

This is a repository copy of *Natural law, judgement, and toleration in Locke*.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/110354/>

Version: Accepted Version

Article:

Stanton, Timothy orcid.org/0000-0002-8282-9570 (2017) *Natural law, judgement, and toleration in Locke*. *European Journal of Political Theory*. pp. 128-135. ISSN 1741-2730

<https://doi.org/10.1177/1474885116653558>

Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



Natural Law, Judgement, and Toleration in Locke

| | |
|------------------|---|
| Journal: | <i>European Journal of Political Theory</i> |
| Manuscript ID | Draft |
| Manuscript Type: | Review Article |
| Keywords: | Locke, natural law, toleration, judgement, conscience |
| Abstract: | Locke's views on toleration and natural law have recently received a 'reassessment' at the hands of John William Tate. This article demonstrates some of the many and various ways in which Tate has mangled Locke's positions and misconstrued the views of interpreters of Locke (myself included) whose interpretations he finds uncongenial. It finds that there are no textual grounds for Tate's claims and invites readers to reassess whether and how far they ought to be taken seriously. |
| | |

SCHOLARONE™
Manuscripts

Natural Law, Judgement and Toleration in Locke

John Tate has used the pages of this journal to renew the clamour he raised against the present writer in 2012 on the subject of natural law and toleration in Locke. His article contains some things which are not new, and some things which are not true, and one regrets to say that these together comprise most of its key claims as stated by Tate himself.

Tate asserts that emphasising natural law in Locke is 'mistaken' and that 'it misrepresents and distorts far more than it illuminates of his political philosophy'. It is 'mistaken' (i) because it is 'based on a misreading of Locke's position on natural law itself' and (ii) because it neglects the 'normative' as well as 'practical imperatives' upon which Locke relied in moving from an early opposition to toleration in the texts printed as *Two Tracts on Government* to 'the prescriptive conclusions of his later political writings'. What evidence is adduced in support of these assertions?

Tate begins with Bou-Habib's claim, attributed to Harris, that 'it was Locke's affirmation of natural law, in the wake of the *Two Tracts*, which allowed him to move beyond those texts and affirm toleration'. But, Tate notes triumphantly, this claim is based on 'a fundamental error of chronology', for 'natural law was something that Locke openly affirmed in the *Two Tracts* itself [sic], with all the normative commitment and prescriptive force which characterised his later invocations of it'. Quite so: this is the point Harris himself was making. His point was not that Locke suddenly became conscious of natural law in 1663/4, having been ignorant of it in 1660, but that in 1663/4 he developed an account of the same adequate to be assured of just what it was – and what it was not – thus helping him out of the position he had occupied in 1660 and had sensed was weak in 1661 (See Harris 1998: 44-107, esp. 67-73, 93-105). If there is a fundamental error, it is one of understanding, not chronology, committed by Tate, not Harris.

Tate then turns to my 'view of natural law' in *Two Tracts* and thereafter. Stanton [he states] believes that from 1663/4 Locke shifted to seeing natural law as an external source of divine direction. Because its contents could be known with certainty by human reason, all human agents were now able 'to agree on appropriate limits within civil society, concerning liberty and authority', and to know with certainty when these limits were being breached. (I said no such thing, for reasons that will become apparent). But Stanton does not see that Locke explicitly denied that natural law was 'legislated by conscience' in *Two Tracts* and fails to understand that it cannot by any means provide adequate direction to all. Natural law is a source of 'conflict rather than "harmony"' (not a term I ever used in this connexion). Dispute is inevitable because some individuals 'are less conscientious in their use of reason (a question-begging phrase) or are swayed by interest and appetite in their interpretation of natural law' and there is no agreed judge capable of settling this dispute because the law is unwritten. Besides which, Locke's natural law contains what Gerald Dworkin termed non-neutral principles – principles which would be endorsed

1
2
3 by all at the level of general principle but necessarily generate contention when
4 applied to particular cases. Accordingly it is 'of no use in the practical
5 management of political authority, or the reconciliation of individual liberties
6 with that authority'. Stanton, he repeats, misses all these points (Tate 2015: 3-6).
7 Let me address them in turn.
8

9 Tate's assertion that I fail to see that Locke explicitly denied that natural
10 law was 'legislated by conscience' is puzzling, because I state that Locke
11 'discussed conscience under the rubric of private law', not natural law, in an
12 essay to which Tate proffered a 'Response' (Stanton 2011: 17; Tate 2012 and see
13 Stanton 2012). Indeed I found it relatively easy to see: I simply read what Locke
14 wrote. What is harder to see – what, as I take it, Locke saw even if Tate
15 cannot – is that this position was difficult to accommodate stably within the
16 nexus of ideas bequeathed to him by his predecessors.
17

18 Conscience, they agreed, provided direction in matters of external
19 practice. The importance of this direction lay in its specifying the acceptability
20 to God of particular actions. The difficulty is that the notion that conscience
21 provides direction is ambiguous between providing laws and providing
22 judgements about them. This difficulty is evident in Robert Sanderson, whose
23 arguments Locke used in *Two Tracts*, who wrote of people having 'right reason,
24 imprinted in their hearts... which is as truly the Law and Word of God, as is
25 that which printed in our Bibles' (Sanderson 1663: 64). The effect of this position
26 is to elide reason, law, and judgement so that, as Samuel Parker later put it,
27 'whatsoever some men affirm or fancy to be written upon their Hearts... may
28 be as wildly pleaded in all cases [for] Principles of natural Reason and
29 Conscience, as the Spirit of God has been' (Parker 1681: 5). For Parker, this
30 notion of right reason was of a piece with the Quaker doctrine of the inner light.
31 Locke seems to have agreed.¹ Writing to a correspondent in 1659, he had
32 observed,
33
34
35
36

37 'tis Phansye that rules us all under the title of reason...every one
38 thinkes he alone imbraces this Juno, whilst others graspe noething
39 but clouds, we are all Quakers here and there is not a man but thinks
40 he alone hath this light within and all besids stumble in the darke,
41
42
43

44 adding that 'every ones Recta ratio is but the traverses of his owne steps' (Locke
45 1976-, i: 123). God, it seemed, had not been so sparing to men as to give them
46 principles of natural law and left it to Professor Dworkin to make them non-
47 neutral.
48

49 Locke's response to this difficulty was to disambiguate reason, law, and
50

51
52
53 ¹ One of the many merits of Harris's treatment of these matters is that it offers an *explanation* of
54 how Locke came to recognise and attempted to address this problem. To suggest that his
55 position reduces to the view that Locke's 'personal experience' of the Quakers was decisive
56 (Tate 2015: 2) is to miss the point entirely. The Quakers brought to light a profound difficulty
57 with the scholastic conceptions Locke had inherited. See (Locke 1976-, i: 17): 'Aristotle and
58 Scotus cannot secure us from lyes and deceivers whereof we have an other experiment in the
59 quakers'.
60

1
2
3 judgement. Part of this response was to treat natural law not as innate
4 principles but rather as an external source of direction, cognoscible via sense
5 and reason. Tate apparently thinks that the empiricist thesis that knowledge of
6 natural law is in principle available to all by this route commits me to the thesis
7 that such knowledge *eo ipso* terminates all disagreement and discord. But this is
8 an absurd *non sequitur* that comes from his having confused what Locke, from
9 1663/4 onwards, was careful to distinguish. In *Essays on the Law of Nature* Locke
10 states that the fact that rational agents disagree indicates that they all suppose a
11 law but differ in what it prescribes on any given occasion. For 'if there were no
12 law of nature which reason declares we must show ourselves obedient to, how
13 does it come to pass that the conscience of people who recognize the precepts of
14 no other law whereby they are either guided or bound in duty, nevertheless
15 passes judgement upon their life and conduct and either acquits or declares
16 guilty...seeing that without some law no judgement can be pronounced?'
17 (Locke 1954: 115, 117). So there is law, and there is judgement.

18
19 Reason and sense disclose this law, its content, and its binding force. Its
20 injunctions, as I wrote, require actions of one sort rather than another—dutiful
21 actions. Tate interprets this (and similar statements from Harris) as a claim that
22 natural law discloses with finality what is to be done on every occasion,
23 presumably because I state that reason 'discovers' and 'discloses' our duties
24 under natural law and that reason is 'univocal' and 'infallible' (Tate 2015: 4).
25 But in the transition from general duties to the particular actions required to
26 fulfil them there is obviously a gap. The injunction to preserve oneself is one
27 thing—a duty in natural law—but identifying which particular actions are
28 requisite to fulfil the duty requires something more, which includes attention to
29 the situation in which one finds oneself. The 'something more' is judgement.²

30
31 The model of conscience Locke had inherited ran law and judgement
32 together.³ Locke found it necessary to distinguish the two emphatically. Thus
33 he would insist that 'Conscience is not y^e law of Nature but judging by y^t w^{ch} is
34 taken to be y^e law', that 'conscience dictates not but acquits and condemns
35 upon the dictates of a superior power' and that those dictates were not
36 imprinted in the mind but acquired from without ([Anon] 1699: 5, 10, 11).⁴
37 Judging is the mind's way of bridging the gap between the general and the
38 particular by 'putting *Ideas* together...where their certain Agreement or
39 Disagreement is not perceived, but *presumed*' (Locke 1975: 653). Judgement,
40 even right judgement, is thus to be contrasted with demonstration, in which the
41 agreement or disagreement of ideas is 'plainly and clearly perceived' and there
42 is '*Knowledge*' (Locke 1975: 532). The point made by Harris and myself was that
43 Locke treats natural law as an object of knowledge.

44
45 It follows that 'we are always in a position to understand this law and its

46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

²See e.g. Tate (2015: 12, note 2) for incomprehension of this difference.

³See Sanderson (1660: 32, 29), for conscience as law written in the heart (*Notiones et ipsius Naturae dictamine cordibus humanis inscriptae*), and judge of particular actions (*Actus particulares Proprii*).

⁴Notes transcribed from Locke's copy, held at Yale University, Beinecke Rare Book and Manuscript Library, call number K8 L79 Zz 697 Pb. Authorship of this anonymous work traditionally has been attributed to Thomas Burnet.

1
2
3 requirements', that natural law 'speaks universally', that its contents can be
4 known with 'certainty', and that we are 'able to evaluate our political
5 society...and to identify *subsequently* instances of power without right' (Tate
6 2015: 4, my emphasis), for, as Locke says, 'without some law no judgement can
7 be pronounced'. Nowhere did I say that judgement was unnecessary – on the
8 contrary its necessity is implicit in what I said. But I was concerned with
9 something else. Nothing in what I said 'requires that we know, indubitably,
10 when 'God's fixed purposes' have been violated' (Tate 2015: 12, note 8).⁵ That is
11 a matter of judgement, not knowledge, and judgement, unlike knowledge,
12 admits of great variety about the same matter. Nothing in what I said is
13 inconsistent with my declaration that God alone possesses knowledge in the
14 matter (*ibid*), for God is omniscient (Locke 1975: 621). Readers will, I hope,
15 agree that human beings are not. But they must judge.

16
17
18 I shall not linger long on the claim that the 'unwritten' character of
19 natural law 'explains' the widespread disagreement about it that Locke
20 observes. I merely point out that the same disagreement may be observed about
21 written law. Or perhaps Tate has 'failed to see' what Locke and Bagshaw were
22 disagreeing about?

23
24
25 So much for Tate's assertion that Locke's position on natural law has
26 been misread. What of his claim that the 'normative and practical imperatives'
27 on which Locke relied have been overlooked?

28
29 Tate's position is that in Locke's later writings 'the 'people' 'consent' to
30 the magistrate's authority being exercised for a limited range of ends', which
31 includes 'the necessity of the state' and 'the welfare of the people' but excludes
32 'the religious practice of the individual'. Tate does not explain why. Their
33 consent is expressed through a contract which Tate represents as 'a new
34 normative source that Locke affirms in the wake of the *Two Tracts*'. Natural
35 law, Tate concedes, does 'authorise' this process, 'it being from God, Locke
36 declares, that individuals derive their entitlement to transfer some of their
37 natural rights...to government', but 'otherwise' – how was the theatre
38 otherwise, Mrs. Lincoln? – 'the process arises by 'the contrivance and
39 appointment of men alone'' (Tate 2015: 8). Tate takes it to follow that contract,
40 not natural law, is the 'normative instrument' of Locke's preference.

41
42
43 It further follows, on Tate's account, that Locke's position is unstable,
44 because the 'practical imperative' of civil peace is potentially at odds with the
45 extensive individual liberty Locke valorizes: '[t]heir respective limits,
46 concerning individual liberty (on the one hand) and the scope of governance
47 necessary for civil peace (on the other) are determined by practical political
48 negotiation between competing parties' which means, in the final analysis, by
49 force (Tate 2015: 10, compare paragraphs 1 and 3). Contrary to Bou-Habib,
50 Harris and Stanton, he concludes, 'they are not determined by natural law'
51 (Tate 2015:10-11).⁶

52
53
54
55
56 ⁵ For recognition of the need for judgment in this situation, and interesting discussion, see
57 Nacol (2011).

58 ⁶ This is Tate's phrase, not Harris's or my own, and, one might add, the meaning of it is far from
59 obvious: determined how?
60

1
2
3 All of this is belied by Tate's own statement that natural law is delivered
4 to men by God (Tate 2015: 4). For natural law is a normative code which is
5 superior to every human being and human government alike, and which
6 contains duties that regulate aspects of life beyond the scope of the latter,
7 requiring the love and worship of God, the preservation of others, and of
8 oneself (Locke 1954: 156-58). The question then becomes, how best to produce
9 the ends in view – to worship God and to secure terrestrial survival? The
10 answer is that the rational means include institutions, as, for instance, religious
11 society and civil society. The ends in view for each of these societies are
12 prescribed by natural law, they being its vehicles. A religious society is a
13 society of people combining in order to worship God publicly in the way they
14 judge to be acceptable to Him to the end of their salvation (Locke 1968: 71). A
15 civil society is a society of people constituted solely (*solummodo*) for the end of
16 conserving and promoting civil goods (Locke 1968: 64/65). Both are defined by
17 Locke in terms of an end, and an end wholly different in content from the other.

18
19
20
21 These definitions are inferences from natural law. Natural law implies
22 the abilities to understand and to will it. By inference, these abilities reach
23 recognition of means to the ends of natural law and voluntary adherence to
24 those means. Thus Locke's definition of a church includes the fact that people
25 combine *sua sponte* to worship for the end of their salvation: the exercise of will
26 is implied in the definition, and declared in the ensuing paragraph where a
27 church is termed 'a free and voluntary society' (Locke 1968: 71). Likewise civil
28 society is a product of will and agreement, as men consent to unite with others
29 'to make one Body Politick' (Locke 1988: 332). People originate both religious
30 and civil society as institutions for their ends, and in this respect the origins of
31 both are found in human will.

32
33
34 This is a supposition of natural law thinking, not an alternative to it. The
35 ends for which those societies are brought into existence are ends in natural
36 law, and they are limited to the pursuit of those ends.⁷ Nowhere did I deny that
37 these societies originate in consent (Locke says they do). But that is a causal
38 claim, not a normative one.⁸ My point was that 'the contrivance and
39 appointment of men alone' is not what determines those ends or makes them
40 authoritative in relation to human beings. Still less could the consent of human
41 beings substitute other ends in their place. Tate seems oblivious to the fact that
42 in conceding that natural law 'authorises' what human beings can legitimately
43 consent to he concedes the case entire.

44
45
46 One must add that the idea that individual liberty is a normative
47 imperative has no textual support in Locke. Locke's *Epistola* tells us that men
48 have liberty in religion in order to worship God in the way they judge will be
49 pleasing to him (Locke 1968: 103).⁹ It figures as a means to a divinely instituted

50
51
52
53

7 In Locke's words, the 'boundaries on both sides are fixed and immovable' (Locke 1968: 85-87).

54 ⁸ For wider discussion of lockean causality as it bears on this point, see Harris (2007). I am
55 afraid that it is not entirely clear to me what Tate means by the phrase 'normative imperative'.

56 ⁹ Hence Locke's asseveration that in religion man (*homo*, all human beings) 'must be left to
57 himself and his own conscience' (1968: 101) – that is to say, his [or her] own judgement of how
58 to fulfil the duty to worship God publicly.
59
60

1
2
3 end, relating not to *whether* one worships but how. In *Two Treatises of*
4 *Government* people are likewise said to possess the degree of liberty proper to
5 beings bounded by the law of nature (1988: 269), which is again to identify a
6 means to ends that that law specifies rather than an end in itself. It is precisely
7 because Locke regards it in these terms, as a necessary means to the
8 performance of certain duties under natural law, whether to preserve ourselves
9 and others or to worship God publicly, that he so often speaks of these liberties
10 as rights, and why he declares, as Tate admits, that people cannot do whatever
11 they like with those rights. For rights are powers coordinated to ends, and the
12 ends in view are ends in natural law. The idea that liberty *per se* might be 'a
13 normative imperative' does not seem to be coherent anyway, though one sees
14 that the exercise or protection of liberty might be.

15
16
17 Tate declares that in *Two Tracts* this is 'a liberty which exists in the
18 inward realm of individual conscience alone', which by the time of the 'Essay
19 concerning Toleration' has expanded 'to include outward expression as well as
20 inner conscience'. This is false. Locke's position is that people can give up their
21 liberty of action in indifferent things to the civil magistrate in a contract but (as
22 one might expect someone who 'affirmed natural law' to argue) they cannot
23 give up the liberty to perform duties derived from divine laws, including the
24 duty to worship God publicly (Locke 1967: 126).¹⁰ So liberty is not, in fact, being
25 confined to the 'inward realm of conscience alone'. The operative distinction is
26 between indifferent things, in which people are not bound by divine laws, and
27 necessary ones, in which they are, and the issue is which beliefs and actions fall
28 into which category. As Locke states plainly, people 'never had the liberty to
29 give up to another's injunctions' (Locke 1967: 130) the power to do or omit what
30 is necessary in religion, and this extends beyond inner belief to outward
31 expression as much in *Two Tracts* as in Locke's later writings.¹¹ To say that the
32 'inclusion of outward religious expression' moves Locke's argument 'beyond
33 the *Two Tracts*' (Tate 2015: 8) is to commit two blunders in one.

34
35
36
37
38 What of 'the imperative of civil peace' Tate discerns in Locke? The end of
39 civil society, the preservation of life and civil goods, and by inference the
40 commonwealth itself, is an end in natural law, and civil society being 'a State of
41 Peace, amongst those who are of it', civil peace is upholding the end by abiding
42 by the terms of this society (Locke 1988: 407). The end is non-negotiable.
43 'Practical political negotiation between competing parties' 'determines' neither
44
45
46
47

48
49
50
51
52
53
54
55
56
57
58
59
60

¹⁰ Recall Dr. Tate's observation that 'natural law was something that Locke openly affirmed in the *Two Tracts* itself, with all the normative commitment and prescriptive force which characterised his later *Essays on the Law of Nature*' (Tate 2015: 4).

¹¹ A point which escapes the chronological vigilance upon which Dr. Tate earlier prides himself: see Locke (1967: 214-15): 'The outward acts of religion are also called 'divine worship'. Since God ordained that man should be composed of body as well as soul...he demands those outward performances by which that inner worship of the spirit is expressed...[by which] we...bear witness here and now to the love, faith, and obedience of the soul[;] and this...worship...is everywhere ordained by God in his law, and...we are bound to fulfil [it], nor does the magistrate possess any right over this worship since it can be altered by none but the Divine Lawgiver himself'.

the end in view nor the legitimate scope of means to it.¹² If Tate's point is merely that, in practice, human beings sometimes breach those terms, or that, when they confront one another, the stronger often prevails, whoever denied it? Not me. Not Locke, for whom 'Polyphemus's Den gives us a perfect Pattern of such a Peace' (Locke 1988: 417). But 'the question here is about the rule of right action, not the outcome of doubtful cases' (Locke 1968: 131). The question is whether emphasising natural law in Locke is 'mistaken' and whether 'it misrepresents and distorts far more than it illuminates of his political philosophy'.

The answer to which one is obliged to come is that it is Tate who misconstrues and mistakes Locke's positions, that his own claims misrepresent and distort not only the positions he takes himself to be attacking but also Locke's political philosophy, early and late, that he 'fails to account for key elements of Locke's political philosophy, as well as some of its main outcomes', that it is his own position, and his own reasoning, that 'lacks cogency' (Tate 2015: 10, 2). The 'mistakes', 'errors', and 'inaccuracy' of which Tate speaks so liberally are, I am afraid, his own.

References

- [Anon., attr. Thomas Burnet] (1699). *Third Remarks Upon An Essay Concerning Humane Understanding*. London.
- Harris, I (1998). *The Mind of John Locke*, revised edn. Cambridge: Cambridge University Press.
- Harris, I (2007). 'The Legacy of *Two Treatises of Government*', *Eighteenth-Century Thought* 3, 143-67.
- Locke, J (1954). *Essays on the Law of Nature*, ed. Wolfgang von Leyden. Oxford: Clarendon Press.
- Locke, J. (1967). *Two Tracts on Government*, ed. Philip Abrams. Cambridge: Cambridge University Press.
- Locke, J. (1968). *Epistola de Tolerantia / A Letter on Toleration*, ed. Raymond Klibansky, trans. J. W. Gough. Oxford: Clarendon Press.
- Locke, J. (1975). *An Essay concerning Human Understanding*, ed. Peter H. Nidditch. Oxford: Clarendon Press.
- Locke, J (1976-). *The Correspondence of John Locke*, ed. E. S. de Beer. 8 vols. to date], Oxford: Clarendon Press.
- Locke, J (1988). *Two Treatises of Government*, ed. Peter Laslett. Cambridge: Cambridge University Press.
- Nacol, E. C. (2011). 'The Risks of Political Authority: Trust, Knowledge and Political Agency in Locke's Second Treatise', *Political Studies*, 59: 3, 580-595.
- Parker, S (1681) *A Demonstration of the Divine Authority of the Law of Nature*.

¹² The end of religious worship, salvation, meanwhile, differs by 'a whole heaven' (*toto coelo*) and Locke suggested accordingly that it would give rise to few conflicts between civil law and religious conscience (Locke 1968: 126). Notwithstanding Tate's tendentious gloss, the 'busie heads' and 'turbulent spirits' discussed by Locke in *Two Treatises* are not 'individual religious dissidents' but individuals with an insatiable will to power over others. Readers may wish to compare Tate (2015: 10) with the contents of the string of passages cited, in which religion is nowhere mentioned.

1
2
3 London.

4 Sanderson, R (1660). *De Obligatione Conscientiae Praelectiones Decem*. London.

5 Sanderson, R (1663) *Reason and Judgement, or Special Remarques*. Oxford.

6 Stanton, T. (2011). 'Authority and Freedom in the Interpretation of Locke's
7 Political Theory', *Political Theory* 39: 1, 6-30.

8 Stanton, T (2012). 'On (Mis)interpreting Locke: A reply to Tate', *Political Theory*
9 40: 2, 229-36.

10 Tate, J. (2012). 'Locke, God, and Civil Society: Response to Stanton', *Political*
11 *Theory* 40: 2, 222-28.

12 Tate, J. (2015). 'Locke, toleration, and natural law: A reassessment', *European*
13 *Journal of Political Theory*, 0: 0, 1-13.

14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review