace each H_n . We have shown that, for each n , there is a set H_n such that $f_\alpha[H_n] \in \mathcal{I}$. In fact, we have got a little bit more: either $f_\alpha[H_n]$ is infinite but it belongs to \mathcal{I} or $f_\alpha[H_n]$ is a singleton. We set

$$S = \{n < \omega : (\exists R_n) : \mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{R_n\} \text{ has the f.i.p. and } f_\alpha[R_n] \text{ is a singleton}\}.$$

It could happen that $f_\alpha[H_n]$ is infinite, but $n \in S$ i.e. that for some $R_n \neq H_n$ the image $f_\alpha[R_n]$ is a singleton. In this case we replace H_n by R_n . For $n \in \omega \setminus S$ we leave H_n unchanged. Once again the proof splits into two cases: either S is infinite, or it is finite.

S is infinite Without loss of generality, since $(\mathcal{V}_n)_{n < \omega}$ is increasing, we may assume that $S = \omega$ i.e. $f_\alpha[H_n]$ is a singleton for each $n < \omega$.

If $f_\alpha[\bigcup_{n < \omega} H_n]$ is finite, then there is $j \in f_\alpha[\bigcup_{n < \omega} H_n]$ such that $f_\alpha[H_n] = \{j\}$, for infinitely many n . Since \mathcal{V}_n is increasing and (F_α^n) is decreasing, the family $\bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_\alpha \cup f_\alpha^{-1}[\{j\}]$ has the finite intersection property. Set $G_\alpha = f_\alpha^{-1}[\{j\}]$.

If $f_\alpha[\bigcup_{n < \omega} H_n]$ is infinite, then, since \mathcal{I} is tall, there is an infinite $I_\alpha \in \mathcal{I}$ such that $I_\alpha \subset f_\alpha[\bigcup_{n < \omega} H_n]$. Since \mathcal{V}_n is increasing and (F_α^n) is decreasing, the family $\bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_\alpha \cup f_\alpha^{-1}[I_\alpha]$ has the finite intersection property. Set $G_\alpha = f_\alpha^{-1}[I_\alpha]$.

S is finite: Without loss of generality, since $(\mathcal{V}_n)_{n < \omega}$ is increasing, we may assume that $S = \emptyset$ i.e. that $f_\alpha[H_n]$ is infinite for each $n < \omega$.

Since \mathcal{I} is a P-ideal and $f_\alpha[H_n] \in \mathcal{I}$, there exists $I_\alpha \in \mathcal{I}$ such that for each $n < \omega$ the difference $f_\alpha[H_n] \setminus I_\alpha$ is finite and there is no R_n such that $f_\alpha[R_n]$ is finite and that $\{\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{R_n\}\}$ has the finite intersection property. Fix $n < \omega$. Since $\{\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{H_n\}\}$ has the finite intersection property and since $H_n \subset f_\alpha^{-1}[f_\alpha[H_n] \setminus I_\alpha] \cup f^{-1}[I_\alpha]$ thus $\{\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{f_\alpha^{-1}[f_\alpha[H_n] \setminus I_\alpha]\}\}$ has the finite intersection property or $\{\mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{f^{-1}[I_\alpha]\}\}$ has the finite intersection property. The first case cannot occur since $f_\alpha[f_\alpha^{-1}[f_\alpha[H_n] \setminus I_\alpha]]$ is finite and thus the second case holds true. Set $G_\alpha = f_\alpha^{-1}[I_\alpha]$.

Substep (iv) Since the family $\mathcal{F}_\alpha \cup \{G_\alpha\}$ is countable, by Lemma 2.2 there exists a set A_α which does not mesh the contour \mathcal{W}_α and such that the family $\bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_\alpha \cup \{G_\alpha, A_\alpha\}$ has the finite intersection property.

Substep (v) We complete the proof as for $\gamma = 2$.

Step 4: $\gamma = \omega_1$.

Again, we list ${}^\omega\omega = \{f_\alpha : \alpha < \omega_1\}$, as well as all pairs (set and its complement) in the ω_1 -sequence of pairs $(A_\alpha, \omega \setminus A_\alpha)_{\alpha < \omega_1}$, so that each set appears in the sequence only once: either as set A_α , or as its complement $\omega \setminus A_\alpha$.

We will build an ω_1 -sequence $(V_\alpha : \alpha < \omega_1)$ of monotonic sequential cascades such that

- (Z1) $\int V_\beta \subset \int V_\alpha$, for each $\beta < \alpha < \omega_1$.
- (Z2) $r(V_\alpha) = \alpha$, for every $\alpha < \omega_1$;
- (Z3) $\max V_\alpha = \omega$, for every $\alpha < \omega_1$;
- (Z4) there exists $U \in \int V_{\alpha+1}$ such that $f_\alpha[U] \in \mathcal{I}$;
- (Z5) $A_\alpha \in \int V_{\alpha+1}$ or $\omega \setminus A_\alpha \in \int V_{\alpha+1}$.

Let V_1 be any monotonic sequential cascade of rank 1. Suppose that we have already defined cascades V_β , for all $\beta < \alpha$.

Case 1: $\alpha = \beta + 1$ is a successor. Let us consider V_β . By step 3, there is a set H_α such that $H_\alpha \# \int V_\beta$ and $f_\alpha[H_\alpha] \in \mathcal{I}$. Consider the cascade $V_\beta \downarrow^{H_\alpha}$. This is a monotonic sequential cascade of rank β . By the proof of Theorem 4.6 from [7], there is a monotonic sequential cascade \tilde{V}_α of rank α such that $\int V_\beta \downarrow^{H_\alpha} \subset \int \tilde{V}_\alpha$. At least one of the elements of the pair $(A_\alpha, \omega \setminus A_\alpha)$ meshes $\int \tilde{V}_\alpha$. Denote it by B_α and let $V_\alpha = \tilde{V}_\alpha \downarrow^{B_\alpha}$.

Case 2: α is a limit ordinal. Let V_α be any monotonic sequential cascade of rank α such that $\int V_\beta \subset \int V_\alpha$ for each $\beta < \alpha$. A construction of such a cascade one can find in the proof of Theorem 4.6 in [7].

Now it suffices to take $u = \bigcup_{\alpha < \omega_1} \int V_\alpha$.

The assumption that the ideal \mathcal{I} is tall is essential: Flašková proved in Proposition 2.2 [8], that if \mathcal{I} is not tall, then there is no \mathcal{I} -ultrafilter. One can easily see that an ideal \mathcal{I} has to contain all singletons.

4 Rudin–Keisler ordering

Let \mathcal{F} be a filter on X , and let \mathcal{G} be a filter on Y ; we say that \mathcal{F} is *Rudin–Keisler greater* than \mathcal{G} (we write $\mathcal{F} \geq_{RK} \mathcal{G}$) if there is a map $f : X \rightarrow Y$ such that $f(\mathcal{F}) \supset \mathcal{G}$. We

say that \mathcal{F} and \mathcal{G} are Rudin–Keisler equivalent (denoted by $\mathcal{F} \approx_{RK} \mathcal{G}$) iff $\mathcal{F} \geq_{RK} \mathcal{G}$ and $\mathcal{G} \geq_{RK} \mathcal{F}$. Rudin–Keisler order is called *Katětov order* by some authors.

The main result of the paper can be improved as follows:

Theorem 4.1 (CH) *Let \mathcal{I} be a tall P -ideal that contains all singletons and let $1 < \gamma < \omega_1$ be an ordinal. Then there exists a pair u, v of Rudin–Keisler incomparable \mathcal{I} -ultrafilters that belong to \mathcal{P}_γ .*

To prove the theorem, we need an additional lemma which states that the contour operation preserves the Rudin–Keisler ordering:

Lemma 4.2 *Assume that α is a countable ordinal number and $u, v \in \mathcal{P}_\alpha$ are, such that $u \not\geq_{RK} v$. Fix a P -point p and an arbitrary free filter s . Next let $(U_n)_{n < \omega}$ and $(V_i)_{i < \omega}$ be partitions of ω into infinite sets and let (u_n) and (v_i) be sequences of ultrafilters such that $u_n \approx_{RK} u, U_n \in u_n, v_i \approx_{RK} v, V_i \in v_i$. Then $\int_p u_n \not\geq_{RK} \int_s v_i$.*

Proof Suppose on the contrary that there is a function $f : \omega \rightarrow \omega$ such that $f(\int_p u_n) = \int_s v_i$, without loss of generality f is a surjection. Take a non-decreasing sequence of ordinals $(\alpha_n)_{n < \omega}$ such that $\alpha_n < \alpha, \lim_{n \rightarrow \infty} (\alpha_n + 1) = \alpha$. For each V_i , fix a monotonic sequential cascade C_i of rank α_i such that $\int C_i \subset v_i$ and $\max C_i = V_i$.

In addition define cascades D_i from C_i as follows: leave all non-maximal elements unchanged and for every v of rank 1 replace v^+ by $f^{-1}(v^+)$. More formally, this means that $v^{+D_i} = f^{-1}(v^{+C_i})$. Consider a cascade $K = (i) \ast\ast D_i$. Clearly K is a monotone sequential cascade of rank α .

Since $u_n \in \mathcal{P}_\alpha$ thus there are sets $U^n \in u_n$ small with respect to K . These sets mesh $\int D_i$ only for finitely many i . Since u_n is an ultrafilter there is $T_n \in u_n$ such that either:

1. T_n meshes $\int D_i$ for exactly one i that will be denoted by $i(n)$, and $f[T_n] \subset V_i$; or
2. T_n never meshes $\int D_i$.

Let A be a set of those n for which the first case holds. There are two possibilities:

1. $A \in p$;
2. $\omega \setminus A \in p$.

Assume that $A \in p$. Without loss of generality $A = \omega$. Consider the partition of ω into sets $A_i = \{n < \omega : i(n) = i\}$. Since p is a P -point, there is a $P \in p$ such that $P \cap A_i$ is finite for each i . The possibility that there is a $P \in p$ which is contained in some A_i can be excluded since $f(\bigcup_{n \in P'} T_n) \in \int_s v_n$ for each co-finite $P' \subset P$.

Define the sets

$$N(j) = \{n : i(n) = j \text{ and } n \in P\}.$$

These sets are finite. For each $n \in N(j)$, since $u \not\geq_{RK} v$, there are pairs of sets $\tilde{U}_n \in u_n, \tilde{V}_{j,n} \in v_j$ such that $f[\tilde{U}_n] \cap \tilde{V}_{j,n} = \emptyset$. Put

$$U'_n = \tilde{U}_n \cap T_n \text{ and}$$

$$V'_j = \begin{cases} \bigcap_{n \in N(j)} \tilde{V}_{j,n}, & j = i(n) \text{ for any } n < \omega \\ V'_j = V_j, & \text{otherwise} \end{cases}$$

Clearly

$$U'_n \in u_n, V'_i \in v_i \quad \text{and} \quad f[U'_n] \cap V'_i = \emptyset$$

for each pair (n, i) such that $n \in P$. Therefore

$$\bigcup_{n \in P} U'_n \in \int_p u_n \text{ and } \bigcup_{i < \omega} V'_i \in \int_p v_i \text{ and } f\left(\bigcup_{n \in P} U'_n\right) \cap \bigcup_{i < \omega} V'_i = \emptyset.$$

Indeed, it is so because $f[U_n \cap T_n] \subset f[T_n] \subset V_{i(n)}$.

Assume that $\omega \setminus A \in p$. Without loss of generality, $A = \emptyset$.

If the set $\{n < \omega : \text{there is } i < \omega \text{ and a set } R_n \subset U_n, R_n \in u_n, \text{ such that } F[R_n] \subset V_i, f[R_n] \in v_i\}$ belongs to p then we proceed as in the case when $A \in p$.

Otherwise without loss of generality we may assume that for each $n, i < \omega$ the set $T_n \setminus f^{-1}[\bigcup_{j \leq i} V_j]$ belongs to u_i .

Since $u \not\leq_{RK} v$ for each n there are sets \tilde{U}_n and \tilde{V}_n such that

$$\tilde{U}_n \in u_n, \tilde{V}_n \in v_n \quad \text{and} \quad f[\tilde{U}_n] \cap \tilde{V}_n = \emptyset.$$

Define

$$U'_n = (\tilde{U}_n \cup T_n) \setminus f^{-1} \left[\bigcup_{j \leq n} V_j \right] \quad \text{and} \quad V'_n = \tilde{V}_n \setminus f \left[\bigcup_{j < n} T_j \right].$$

Notice that $U'_n \in u_n$ and $V'_n \in v_n$.

Clearly

$$\bigcup_{n < \omega} U'_n \in \int_p u_n, \bigcup_{n < \omega} V'_n \in \int_s v_i \quad \text{and} \quad f \left[\bigcup_{n < \omega} U'_n \right] \cap \bigcup_{n < \omega} V'_n = \emptyset.$$

Proof (of the theorem) Generally speaking, the proof is similar to the proof of the main result.

Step 1: for $\gamma = 2$. The proof is similar to the one in Step 3 below but easier. Hence, we skip it.

Step 2: $\gamma > 2$ is a countable successor ordinal number. Let u' and o' be a pair of RK incomparable \mathcal{I} -ultrafilters that belong to $\mathcal{P}_{\gamma-1}$. Fix a partition $(A_n : n < \omega)$ of ω into infinitely many infinite sets. Let $(u'_n : n < \omega)$ be a sequence of ultrafilters such that $u'_n \approx u', A_n \in u'_n$. Also let $(o'_n : n < \omega)$ be a sequence of ultrafilters such that $o'_n \approx o', A_n \in o'_n$.

Take the ultrafilters $u = \int_p u'_n$ and $o = \int_p o'_n$, where p is any P-point that is \mathcal{I} -ultrafilter. Theorem 2.5 guarantees that u and o belong to \mathcal{P}_γ whilst Lemma 2.3 guarantees that u and o are \mathcal{I} -ultrafilters. Finally, Lemma 4.2 ensures that u and o are RK-incomparable.

Step 3: $\gamma < \omega_1$ is a countable limit ordinal number. We construct \mathcal{F}_α and its decreasing base $(F_\alpha^n)_{n < \omega}$ as in Theorem 3.1. Simultaneously, we construct \mathcal{E}_α and $(E_\alpha^n)_{n < \omega}$ with exactly the same properties as \mathcal{F}_α and $(F_\alpha^n)_{n < \omega}$. Additionally we have to make sure that \mathcal{F}_α and \mathcal{E}_α are related as follows:

$$(W8) \text{ for each } \alpha < \omega_1, \text{ there is } F \in \mathcal{F}_{\alpha+1} \text{ such that } (f_\alpha[F])^c \in \mathcal{E}_{\alpha+1} \text{ and there is } E \in \mathcal{E}_{\alpha+1} \text{ such that } (f_\alpha[E])^c \in \mathcal{F}_{\alpha+1}.$$

Firstly we define F_α^n, A_α and G_α as in Theorem 3.1 and set $\mathcal{F}_{\alpha+1}^* = \{F_\alpha^n : n < \omega\} \cup \{A_\alpha, G_\alpha\}$. We define $\mathcal{E}_{\alpha+1}^*$ in exactly the same way.

Since $\langle \mathcal{F}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \rangle$ is not an ultrafilter, there is a set Y such that both $\mathcal{F}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \{Y\}$ and $\mathcal{F}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \{Y^c\}$ have the finite intersection property.

Now $\{f_\alpha^{-1}[Y]\} \cup \mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n$ has the finite intersection property (case 1) or $\{(f_\alpha^{-1}[Y])^c\} \cup \mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n$ has the finite intersection property (case 2).

In the first case we set $Z_{\alpha+1}^1 = f_\alpha^{-1}[Y]$ and $X_{\alpha+1}^1 = Y^c$ whilst in the second case we set $Z_{\alpha+1}^1 = (f_\alpha^{-1}[Y])^c$ and $X_{\alpha+1}^1 = Y$.

Similarly, since $\langle \mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \{Z_{\alpha+1}^1\} \rangle$ is not an ultrafilter, there is a set Y such that both $\mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \{Z_{\alpha+1}^1, Y\}$ and $\mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \{Z_{\alpha+1}^1, Y^c\}$ have the finite intersection property.

Now $\{f_\alpha^{-1}[Y], X_{\alpha+1}^1\} \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_{\alpha+1}^*$ has the finite intersection property (case 1) or $\{(f_\alpha^{-1}[Y])^c, X_{\alpha+1}^1\} \cup \bigcup_{n < \omega} \mathcal{V}_n \cup \mathcal{F}_{\alpha+1}^*$ has the finite intersection property (case 2).

In the first case we set $X_{\alpha+1}^2 = f_\alpha^{-1}[Y]$ and $Z_{\alpha+1}^2 = Y^c$ whilst in the second we set $X_{\alpha+1}^2 = (f_\alpha^{-1}[Y])^c$ and $Z_{\alpha+1}^2 = Y$.

Order $\mathcal{F}_{\alpha+1}^* \cup \{X_{\alpha+1}^1, X_{\alpha+1}^2\}$ in a sequence $(\tilde{F}_{\alpha+1}^n)_{n < \omega}$. Set $F_{\alpha+1}^n = \bigcap_{m \leq n} \tilde{F}_{\alpha+1}^m$ to get a decreasing sequence, and let $\mathcal{F}_{\alpha+1} = \langle \{F_{\alpha+1}^n : n < \omega\} \rangle$.

We proceed similarly with $\mathcal{E}_{\alpha+1}$.

Finally we take any ultrafilter u that extends $\bigcup_{n < \omega} \mathcal{V}_n \cup \bigcup_{\alpha < \omega_1} \mathcal{F}_\alpha$ and any ultrafilter o that extends $\bigcup_{n < \omega} \mathcal{V}_n \cup \bigcup_{\alpha < \omega_1} \mathcal{E}_\alpha$. Ultrafilters o and u have the same properties. It is easy to see that u and o are RK-incomparable.

Step 4: $\gamma = \omega_1$.

The proof is as in Theorem 3.1, but we build two sequences $(V_\alpha : \alpha < \omega_1), (W_\alpha : \alpha < \omega_1)$ of monotonic sequential cascades instead of one. We demand that they are related as follows:

$$(Z6) \text{ for each } \alpha < \omega_1 \text{ there is } V \in \int V_{\alpha+1}, \text{ such that } (f_\alpha[V])^c \in \int W_{\alpha+1}, \text{ and there is } W \in \int W_{\alpha+1}, \text{ such that } (f_\alpha[W])^c \in \int V_{\alpha+1}.$$

On the inductive step we have two cases. For a limit ordinal α there is no change in comparison to the proof of Theorem 3.1. Assume that $\alpha = \beta + 1$ is a successor ordinal. Exactly as in the original proof we find $\tilde{V}_\alpha^{\downarrow B_\alpha}$. Next, there is a change in the proof. Set $V_\alpha^* = \tilde{V}_\alpha^{\downarrow B_\alpha}$ and define its counterpart W_α^* in the same way.

We define sets $Y, Z_\alpha^1, X_\alpha^1$ for $\int V_\alpha^*$ and $\int W_\alpha^*$ exactly as we defined sets $Y, Z_{\alpha+1}^1, X_{\alpha+1}^1$ for $\langle \mathcal{F}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n \rangle$ and $\mathcal{E}_{\alpha+1}^* \cup \bigcup_{n < \omega} \mathcal{V}_n$ on step 3. This argument we repeat once again to get another sets Z_α^2, X_α^2 for $\int W_\alpha^* \cup \{Z_\alpha^1\}$ and $\int V_\alpha^* \cup \{X_\alpha^1\}$.

Let

$$V_\alpha = V_\alpha^{*\downarrow(X_\alpha^1 \cap X_\alpha^2)} \quad \text{and} \quad W_\alpha = W_\alpha^{*\downarrow(Z_\alpha^1 \cap Z_\alpha^2)}$$

Now it suffices to take $u = \bigcup_{\alpha < \omega_1} \int V_\alpha$ and $o = \bigcup_{\alpha < \omega_1} \int W_\alpha$. Condition (Z6) guarantees that u and o are *RK*-incomparable.

5 Ordinal ultrafilters and relatively minimal ultrafilters

Baumgartner in his paper [1] defined the notion of ordinal ultrafilters for an indecomposable ordinal α as J_α -ultrafilters where are ideals defined on ω_1 as follows:

$$J_\alpha = \{A \subset \omega_1 : A \text{ has order type } < \alpha\}.$$

Let J_α^* -ultrafilters be ultrafilters which are J_α -ultrafilters but are not J_β -ultrafilters for any $\beta < \alpha$.

Baumgartner ([1, Theorem 4.1] and [1, Theorem 4.2]) proved that

Theorem 5.1 $J_{\omega_2}^*$ -ultrafilters are *P*-points.

Theorem 5.2 Let $(\alpha_n)_{n < \omega}$ be a non-decreasing sequence of countable ordinal numbers. Let $\alpha = \lim_{n < \omega} \alpha_n$ and let $(X_n)_{n < \omega}$ be a partition of ω . If $(p_n)_{n < \omega}$ is a sequence of ultrafilters such that $X_n \in p_n \in J_{\omega^{\alpha_n}}^*$ and p is a *P*-point, then $\int_p p_n \in J_{\omega^\alpha}^*$.

Applying the above theorems in our proof we obtain the following:

Theorem 5.3 (CH) For each successor ordinal $1 < \alpha < \omega_1$ and for each tall *P*-ideal \mathcal{I} there are two *RK*-incomparable \mathcal{I} -ultrafilters that belongs to $J_{\omega^\alpha}^*$.

It is still an open problem whether classes $J_{\omega^\alpha}^*$ are nonempty for any limit infinite α , in any model of ZFC; an unpublished (as yet) Starosolski’s result [22] state that the class $J_{\omega^\omega}^*$ is empty (ZFC).

Let \mathbb{C} be any set of filters on a fixed set X . We say that an ultrafilter u is relatively *RK*- \mathbb{C} -minimal, whenever $u \in \mathbb{C}$ and $f(u) \approx_{RK} u$ or $f(u) \notin \mathbb{C}$ for each function $f : X \rightarrow X$.

For the *P*-hierarchy and for ordinal ultrafilters we have the following:

Theorem 5.4 ([24], reformulation of Theorems 4.4 and 4.7) Let $\alpha < \omega$. If (p_n) is a discrete sequence of relatively *RK*- P_α ($J_{\omega^\alpha}^*$)-minimal ultrafilters on ω and p is a *RK*-minimal ultrafilter, then $\int_p p_n$ is relatively *RK*- $P_{\alpha+1}$ ($J_{\omega^{\alpha+1}}^*$)-minimal.

A standard modification of the proof of Theorem 4.1 proof in virtue of Theorems 5.1 and 5.2 gives us the following:

Theorem 5.5 (CH) For each natural number n and for each tall *P*-ideal \mathcal{I} there are two *RK*-incomparable relatively *RK*- P_n ($J_{\omega^n}^*$)-minimal ultrafilters.

Relatively RK- P_α -minimal ultrafilters for successor infinite α 's do not exist (see [24]). For limit α and for classes of ordinal ultrafilters of infinite rank the question remains open.

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