

On the computational complexity of best L_1 -approximation

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Abstract. It is well known that for a given continuous function $f : [0, 1] \rightarrow \mathbb{R}$ and a number n there exists a unique polynomial $p_n \in P_n$ (polynomials of degree $\leq n$) which best L_1 -approximates f . We establish the first upper bound on the complexity of the sequence $(p_n)_{n \in \mathbb{N}}$, assuming f is polynomial-time computable. Our complexity analysis makes essential use of the modulus of uniqueness for L_1 -approximation presented in [13].

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1 Introduction

It is well known in approximation theory (cf. Jackson's theorem, [1] or [3]) that for a fixed continuous function f on the interval $[0, 1]$ (written $f \in C[0, 1]$) and a fixed $n \in \mathbb{N}$ there exists a unique element of P_n (polynomials of degree $\leq n$ with real coefficients) which best approximates f with respect to the L_1 -norm

$$\|g\|_1 := \int_0^1 |g(x)| dx.$$

More precisely, given $f \in C[0, 1]$ and $n \in \mathbb{N}$ there exists a unique polynomial $p_n \in P_n$ such that

$$\|f - p_n\|_1 \leq \|f - p\|_1,$$

for any $p \in P_n$. In this paper we analyze the computational complexity of the sequence $(p_n)_{n \in \mathbb{N}}$, assuming f is a polynomial-time computable function. Since the coefficients of each p_n are potentially real numbers, in our analysis we make use of the concepts and tools developed in *computable analysis* (a brief introduction to computable analysis is presented in Section 2).

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Our development in this paper follows the pattern used by Ko [4] in the analysis of the sequence $(p_n)_{n \in \mathbb{N}}$ of best Chebysheff approximations. The main difference in our approach is that we make a bold distinction between two steps in the analysis:

- i*) Finding a modulus of uniqueness Φ (see Section 3).
- ii*) Using Φ to compute (analyze the complexity of) the sequence $(p_n)_{n \in \mathbb{N}}$.

This distinction is important for understanding the difficulty in computing (or analysing the complexity of) the sequence $(p_n)_{n \in \mathbb{N}}$ of best L_1 -approximations: The first modulus of uniqueness for L_1 -approximation was presented very recently (cf. [13]), although uniqueness for L_1 -approximation was known for over eighty years [3].

In Section 3 we present the notion of *modulus of uniqueness* and the modulus of uniqueness for L_1 -approximation (see [13]) which is used by the algorithm described in Section 4. The general idea of the algorithm is taken from [8]. The algorithm is given together with the proof of correctness and complexity analysis.

Remark 1.1. In the following we make use of well-known classical complexity classes **P**, **NP**, **PSPACE**, **FP**, **#P** and classes in the polynomial hierarchy. Moreover, relativized complexity classes are represented by $\mathbf{C}[A]$, where **C** is a complexity class and A is an oracle, e.g. $\mathbf{P}[\mathbf{NP}] (= \Delta_2^P)$ means polynomial time with **NP** oracle. Readers not familiar with classical complexity theory are referred to e.g. [15].

2 Computable Analysis

While classical complexity theory deals with subsets of (or functions on) countable sets (e.g. \mathbb{N} , Σ^* for a finite alphabet Σ , etc.) *computable* (or *effective*) *analysis* deals mainly with operations on uncountable sets (e.g. \mathbb{R} , $C[0, 1]$, Σ^ω , etc.). In this section we give a brief introduction to Ko's approach to effective analysis as presented in [5] and [6]. Therefore, all the definitions, Theorem 2.7 and Corollary 2.8 in this subsection are taken from [5] with small changes on the notation. For other essentially equivalent approaches to computable analysis see, for instance, [16] and [17].

2.1 Computable real number

Real numbers are represented by converging sequence of dyadic approximations. (A rational number is dyadic if it has a finite binary representation. The set of dyadic numbers is represented as \mathbb{D} .) If $d \in \mathbb{D}$ has binary representation $b_m \dots b_1.e_1 \dots e_n$ ($b_i, e_j \in \{0, 1\}$) then d is said to have precision n (written $prec(d) = n$). A function $\psi : \mathbb{N} \rightarrow \mathbb{D}$ is a *Cauchy name* for a real number x if $|x - \psi(n)| \leq 2^{-n}$, for all $n \in \mathbb{N}$. A real number x is *computable* if it has a computable Cauchy name, i.e. if there exists a Turing machine M_x generating on input $n \in \mathbb{N}$ a $d_n \in \mathbb{D}$ such that d_0, d_1, \dots is a Cauchy sequence converging to x with fixed rate 2^{-n} .

For our complexity analysis we must carefully fix how inputs are given. Natural numbers will be represented by elements of the set $S_1 = \{0\}^*$, and the dyadic numbers by elements of $S_2 \subset \{\cdot, 0, 1\}^*$ in the standard way. For the sake of simplicity we shall confuse the elements of S_1 and S_2 with the numbers they represent.

If there is a Turing machine M_x which on input $n \in S_1$ outputs a string $d_n \in S_2$ such that $\psi(n) := d_n$ is a Cauchy name for x and moreover the machine M_x works in

polynomial time²⁾ we say that x is a *polynomial-time computable real number* (written $x \in \mathbf{P}_{\mathbb{R}}$). The class $\mathbf{P}_{\mathbb{R}}$ can be characterized via general left cuts as follows.

Definition 2.1. Let ψ be a Cauchy name of $x \in \mathbb{R}$. The set

$$L = \{d \in S_2 : d \leq \psi(\text{prec}(d))\}$$

is called the *left cut of x associated with ψ* (or a *general left cut of x*).

Lemma 2.2. Let $x \in \mathbb{R}$. $x \in \mathbf{P}_{\mathbb{R}}$ iff x has a general left cut in \mathbf{P} .

Proof. If $x \in \mathbb{R}$ has a polynomial-time computable Cauchy name ψ , it is clear that the general left cut associated with this ψ will be in \mathbf{P} . On the other hand, suppose L is a general left cut of x in \mathbf{P} . Given a precision $k \in S_1$, by binary search on L , we can find a d such that $|x - d| \leq 2^{-k}$. Since $L \in \mathbf{P}$, the binary search can be performed in polynomial time. \square

In this way we have reduced the problem of the complexity of a real number x to the complexity (in the sense of classical complexity theory) of a general left cut of x . The same idea can be used to define the class of *nondeterministic polynomial-time computable real number* $\mathbf{NP}_{\mathbb{R}}$, i.e. a real number x belongs to $\mathbf{NP}_{\mathbb{R}}$ if x has a general left cut in \mathbf{NP} .

Remark 2.3. In Section 4 we make use of a general left cut L of a real number x in order to compute an approximation $d \in \mathbb{D}$ of x with precision k (i.e. $|x - d| \leq 2^{-k}$). As mentioned above, this can be done in polynomial time with oracle access to L .

We shall now define computability and complexity for sequences of polynomials. Here we use Ko's notion of strong computability which is defined as follows. For simplicity we assume that the n -th polynomial has degree n .

Definition 2.4. A sequence of polynomials $(p_n)_{n \in \mathbb{N}}$ is *strongly computable* if there exists a Turing machine M which, for given $n, k \in S_1$, generates an $(n+1)$ -tuple $b_0, \dots, b_n \in S_2$ such that $|a_i - b_i| \leq 2^{-k}$, for $0 \leq i \leq n$, where $p_n(x) = a_0 + \dots + a_n x^n$.

If the Turing machine M above works in polynomial time we say that the sequence $(p_n)_{n \in \mathbb{N}}$ is *strongly polynomial-time computable*. Strong \mathbf{NP} computability is defined as follows.

Definition 2.5. A sequence of polynomials $(p_n)_{n \in \mathbb{N}}$ is *strongly \mathbf{NP} computable* if there exists a polynomial-time non-deterministic Turing machine M such that, for given $n, k \in S_1$ at least one computation path is accepting, and in each accepting path an $(n+1)$ -tuple $b_0, \dots, b_n \in S_2$ is output such that $|a_i - b_i| \leq 2^{-k}$, for $0 \leq i \leq n$, where $p_n(x) = a_0 + \dots + a_n x^n$.

This definition can be generalized, for instance, as follows:

- i) if M is a polynomial-time deterministic oracle Turing machine with an \mathbf{NP} oracle then $(p_n)_{n \in \mathbb{N}}$ is said to be strongly Δ_2^P computable;
- ii) if M is a polynomial-time non-deterministic oracle Turing machine with an \mathbf{NP} oracle then $(p_n)_{n \in \mathbb{N}}$ is said to be strongly Σ_2^P computable, etc.

²⁾The running time of a Turing machine is calculated as usual with respect to the size of the input string. It is fair to give the input n in unary since the required output $\psi(n) = d_n$ must be close to x by 2^{-n} , i.e. the string $d_n \in S_2$ will normally have precision (and consequently length) greater n .

2.2 Computable real valued functions

We now investigate computability of functions $f : \mathbb{R} \rightarrow \mathbb{R}$. In this case we are interested in estimating the time required to compute $f(x)$ for any given $x \in \mathbb{R}$ (even non-computable ones). Since we are only interested in the complexity of f , we abstract from the complexity of the input x . That is done by assuming that x is given via an *oracle machine* O_x which on input m returns in constant time a $d_m \in \mathbb{D}$ such that $|x - d_m| \leq 2^{-m}$.

Definition 2.6. A function $f : \mathbb{R} \rightarrow \mathbb{R}$ is said to be *computable* if there exists an oracle Turing machines M_f which on input n (and oracle O_x) outputs $d_n \in \mathbb{D}$ such that $|f(x) - d_n| \leq 2^{-n}$.

From the definition above, it follows that any computable function is continuous. Moreover, it can also be proved that any computable f , on a fixed compact interval $[a, b]$, has a computable modulus of uniform continuity, i.e. there exists a computable (in the sense of classical recursion theory) function $\omega_f : \mathbb{N} \rightarrow \mathbb{N}$ such that

$$\forall k \in \mathbb{N}; x, y \in [a, b] (|x - y| \leq 2^{-\omega_f(k)} \rightarrow |f(x) - f(y)| \leq 2^{-k}).$$

Theorem 2.7 ([5]). *If $f : [a, b] \rightarrow \mathbb{R}$ is computable on $[a, b]$ then*

- *f is continuous on $[a, b]$ and*
- *f has a computable modulus of uniform continuity on $[a, b]$.*

As a corollary of Theorem 2.7 we get a complete characterization of the computable functions in terms of computability of two number theoretic functions.

Corollary 2.8 ([5]). *A function $f : [a, b] \rightarrow \mathbb{R}$ is computable iff there exist two computable functions $f_r : \mathbb{D} \cap [a, b] \times \mathbb{N} \rightarrow \mathbb{D}$ and $\omega_f : \mathbb{N} \rightarrow \mathbb{N}$ such that*

- $\forall d \in \mathbb{D} \cap [a, b]; n \in \mathbb{N} (|f(d) - f_r(d, n)| \leq 2^{-n}),$
- ω_f is a modulus of uniform continuity for f on $[a, b]$.

The restriction to a compact domain here is essential since a continuous function on \mathbb{R} need not to be uniformly continuous on \mathbb{R} . Once we have this characterization of computable functions f on compact intervals via a pair of computable number theoretic functions (f_r, ω_f) we can easily define the complexity of real functions on $[a, b]$. A function $f : [a, b] \rightarrow \mathbb{R}$ is *polynomial-time computable* if $f_r \in \mathbf{FP}$ and ω_f is a polynomial.

2.3 Complexity of integration

Note that integration is an operation which takes an f (e.g. in $C[0, 1]$) and returns an $x \in \mathbb{R}$. There is no well established notion of complexity classes for such operations. The best we can do is to analyze the complexity of the real number x when the complexity of f is fixed. A result which shows that integration is a difficult operation (in the sense just explained) is due to Friedman [2] and establishes that the integral of a polynomial-time computable function is always a polynomial-time computable real number iff $\mathbf{FP} = \#\mathbf{P}$. In our analysis we abstract from the complexity of integration by the use of an oracle. If we want to take into account the complexity of integration (oracle B_f of Section 4) the best result is given in [7]:

Theorem 2.9. *If $f \in C[0, 1]$ is polynomial-time computable then the real number $\int_0^1 f(x) dx$ is in $\mathbf{PSPACE}_{\mathbb{R}}$.*

3 The modulus of uniqueness

Let U and V be Polish spaces (i.e. complete, separable metric spaces) and $G : U \times V \rightarrow \mathbb{R}$ a real-valued continuous function. The fact that $G(u, \cdot)$ has at most one root in some compact set $V_u \subseteq V$ (parametrized by u) is expressed as

$$\forall u \in U; v_1, v_2 \in V_u \left(\bigwedge_{i=1}^2 G(u, v_i) = 0 \rightarrow v_1 = v_2 \right).$$

A *modulus of uniqueness* (notion introduced in [8]) for the function G is a functional Φ such that

$$\forall u \in U; v_1, v_2 \in V_u; k \in \mathbb{N} \left(\bigwedge_{i=1}^2 |G(u, v_i)| \leq 2^{-\Phi(u, k)} \rightarrow d_V(v_1, v_2) \leq 2^{-k} \right)$$

where d_V is a metric in V . The functional Φ generally depends on the representation of u as an element of the Polish space U .

Remark 3.1. It turns out that for a broad class of (even non-constructive) proofs of uniqueness theorems one can extract moduli of uniqueness (cf. [9]). Two such extractions have been carried out in the context of approximation theory (namely for the Chebyshev approximation [8, 9] and L_1 -approximation [13]) as part of the project of proof mining (extraction of constructive content from *prima facie* ineffective proofs in mathematical analysis by means of logical analysis). For the case under study (L_1 -approximation) the modulus of uniqueness was extracted from Cheney's proof of Jackson's theorem (cf. [1, 3]). Further information about the project of proof mining and other applications can be found in [10, 11, 12].

The main application of the modulus of uniqueness $\Phi(u, k)$ of a function G is its use in the computation of a root of $G(u, \cdot)$ uniformly in u , given that a root exists (see [8]). In the rest of the paper we carry out all the details of this computation for the case of L_1 -approximation using the modulus of uniqueness presented in [13]. First, however, we explain how the general picture described above indeed applies to L_1 -approximation. We should keep in mind though that the whole procedure is very general, and by no means confined to the area of approximation theory.

3.1 Best L_1 -approximation

Let $(C[0, 1], \|\cdot\|_1)$ denote the normed linear space of all continuous functions on the interval $[0, 1]$ with metric $d(f, g) = \|f - g\|_1$. The *distance* of an element $f \in C[0, 1]$ from the subspace P_n (polynomials of degree $\leq n$) with respect to the L_1 -norm is defined as $dist_1(f, P_n) := \inf_{p \in P_n} \|f - p\|_1$. Therefore, an element p^* is a *best L_1 -approximation of f from P_n* if $\|f - p^*\|_1 = dist_1(f, P_n)$. If we define a function $G : (C[0, 1], \|\cdot\|_1) \times P_n \rightarrow \mathbb{R}$ as $G(f, p) := \|f - p\|_1 - dist_1(f, P_n)$ it is clear that $G(f, p^*) = 0$, i.e. the best L_1 -approximations of f from P_n are precisely the roots of the function $G(f, \cdot)$.

We have not argued so far that any $f \in C[0, 1]$ indeed has a best L_1 -approximation. This can be done in the following way. Let $K_{f,n} := \{p \in P_n : \|p\|_1 \leq 2\|f\|_1\}$. Any best L_1 -approximation of f from $K_{f,n}$ is also a best L_1 -approximation of f from P_n (cf. Lemma 3.3). Since $K_{f,n}$ is a bounded and closed subset of the finite-dimensional subspace P_n of the normed linear space $(C[0, 1], \|\cdot\|_1)$, it is compact. The existence of a best L_1 -approximation then follows from the fact that G is continuous and therefore attains its infimum in the compact set $K_{f,n}$. As shown in [3], the best L_1 -approximation of any $f \in C[0, 1]$ from P_n is in fact unique (henceforth called p_n).

A *modulus of uniqueness for L_1 -approximation* is a functional Φ such that, for all f in $C[0, 1]$,

$$\forall n \in \mathbb{N}; p_1, p_2 \in K_{f,n}; k \in \mathbb{N} \left(\bigwedge_{i=1}^2 (|G(f, p_i)| \leq 2^{-\Phi(f,n,k)}) \rightarrow \|p_1 - p_2\|_1 \leq 2^{-k} \right).$$

We note that a modulus for the space $K_{f,n}$ can be easily extended to a modulus for the whole space P_n .

As pointed out in Remark 3.1, one can try to extract from a proof of the uniqueness of best L_1 -approximation such a functional Φ . Such extraction is carried for Cheney's proof (cf. [1]) in [13] where a modulus of uniqueness Φ for L_1 -approximation is obtained. The logical meta-theorems which guarantee the extraction of moduli of uniqueness, however, can only be applied when the function G (whose uniqueness of the root has been proved) is explicitly definable by a term of the underlying formal system and in particular continuous as a function on a Polish space. Since the space $(C[0, 1], \|\cdot\|_1)$ is not complete, for the extraction of Φ we use the Polish space $U = (C[0, 1], \|\cdot\|_\infty)$ instead. The functional G being continuous w.r.t. the uniform topology in $C[0, 1]$ follows from the fact that $\|\cdot\|_1$ is continuous in $(C[0, 1], \|\cdot\|_\infty)$ (which follows from $\|f\|_1 \leq \|f\|_\infty$).³⁾

As already mentioned, it is important that the functional Φ will in general depend on f through its representation as an element of $(C[0, 1], \|\cdot\|_\infty)$. Such f is represented as a pair (f_r, ω_f) , where the first element is the restriction of f to the dyadic numbers and ω_f is the modulus of uniform continuity of f (cf. Corollary 2.8).

3.2 Modulus of uniqueness for L_1 -approximation

As mentioned above, the computation of the sequence⁴⁾ $(p_n)_{n \in \mathbb{N}}$ for a given function $f \in C[0, 1]$ makes essential use of the modulus of uniqueness for best L_1 -approximation. We present the modulus (taken from [13], cf. Remark 3.1) in this section.

Theorem 3.2 ([13]). *Let*

$$\Phi(\omega_f, n, k) := 2k + (4n^2 + 10n + 18) \log(n+2) + \omega_f(k + (2n^2 + 5n + 6) \log(n+2)).$$

The functional Φ is a modulus of uniqueness for the best L_1 -approximation of any $f \in C[0, 1]$, having modulus of uniform continuity ω_f , from P_n , i.e. for all $n \in \mathbb{N}$, $p_1, p_2 \in P_n$,

$$\forall k \in \mathbb{N} \left(\bigwedge_{i=1}^2 \|f - p_i\|_1 - \text{dist}_1(\omega_f, P_n) \leq 2^{-\Phi(\omega_f, n, k)} \rightarrow \|p_1 - p_2\|_1 \leq 2^{-k} \right).$$

Throughout the rest of the article, Φ will denote the modulus of uniqueness defined in Theorem 3.2.

It is important to notice that (besides being independent of p_1 and p_2) the modulus of uniqueness Φ depends on f only through its modulus of uniform continuity ω_f and does not depend on any particular value of the function f . Moreover, the above

³⁾The continuity of G (w.r.t. the uniform topology in $C[0, 1]$) also follows from the fact that G is primitive recursively definable in (f_r, ω_f) and n (cf. [8]). Actually, this is the fact which guarantees the applicability of the meta-theorems of [8] (cf. Remark 3.1) to Cheney's proof of Jackson's theorem yielding the results of [13].

⁴⁾Throughout the rest of the paper p_n will denote the best L_1 -approximation of $f \in C[0, 1]$ from P_n , for a fixed f .

modulus has optimal k -dependency (as follows from [14]). In the following sections we will make use of some facts about the L_1 -norm which we present here. For the rest of this section we let f and n be fixed.

Lemma 3.3. *Let $K_{f,n} := \{p \in P_n : \|p\|_1 \leq 2\|f\|_1\}$. The zero polynomial (which belongs to $K_{f,n}$) L_1 -approximates f better than any $p \notin K_{f,n}$.*

Proof. Let $p \notin K_{f,n}$ be fixed, i.e. $\|p\|_1 > 2\|f\|_1$. Therefore, by the triangle inequality for the L_1 -norm, $\|f - p\|_1 > \|f\|_1$. \square

As a consequence of Lemma 3.3 we get that $\text{dist}_1(f, P_n) = \text{dist}_1(f, K_{f,n})$. Therefore, any polynomial p^* such that $\|f - p^*\|_1 = \text{dist}_1(f, K_{f,n})$ is a best L_1 -approximation of f from P_n .

Markov's inequality states that, for any given $p \in P_n$, $\|p'\|_\infty \leq 2n^2\|p\|_\infty$, where p' denotes the first derivative of p .

Lemma 3.4. *If $p \in P_n$ then $\|p\|_\infty \leq 2(n+1)^2\|p\|_1$.*

Proof. Let $p(x) = a_0 + a_1x + \dots + a_nx^n$. Define $\tilde{p}(x) := a_0x + \frac{a_1}{2}x^2 + \dots + \frac{a_n}{n+1}x^{n+1}$. It is clear that for any $x \in [0, 1]$,

$$|\tilde{p}(x)| = \left| \int_0^x p(y)dy \right| \leq \int_0^x |p(y)|dy \leq \|p\|_1,$$

therefore, $\|\tilde{p}\|_\infty \leq \|p\|_1$. Since the derivative of \tilde{p} equals p , by Markov's inequality, we have $\|p\|_\infty \leq 2(n+1)^2\|p\|_1$. \square

Lemma 3.5. *Let $p(x) = a_0 + a_1x + \dots + a_nx^n$ be an element of P_n and $M \in \mathbb{R}_+^*$. If $\|p\|_1 \leq M$ then $|a_i| \leq 4(n+1)^{2(i+1)}M$, $0 \leq i \leq n$.*

Proof. Let $p(x) = a_0 + a_1x + \dots + a_nx^n$ and assume $\|p\|_1 \leq M$. By Lemma 3.4 we have, (1) $\|p\|_\infty \leq 2(n+1)^2M$. Let $p^{(i)}$ denote the i -th derivative of p . It is clear that $a_i = \frac{p^{(i)}(0)}{i!}$. By applying Markov's inequality i times and by (1) we have (2) $\|p^{(i)}\|_\infty \leq 2^{i+1}(n+1)^{2(i+1)}M$, and therefore,

$$|a_i| = \frac{|p^{(i)}(0)|}{i!} \stackrel{(2)}{\leq} \frac{2^{i+1}(n+1)^{2(i+1)}M}{i!} \leq 4(n+1)^{2(i+1)}M.$$

\square

Let $p(x) = a_0 + a_1x + \dots + a_nx^n$ be any polynomial in $K_{f,n}$ (which, by Lemma 3.3, includes p_n). By the definition of $K_{f,n}$ and Lemma 3.5 we have that $|a_i| \leq 8(n+1)^{2(i+1)}M$, for $0 \leq i \leq n$, where $M \in \mathbb{D}$ is an upper bound on $\|f\|_1$. Since we will use this bound on the coefficients of the elements of $K_{f,n}$ we give it a name, $C_{n,i} := 8(n+1)^{2(i+1)}M$. We will also need a function $\Theta(n, k)$ such that for polynomials $p(x) = a_0 + a_1x + \dots + a_nx^n$,

$$(1) \quad \|p\|_1 \leq 2^{-\Theta(n,k)} \rightarrow \bigwedge_{i=0}^n |a_i| \leq 2^{-k},$$

which can be easily derived from Lemma 3.5, for instance $\Theta(n, k) := 2(n+1)\log(n+1) + k + 2$.

Definition 3.6. A set of elements $N_{n,k} \subset P_n$ is called a (n, k) -net (for $K_{f,n}$) if for any element $\tilde{p} \in K_{f,n}$ there exists an element $p \in N_{n,k}$ which is $(k+1)$ -close to \tilde{p} , i.e. $\|p - \tilde{p}\|_1 \leq 2^{-k-1}$.

We want to choose a net based on the representation of the dyadic numbers so that we have control over the precision of the elements.

Lemma 3.7. *Let $C_{n,k,i} \equiv \{a \in S_2 : \text{prec}(a) \leq k + \log(\frac{n+1}{i+1}) \text{ and } |a| \leq C_{n,i}\}$. The space of polynomials $N_{n,k} \equiv \{a_0 + \dots + a_n x^n : a_i \in C_{n,k,i}, 0 \leq i \leq n\}$ is a (n, k) -net.*

Proof. Take an arbitrary element of $K_{f,n}$, say $\tilde{p}(x) = b_0 + \dots + b_n x^n$. In the way we have chosen the coefficients of the elements of $N_{n,k}$ we are able to find $p(x) = a_0 + \dots + a_n x^n \in N_{n,k}$ such that $|a_i - b_i| \leq \frac{2^{-k-1}(i+1)}{n+1}$, $0 \leq i \leq n$, i.e.

$$\begin{aligned} \|p - \tilde{p}\|_1 &= \|(a_0 - b_0) + \dots + (a_n - b_n)x^n\|_1 \\ &= \int_0^1 |(a_0 - b_0) + \dots + (a_n - b_n)x^n| dx \\ &\leq |a_0 - b_0| + \dots + \frac{|a_{n-1} - b_{n-1}|}{n} + \frac{|a_n - b_n|}{n+1} \\ &\leq \frac{2^{-k-1}}{n+1} + \dots + \frac{2^{-k-1}n}{n(n+1)} + \frac{2^{-k-1}(n+1)}{(n+1)(n+1)} = 2^{-k-1}. \end{aligned}$$

□

4 The complexity of $(p_n)_{n \in \mathbb{N}}$

For the rest of the article f denotes a fixed polynomial-time computable function. As mentioned before, we will analyze the complexity of the sequence $(p_n)_{n \in \mathbb{N}}$ relative to the complexity of integration. Therefore, in the following we will make use of an oracle B_f which is supposed to answer queries about integration.

In the case of the best Chebyshev approximation the value $\text{dist}_\infty(f, P_n)$ can be computed beforehand, and that value can be used in the computation of the best Chebyshev approximation of f from P_n . For the sake of comparison between the two cases of Chebyshev and L_1 -approximation, in the first part of this section we first analyze the complexity of $(p_n)_{n \in \mathbb{N}}$ relative to an oracle A_f for $\text{dist}_1(f, P_n)$ (as done in [4] for the Chebyshev case). Then, in the last section we present an algorithm which does not need the values of $\text{dist}_1(f, P_n)$ in advance. From this algorithm we obtain a complexity upper bound for the sequence $(p_n)_{n \in \mathbb{N}}$ relative solely to the oracle B_f .

4.1 Using oracle A_f for $\text{dist}_1(f, P_n)$

Let L_n be a general left cut of the real number $\text{dist}_1(f, P_n)$. The oracle A_f decides the set

$$\{\langle n, d \rangle : d \in L_n\}$$

where $n \in S_1$ and $d \in S_2$. The second oracle B_f answers queries about general left cuts of the real numbers $\|f - p\|_1$, uniformly in p . More precisely, let $L_{n,p}$ denote a general left cut of the real number $\|f - p\|_1$. The oracle B_f decides the set ⁵⁾

$$\{\langle n, p, e \rangle : e \in L_{n,p}\}$$

where $n \in S_1$ and $a_0, \dots, a_n, e \in S_2$. As done in [4] for the Chebyshev case, we first show how to decide a certain set \mathcal{G}_f using the oracles A_f and B_f . (The oracles are used as mentioned in Remark 2.3.)

⁵⁾If $p(x) = a_0 + \dots + a_n x^n \in P_n$ we also write, for convenience, $\langle \dots, p, \dots \rangle$ instead of $\langle \dots, a_0, \dots, a_n, \dots \rangle$.

$\langle n, k, a_0, \dots, a_n \rangle \in \mathcal{G}_f; n, k \in S_1$ and $a_0, \dots, a_n \in S_2$
Oracles: A_f, B_f
Let $s := \Phi(\omega_f, n, \Theta(n, k))$;
If $p \notin N_{n, s+1}$ output <i>no</i> ; (cf. Lemma 3.7)
Compute $\text{dist}_1(f, P_n)$ with precision $s + 3$ (let the resulting value be $d \in S_2$);
Compute $\ f - p\ _1$ with precision $s + 3$ (let the resulting value be $e \in S_2$);
Output <i>yes</i> iff $ d - e \leq 2^{-s-1}$.

Theorem 4.1. *Let $f \in C[0, 1]$ be polynomial-time computable and ω_f a polynomial modulus of uniform continuity of f . There exists a multi-valued function α_f which on input n and k ($\in S_1$) produces a non-empty set of $(n + 1)$ -tuples ($\in S_2^{n+1}$) (representing elements of P_n) such that for each $\langle a_0, \dots, a_n \rangle \in \alpha_f(n, k)$,*

- (i) for $0 \leq i \leq n$, $\text{prec}(a_i) \leq \Phi(\omega_f, n, \Theta(n, k)) + \log(\frac{n+1}{i+1}) + 1$;
- (ii) for $0 \leq i \leq n$, $|b_i - a_i| \leq 2^{-k}$ (where $p_n(x) = b_0 + \dots + b_n x^n$).

Moreover,

- (iii) $\text{Graph}(\alpha_f) \in \mathbf{P}[A_f, B_f]$.

Proof. Let s be a shorthand for $\Phi(\omega_f, n, \Theta(n, k))$. We define α_f to be the function that maps each $n, k \in S_1$ to all $(n + 1)$ -tuples $\langle a_0, \dots, a_n \rangle \in S_2^{n+1}$ such that $\langle n, k, a_0, \dots, a_n \rangle \in \mathcal{G}_f$, i.e. α_f is the function whose graph is \mathcal{G}_f . We first have to argue that α_f is total. Let n, k be fixed. By Lemma 3.7 and the fact that $p_n \in K_{f, n}$, there exists a $p \in N_{n, s+1}$ such that $\|p_n - p\|_1 \leq 2^{-s-2}$. By the triangle inequality for the L_1 -norm we get $\|f - p\|_1 - \text{dist}_1(f, P_n) \leq 2^{-s-2}$. By the computation of d and e we have

$$|d - \text{dist}_1(f, P_n)| \leq 2^{-s-3} \quad \text{and} \quad |e - \|f - p\|_1| \leq 2^{-s-3},$$

which implies $|d - e| \leq 2^{-s-1}$, and the input p is accepted.

- (i) Immediate consequence of the definition of a net (3.6) and the definition of α_f .
- (ii) Suppose $\langle a_0, \dots, a_n \rangle \in \alpha_f(n, k)$ (let $p(x) := \sum_{i=0}^n a_i x^i$). This implies $|e - d| \leq 2^{-s-1}$, d and e as above. We then obtain $\|f - p\|_1 - \text{dist}_1(f, P_n) \leq 2^{-s}$. By Theorem 3.2 we get $\|p_n - p\|_1 \leq 2^{-\Theta(n, k)}$. And by (1) of Section 3.2, $|b_i - a_i| \leq 2^{-k}$, for $0 \leq i \leq n$, where $p_n(x) = b_0 + \dots + b_n x^n$.

(iii) Since ω_f is a polynomial (cf. Section 2.2), $\Phi(\omega_f, n, \Theta(n, k))$ is also a polynomial and the procedure \mathcal{G}_f above can be performed in polynomial time (in A_f and B_f). Notice also that since f is fixed the net $N_{n, s+1}$ has size exponential on the input. \square

Corollary 4.2. *Let $f \in C[0, 1]$ be polynomial-time computable. The sequence of best L_1 -approximation $(p_n)_{n \in \mathbb{N}}$ is strongly $\mathbf{NP}[A_f, B_f]$ computable.*

Proof. Let $n, k \in S_1$ be given. We define a non-deterministic oracle Turing machine M as follows. The oracles of M will be the sets A_f and B_f . Each computation path of M takes into consideration one element $p \in N_{n, s+1}$ (s as above). The machine (in each path) decides whether $\langle n, k, p \rangle$ belongs to \mathcal{G}_f (i.e. $\text{Graph}(\alpha_f)$) or not. If yes then the path is accepted and the machine outputs p . Note that, by Theorem 4.1 (i), the size of p is a polynomial on n and k . \square

We obtain, for instance, that if A_f and B_f are in \mathbf{NP} then $\text{Graph}(\alpha_f) \in \Delta_2^P$ and $(p_n)_{n \in \mathbb{N}}$ is strongly Σ_2^P computable.

Remark 4.3. Note that the set

$$L := \{\langle n, d \rangle \in S_1 \times S_2 : \forall p \in N_{n,k} (d \leq \|f - p\|_1^{k+1})\}$$

where the k above abbreviates $\text{prec}(d)$ and $\|f - p\|_1^{k+1}$ is a $(k+1)$ -approximation of the value $\|f - p\|_1$, does the job of the oracle A_f . In other words, the set

$$L_n := \{d \in S_2 : \langle n, d \rangle \in L\}$$

is a general left cut of $\text{dist}_1(f, P_n)$. An algorithm for deciding the complement of L can be given as follows. On input $d \in S_2$ (with precision k) and $n \in S_1$, non-deterministically choose a polynomial from $N_{n,k}$ and compute the value of $\|f - p\|_1$ with precision $k+1$ (say e). Then, answer *yes* (i.e. $\langle n, d \rangle \notin L$) when $d > e$. In this way, using the oracle B_f for integration, we obtain an upper bound $\mathbf{coNP}[B_f]$ on the complexity of the oracle A_f . Note also that the above procedure does not make use of the fact that the best L_1 -approximation of f is unique.

4.2 Absolute complexity of $(p_n)_{n \in \mathbb{N}}$

In this section we present another algorithm which only uses the oracle B_f for a general left cuts of $\|f - p\|_1$ (and does not make use of the oracle A_f). We first use B_f to define the set $\tilde{\mathcal{G}}_f$,

$\langle n, k, p, \tilde{p} \rangle \in \tilde{\mathcal{G}}_f; n, k \in S_1 \text{ and } p, \tilde{p} \in S_2^{n+1}$
Oracles: B_f
Let $s := \Phi(\omega_f, n, \Theta(n, k))$; If $p \notin N_{n, s+1}$ output <i>no</i> ; (cf. Lemma 3.7) Compute $\ f - p\ _1$ with precision $s+3$ (let the resulting value be $e \in S_2$); Compute $\ f - \tilde{p}\ _1$ with precision $s+3$ (let the resulting value be $\tilde{e} \in S_2$); Output <i>yes</i> iff $e \leq \tilde{e} + 2^{-s-1}$.

Note that deciding membership for the set $\tilde{\mathcal{G}}_f$ can be done in polynomial-time using the oracle B_f , i.e. $\tilde{\mathcal{G}}_f \in \mathbf{P}[B_f]$. Let

$$\mathcal{G}_f := \{\langle n, k, p \rangle : \forall \tilde{p} \in N_{n, \Phi(\omega_f, n, \Theta(n, k)) + 1} (\langle n, k, p, \tilde{p} \rangle \in \tilde{\mathcal{G}}_f)\}.$$

Theorem 4.4. *Let $f \in C[0, 1]$ be polynomial-time computable and ω_f a polynomial modulus of uniform continuity of f . There exists a multi-valued function β_f which on input n and k ($\in S_1$) produces a non-empty set of $(n+1)$ -tuples ($\in S_2^{n+1}$) (representing elements of P_n) such that for each $\langle a_0, \dots, a_n \rangle \in \beta_f(n, k)$,*

- (i) for $0 \leq i \leq n$, $\text{prec}(a_i) \leq \Phi(\omega_f, n, \Theta(n, k)) + \log(\frac{n+1}{i+1}) + 1$;
- (ii) for $0 \leq i \leq n$, $|b_i - a_i| \leq 2^{-k}$ (where $p_n(x) = b_0 + \dots + b_n x^n$).

Moreover,

- (iii) $\text{Graph}(\beta_f) \in \mathbf{coNP}[B_f]$.

Proof. Let s be a shorthand for $\Phi(\omega_f, n, \Theta(n, k))$. We define β_f to be the function that maps each $n, k \in S_1$ to all $(n+1)$ -tuples $\langle a_0, \dots, a_n \rangle \in S_2^{n+1}$ such that

$\langle n, k, a_0, \dots, a_n \rangle \in \mathcal{G}_f$, i.e. β_f is the function whose graph is \mathcal{G}_f . First we have to prove that β_f is total. Let p be an element of $N_{n,s+1}$ such that $\|f - p\|_1 \leq \min_{\tilde{p} \in N_{n,s+1}} \|f - \tilde{p}\|_1$. Then, clearly, $\langle n, k, p, \tilde{p} \rangle \in \tilde{\mathcal{G}}$, for all $\tilde{p} \in N_{n,s+1}$. Therefore, $\langle n, k, p \rangle \in \text{Graph}(\beta_f)$.

(i) Immediate consequence of the definition of a net (3.6) and the definition of β_f .

(ii) Assume $\langle n, k, p, \tilde{p} \rangle \in \tilde{\mathcal{G}}$, for all $\tilde{p} \in N_{n,s+1}$. That implies

$$(*) \quad \forall \tilde{p} \in N_{n,s+1} (\|f - p\|_1 \leq \|f - \tilde{p}\|_1 + 3 \cdot 2^{-s-2}).$$

Since $p_n \in K_{f,n}$ (and by the definition of (n, k) -net) there is an element $\tilde{p} \in N_{n,s+1}$ such that $\|p_n - \tilde{p}\|_1 \leq 2^{-s-2}$ and by triangle inequality we get, $\|f - \tilde{p}\|_1 \leq \text{dist}(f, P_n) + 2^{-s-2}$. By (*) we get, $\|f - p\|_1 \leq \text{dist}_1(f, P_n) + 2^{-s}$. Hence, by Theorem 3.2 we have $\|p_n - p\|_1 \leq 2^{-\Theta(n,k)}$. And by (1) of Section 3.2 $|b_i - a_i| \leq 2^{-k}$, for $0 \leq i \leq n$, where $p_n(x) = b_0 + \dots + b_n x^n$.

(iii) Similar to Theorem 4.1 (iii). \square

Corollary 4.5. *Let $f \in C[0, 1]$ be polynomial-time computable, then the sequence $(p_n)_{n \in \mathbb{N}}$ is strongly **NP** computable in $\mathbf{NP}[B_f]$.*

Proof. Let $n, k \in S_1$ be given. We define a non-deterministic oracle Turing machine M as follows. The oracle of M will be the set $\text{Graph}(\beta_f)$ (which is in $\mathbf{coNP}[B_f]$). Each computation path of M takes into consideration one element $p \in N_{n,s+1}$ (s as above). The machine (in each path) decides whether $\langle n, k, p \rangle$ belongs to $\text{Graph}(\beta_f)$ or not. If yes then the path is accepted and the machine outputs p . We also note that, as our oracle we can as well use the complement of the set $\text{Graph}(\beta_f)$. \square

5 Conclusion

We have established the first complexity upper bound on the sequence $(p_n)_{n \in \mathbb{N}}$ of best L_1 -approximations of a polynomial time computable $f \in C[0, 1]$. For the complexity analysis we made use of two oracles A_f and B_f solving generalized left cuts of $\text{dist}_1(f, P_n)$ and $\|f - p\|_1$ respectively in two different ways:

- 1) *Relative to both oracles A_f and B_f .* We have shown that the sequence $(p_n)_{n \in \mathbb{N}}$ is strongly **NP** computable relative to those oracles. Since the oracle A_f has a trivial $\mathbf{coNP}[B_f]$ upper bound (cf. Remark 4.3) we obtain that $(p_n)_{n \in \mathbb{N}}$ is strongly $\mathbf{NP}[\mathbf{NP}[B_f], B_f]$ computable, i.e. strongly **NP** computable relative to an $\mathbf{NP}[B_f]$ oracle.
- 2) *Relative to oracle B_f .* We have also analyzed the complexity of $(p_n)_{n \in \mathbb{N}}$ without first computing the value $\text{dist}_1(f, P_n)$. In this case we concluded directly that the sequence $(p_n)_{n \in \mathbb{N}}$ is strongly **NP** computable relative to an $\mathbf{NP}[B_f]$ oracle.

One should note that our complexity analysis strongly relies on the modulus of uniqueness for L_1 -approximation, first presented in [13].

In [4] a relation is established between the sequence $(p_n)_{n \in \mathbb{N}}$ (of best Chebyshev approximations of a polynomial time computable $f \in C[0, 1]$) and separation of well known complexity classes. It is not known whether similar results also hold in the case under study of L_1 -approximation.

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